

Form **PTO-1595** (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2015)

U.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Icon Genetics GmbH

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): December 1, 2011

☐ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☒ Other
Corrective: Reel/Frame: 029162/0604 correcting
name and address of receiving party to "Bayer
BioScience N.V., Technologiepark 38,
9052 Gent, Belgium"

2. Name and address of receiving party(ies)

Name: Bayer BioScience N.V.

Internal Address:

Street Address:

Technologiepark 38
9052 Gent
Belgium

City:

State:

Country:

Zip:

Additional name(s) & address(es)
attached?

☐ Yes

☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

10/544,135

10/545,665

13/470,812

Additional numbers attached?

☐ This document is being filed together with a new application.

B. Patent No.(s)

7,632,981

7,642,404

7,652,194

7,667,091

8,193,410

☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Shawn P. Foley
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt. HARTZ 3.3-004 CON CON

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: 908-654-5000

Fax Number: 908-654-7866

Email Address: ataylor@ldikm.com

6. Total number of applications and patents involved:

8

7. Total fee (37 CFR 1.21(h) & 3.41) \$

320.00

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 12-1095

Authorized User Name Shawn P. Foley

9. Signature:


Signature

April 3, 2013

Date

Shawn P. Foley - 33,071

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents:

6

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CH \$320.00 121095 1054413

Form PTO-1595 (Rev. 03-11)
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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies): Icon Genetics GmbH Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No	2. Name and address of receiving party(ies) Name: <u>Bayer CropScience N.V.</u> Internal Address: _____ Street Address: _____ <u>J.E. Mommaerslaan 14</u> <u>1831 Diegem</u> <u>Belgium</u> City: _____ State: _____ Country: _____ Zip: _____ Additional name(s) & address(es) attached? ☐ Yes ☒ No		
3. Nature of conveyance/Execution Date(s): Execution Date(s): <u>December 1, 2011</u> ☒ Assignment ☐ Merger ☐ Change of Name ☐ Security Agreement ☐ Joint Research Agreement ☐ Government Interest Assignment ☐ Executive Order 9424, Confirmatory License ☐ Other _____	4. Application or patent number(s): ☐ This document is being filed together with a new application. <table style="width: 100%;"> <tr> <td style="width: 50%;"> A. Patent Application No.(s) <u>10/544,135</u> <u>10/545,665</u> <u>13/470,812</u> </td> <td style="width: 50%;"> B. Patent No.(s) <u>7,632,961</u> <u>7,667,091</u> <u>7,642,404</u> <u>8,193,410</u> <u>7,652,194</u> </td> </tr> </table> Additional numbers attached? ☐ Yes ☒ No	A. Patent Application No.(s) <u>10/544,135</u> <u>10/545,665</u> <u>13/470,812</u>	B. Patent No.(s) <u>7,632,961</u> <u>7,667,091</u> <u>7,642,404</u> <u>8,193,410</u> <u>7,652,194</u>
A. Patent Application No.(s) <u>10/544,135</u> <u>10/545,665</u> <u>13/470,812</u>	B. Patent No.(s) <u>7,632,961</u> <u>7,667,091</u> <u>7,642,404</u> <u>8,193,410</u> <u>7,652,194</u>		
5. Name and address to whom correspondence concerning document should be mailed: Name: <u>Shawn P. Foley</u> <u>LERNER, DAVID, LITTENBERG,</u> <u>KRUMHOLZ & MENTLIK, LLP</u> Internal Address: <u>Atty. Dkt. HARTZ 3.3-004 CON CON</u> Street Address: <u>600 South Avenue West</u> City: <u>Westfield</u> State: <u>NJ</u> Zip: <u>07090</u> Phone Number: <u>908-654-5000</u> Fax Number: <u>908-654-7866</u> Email Address: <u>ataylor@ldikm.com</u>	6. Total number of applications and patents involved: 8 7. Total fee (37 CFR 1.21(h) & 3.41) \$ <u>320.00</u> ☒ Authorized to be charged to deposit account ☐ Enclosed ☐ None required (government interest not affecting title)		
8. Payment Information Deposit Account Number <u>12-1095</u> Authorized User Name <u>Shawn P. Foley</u>			
9. Signature: _____ Signature <u>Shawn P. Foley - 33,071</u> Name of Person Signing <u>October 18, 2012</u> Date Total number of pages including cover sheet, attachments, and documents: 5			

