

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2015)

U.S. DEPARTMENT OF COMMERCE
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies): Neil L. Sbar (11/27/2012) and Jean-Philippe Savary (11/29/2012) Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: <u>SAGE Electrochromics, Inc.</u> Internal Address: _____ Street Address: _____ One Sage Way City: <u>Faribault</u> State: <u>Minnesota</u> Country: <u>United States of America</u> Zip: <u>55021</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance/Execution Date(s): Execution Date(s): in parentheses after inventor name <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Change of Name <input type="checkbox"/> Security Agreement <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 8424, Confirmatory License <input type="checkbox"/> Other _____	4. Application or patent number(s): <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) <u>13/798,520</u> B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5. Name and address to whom correspondence concerning document should be mailed: Name: <u>Thomas M. Finetti</u> <u>LERNER, DAVID, LITTENBERG,</u> <u>KRUMHOLZ & MENTLIK, LLP</u> Internal Address: Atty. Dkt.: _____ Street Address: <u>600 South Avenue West</u> City: <u>Westfield</u> State: <u>NJ</u> Zip: <u>07090</u> Phone Number: <u>908-654-5000</u> Fax Number: <u>908-654-7866</u> Email Address: <u>ataylor@ldlkm.com</u>	6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h) & 3.41) \$ <u>40.00</u> <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)
8. Payment Information Deposit Account Number <u>12-1095</u> Authorized User Name <u>Thomas M. Finetti</u>	
9. Signature: <div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <u>/Thomas M. Finetti/</u> Signature <u>Thomas M. Finetti - 61,881</u> Name of Person Signing </div> <div style="width: 35%; text-align: right;"> <u>April 5, 2013</u> Date Total number of pages including cover sheet, attachments, and documents: 3 </div> </div>	

ASSIGNMENT OF PROVISIONAL APPLICATION	PROCEEDING NO. 001 Modified Docket Number (Optional) SAGE 3.8-056
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Whereas, I, Neil L. Sgar of
916 Aldrich Drive, Northfield, Minnesota 55057

hereafter referred to as Assignor, have with others invented certain new and useful improvements in
COLOR MATCHED COATING FOR BUS BARS

☒ for which a provisional application for a United States Patent was filed on November 14, 2012
 Application Number 61/728,146

☐ for which a provisional application for a United States Patent was executed on _____

and Whereas, SAGE Electrochromics, Inc.
 a corporation of New Jersey herein referred to as "assignee" whose mailing address is
One Sage Way, Faribault, Minnesota 55021
 is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid provisional patent application and all original, divisional, continuation-in-part, substitute or release applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid provisional application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademark is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, release and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys, to insert on this deed the filing date and application number of said application when known.


11/27/2012 (Date) Neil L. Sgar (Signature)

State of Minnesota) SS:
 County of Rice)

On this 27 day of November, 2012 before me personally came the above-named Neil L. Sgar, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Katharine M. Riewe
 (Notary Public)

LD-329


 KATHARINE M. RIEWE
 Notary Public
 State of Minnesota
 My Commission Expires
 January 31, 2015

ASSIGNMENT OF PROVISIONAL APPLICATION	PTO/SB/15-001 Modified Docket Number (Optional) SAGE 3.8-066
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Whereas, I, Jean-Philippe Savary of
1400 Heritage Drive; Unit 109; Northfield, Minnesota 55057

hereafter referred to as Assignor, have with others invented certain new and useful improvements in
COLOR MATCHED COATING FOR BUS BARS

☒ for which a provisional application for a United States Patent was filed on November 14, 2012
 Application Number 61/726,146

☐ for which a provisional application for a United States Patent was executed on _____

and Whereas, SAGE Electrochromics, Inc.
 a corporation of New Jersey herein referred to as "assignee" whose mailing address is
One Sage Way; Paribault, Minnesota 55021
 is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid provisional patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid provisional application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys, to insert on this deed the filing date and application number of said application when known.

11/19/2012
 (Date)


[Signature]
 (Signature)

State of Minnesota) SS:
 County of Rice)

On this 29 day of November, 2012, before me personally came the above-named Jean-Philippe Savary, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Katharine M. Riewe
 (Notary Public)

LD-5281


 KATHARINE M. RIEWE
 Notary Public
 State of Minnesota
 My Commission Expires
 January 31, 2018