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Form PTO-1595 (Rev. 03-11) OMB No. 0651-0027 (exp. 03/31/2015)	U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office
RECORDATION FOR	
PATENTS	S ONLY
To the Director of the U.S. Patent and Trademark Office: Please	record the attached documents or the new address(es) below.
1. Name of conveying party(les):	2. Name and address of receiving party(les)
Neil L. Sbar (11/27/2012) and Jean-Philippe	Name: SAGE Electrochromics, Inc.
Savary (11/29/2012)	Internel Address:
Additional name(s) of conveying party(les) attached?	Street Address:
3. Nature of conveyance/Execution Date(s);	
Execution Date(s): in parentheses after Inventor name	One Sage Way
X Assignment Merger Change of Name	
Security Agreement Joint Research Agreement	City: Faribault
Government Interest Assignment	State: Minnesota
Executive Order 9424, Confirmatory License	Country: United States of America Zip: 55021
	Additional name(s) & address(es) Yes X No
	attached?
4. Application or patent number(e):	This document is being filed together with a new application.
A. Patent Application No.(s)	B. Patent No.(s)
13/798,520	
Additional numbers attached?	Yes X No
<ol><li>Name and address to whom correspondence concerning document should be mailed:</li></ol>	6, Total number of applications and 1 patents involved:
Name: Thomas M. Finetti	
LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
	1. Total tes (07 GEN 1.2 (1) G 0.41) @
Internal Address: Atty. Dkt.: Street Address: 600 South Avenue West	Authorized to be charged to deposit account
	Enclosed
	None required (government Interest not affecting title)
City: Westfield	8. Payment information
State: NJ Zlp: 07090	
Phone Number: 908-654-5000	
Fax Number: 908-654-7866	Deposit Account Number 12-1095
Email Address:ataylor@idikm.com	Authorized User Name Thomas M. Finetti
9. Signature:	
/Thomas M. Finetti/	April 5, 2013
Signature	Date
	TMP Total number of pages including cover 3 aheet, attachments, and documents:
Name of Person Signing	

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APPIONMENT	OF PROVISIONAL APP	LICATION	Docket Number (Oplical)
ABOUNMENT	OF PROVIDIONAL AT		SAGE 3.8-056
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	ssigner, have with others inven D COATING FOR BUS BARS	ted certain new and u	seful improvements in
x for which a prov	sional application for a United Sta	ites Patent was filed o	n <u>November 14, 2012</u>
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	alonal application for a United Stat	es Patent was execute	d on '
and Whereas,	AGE Electrochromics, Inc.		
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assignee my entire right invention and the afores part, substitute or relative and all other countries, i statming priority or bene requested to issue all pa- the online interest thereil agree and eavenant w relateue and e	title and interest for the United aid provisional patent application a applications and patents applic for said invention, including without fit of the aforesaid provisional ap- ity or banefit and the Commission stants on said improvements or re- stants on said improvements or re- stants on said improvements or re- righting for Latters Patent on a to communicate to said assignee imports, whenever requested, to sations or patents may become in righting necessary to aid scalgne- ovements in all countries, the exp ovements in all countries, the exp	States of America an and all original, divis d for or granted there it limitation all applica plication pursuant to a ter of Patants and Tri auditing therefrom to a add implegal representatives accute and deliver a aid improvements ar or its representatives testify in any interfere hydrydd, to sign ell tan e, its successors, ass penses incident to sail	by eell, assign and set over to said d all other countries in and to said ional, continuation, continuation-in- for in the United States of America tions and patents for said invention any law or treaty, and including the ademarks is hereby authorized and aid assignee herein, as assignee of stives, heirs and easigns do hereby ul original, divisional, continuation, d all assignments thereof to said ances or other legal proceedings in while papers, make all rightful oaths, igns and hominees to obtain patent d applications to be borne and pated ate and application number of said
application when known	1. / <u>/2.</u>	Meil	Alla
Bate of <u>Minvi</u> County of <u>Rec</u>	(Date) <u>e5,9t4.</u> ) 88: <u>"ee</u> )		(Signature) 🤇
to me necessarily know	<u>NoVenclats</u> , <u>20(2</u> , befo in es the individual who executed d by him/her of his/her own free w	i the forestoing instru	me the above-named <u>Neil L. Sbar,</u> mant, who acknowledged to me that erein set forth.
LD-3291	Me. M. Riewe		KATHARINE M. RIEWE Notary Public State of Minnesote My Commission Expires January 31, 2015

## PATENT REEL: 030165 FRAME: 0766

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ASSIGNMENT	OF PROVISIONAL APP	LICATION	Docket Number (Option	1 <b>31)</b>
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