2812851_1.DOC

CONFIRMATION OF ASSIGNMENT OF PATENT RIGHTS:

We, the undersigned,

Icon Genetics GmbH, having offices at Weinbergweg 22, 06120 Halle (Saale),
GERMANY

registered owner of the patent rights listed in Annex I attached hereto,

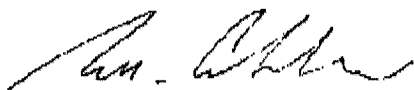
do hereby declare that we have assigned all our rights, including rights to claim priority,
the right to obtain information and claims for damages based on any infringement of the
patent applications in the past, to said patent rights to:

Bayer BioScience NV, having offices at Technologiepark 38, 9052 Gent, Belgium

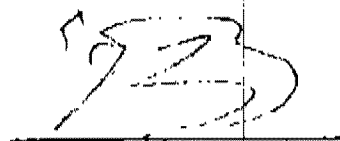
with effect as of December 1, 2011.

Date: 13.02.2012

Icon Genetics GmbH

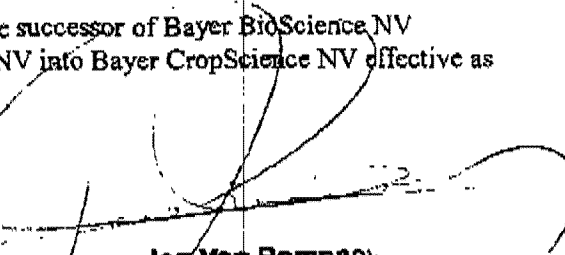


By: Y. GIEBA
Title: CEO


By: C. COO
Title: COO

Date: 20/02/2012

Bayer CropScience NV (acting as corporate successor of Bayer BioScience NV
following the merger of Bayer BioScience NV into Bayer CropScience NV effective as
of January 1, 2012)


By: Bruno Vannerville
Title: Senior Counsel
Bayer CropScience NV
By: Jan Van Rompaey
Title: Head of Intellectual
Property Department
Bayer CropScience NV

Assigned Patent Rights

2. Processes and Vector System for Producing Transgenic Plants (GmbH) (owned by INC)						
	Application number	Application date	Publication number	Grant number	Grant date	Status
DE	100 61 150.8	2000-12-08	DE10061150			Abandoned
WO	PCT/EP01/19662	2001-11-23	WO02/48438			Abandoned
WO	PCT/EP01/14421	2001-12-07	WO02/48440			National phase
US	10/416931	2001-12-07	US2004-0088764	US7652194	2010-02-26	Granted (expiration date – 2023-05-16) – extended by 525 days
AU	2002-16082	2001-12-07	AU1608202			Lapsed
AU	2002-29565	2001-11-23	AU2956502			Lapsed
AR	P010105652	2001-12-05	AR33851	AR033851	2008-12-28	Granted
EP	01998657.8	2001-12-07	EP1339859	EP1339859	2008-07-16	Granted
IT				288388E/2008		validated
AT				E401409	2008-01-26	validated
GB						validated
FR						validated
DE						validated
BE				01999657.8		validated
CH						validated
ES				2.309.112	2008-12-16	validated
DK						validated
NL						validated
IE						validated

13. Method of Encoding Information in Nucleic Acids of a Genetically Engineered Organism (GmbH)						
	Application number	Application date	Publication number	Grant number	Grant date	Status
DE	101 15 507.7	2001-03-29	DE10115507			Pending
WO	PCT/EP01/16034	2001-12-19	WO02079481			National phase
JP	20020578483	2001-12-19	JP2004532631			Lapsed
CA	2442022	2001-12-19	CA2442022			Pending
US	10/471960	2001-12-19	US2004-191768	US7667091	2010-02-23	Granted (expiration date – 2024-06-22)
MX	2003PA08669	2001-12-19	MXPA03008669			Pending
AU	2002-238453	2001-12-19		AU2002238453	2008-07-24	Granted
EP	01986901.6	2001-12-19	EP1373531			Pending

24. Process of Producing Environmentally Safe Transgenic organisms (GmbH)						
	Application number	Application date	Publication number	Grant number	Grant date	Status
WO	PCT/EP02/04724	2002-04-29	WO02098192			National phase
JP	20020582714	2002-04-29	JP2005522181	JP4518741	2010-05-28	Granted
CA	2484900	2002-04-29	CA2484900			Pending
AU	2002-319157	2002-04-29		AU2002319157	2009-04-17	Granted
MX	2004PA010800	2002-04-29	MXPA04010800	MX267844	2009-06-29	Granted
US	10/512,879	2002-04-29	US2006174365	US7632981	2009-12-15	Granted
EP	02748684.4	2002-04-29	EP1499734	EP1499734	2009-07-29	Granted
IT				288338E/2009		Validated
FR						Validated
DE				60233139.2		Validated
GB						Validated
AT				AT437952	2010-03-25	Validated
IE						Validated

CH						Validated
BE						Validated
DK						Validated
ES				2.329.450	2009-11-27	Validated
NL						Validated

28. Transgenic Plants with Controlled Distribution of a Trait to Progeny (GmbH + Inc)						
	Application number	Application date	Publication number	Grant number	Grant date	Status
DE	103 18 844.1	2003-04-24	DE10318644			Abandoned
DE	102 24 214.3	2002-05-31				Withdrawn
DE	102 24 980.6	2002-06-05				Withdrawn
WO	PCT/EP03/02986	2003-03-21	WO03102197			National phase
CA	2483145	2003-03-21	CA2483145			Pending
US	10/514905	2003-03-21	US2005172353	US7642404	2010-01-05	Granted
US	12/621765	2003-03-21				Pending (divisional)
AU	2003-226693	2003-03-21	AU2003226693			Accepted
MX	2004PA010443	2003-03-21	MXPA04010443		2011-01-26	Granted
JP	2004-510434	2003-03-21	JP2005536990	JP4503682	2010-10-08	Granted, expiry date: 2023-03-21
EP	03765623.4	2003-03-21	EP1509810			Pending

31. Plant transformation with in vivo assembly of a sequence of interest (GmbH+INC)						
	Application number	Application date	Publication number	Grant number	Grant date	Status
DE	103 03 837.8	2003-01-31	DE10303937			Abandoned
WO	PCT/EP04/00892	2004-01-30	WO2004087749			National phase
AU	2004-207178	2004-01-30	AU2004207178	AU2004207178B	2008-05-22	Granted
CA	2513394	2004-01-30	CA2513394			Pending
US	10/544.135	2004-01-30	US20090265814			Pending
EP	04706631.1	2004-01-30	EP1590464	EP1590464	2009-11-25	Granted
AT						Validated
GB						Validated
IE						Validated
CH						Validated
FR						Validated
SE						Validated
NL						Validated
IT						Validated
ES						Validated
BE						Validated
DK						Validated
LU						Validated
DE				602004024 284.5		Validated

34. Plant transformation with in vivo assembly of a trait (GmbH+INC)						
	Application number	Application date	Publication number	Grant number	Grant date	Status
DE	103 32 597.2	2003-07-17				Abandoned
WO	PCT/EP04/00891	2004-01-30	WO2004087748			National phase
AU	2004-207177	2004-01-30	AU2004207177	AU2004207177B	2008-01-23	Granted
CA	2513311	2004-01-30	CA2513311			Pending
US	10/545,665	2004-01-30	US2007/012483 1			Pending
EP	04706637.8	2004-01-30	EP1587932	EP1587932	2009-03-25	granted
ES			ES2322809	ES2322809		validated
AT						
DE			DE10332597	602004 020 180.4-08		validated
GB						
IT						
FR						

IE						
NL						
DK						
CH						
BE						validated