

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Release of First Lien Security Interest recorded at Reel/Frame 025528/0039
CONVEYING PARTY DATA	
Name	Execution Date
Bank of Amerca, N.A.	04/04/2013
RECEIVING PARTY DATA	
Name:	ConvergEx Group, LLC (formerly known as BNY ConvergEx Group, LLC)
Street Address:	1633 Broadway, 48th floor
City:	New York
State/Country:	NEW YORK
Postal Code:	10019
Name:	ConvergEx Solutions LLC (formerly known as Convergex Research Solutions LLC)
Street Address:	1633 Broadway, 48th floor
City:	New York
State/Country:	NEW YORK
Postal Code:	10019
PROPERTY NUMBERS Total: 12	
Property Type	Number
Patent Number:	7778896
Patent Number:	7840481
Patent Number:	7769658
Patent Number:	7979344
Application Number:	10832460
Application Number:	12032682
Application Number:	12761143
Application Number:	12847236
Application Number:	12951834
Application Number:	12126754

CH \$480.00 7778896

Application Number:	61291858
PCT Number:	US2008642299

CORRESPONDENCE DATA

Fax Number: 3128622200
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.
Phone: 312-862-6371
Email: renee.prescan@kirkland.com
Correspondent Name: Renee Prescan
Address Line 1: 300 North LaSalle Street
Address Line 2: Kirkland & Ellis LLP
Address Line 4: Chicago, ILLINOIS 60654

ATTORNEY DOCKET NUMBER:	22150-20
NAME OF SUBMITTER:	Renee M. Prescan
Signature:	/Renee M. Prescan/
Date:	04/08/2013

Total Attachments: 4
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RELEASE OF SECURITY INTEREST IN PATENTS
(FIRST LIEN)

This RELEASE OF SECURITY INTEREST IN PATENTS ("Release") is given as of this 4th day of April, 2013, by Bank of America, N.A., as Collateral Agent ("Assignor"), as Collateral Agent for itself and the Lenders, in favor of ConvergeX Group, LLC (formerly known as, BNY ConvergeX Group, LLC) and ConvergeX Solutions LLC (formerly known as ConvergeX Research Solutions LLC) (each individually an "Assignee" and together, the "Assignees"). Capitalized terms used in this Release but not defined herein have the meanings assigned to such terms in the Credit Agreement or Security Agreement (each as defined below).

WHEREAS, ConvergeX Group, LLC (formerly known as BNY ConvergeX Group, LLC), as Borrower, Bank of America, N.A., as Administrative Agent and the other parties thereto entered into that certain First Lien Credit and Guaranty Agreement, dated as of December 17, 2010 (as further amended, modified, supplemented or restated, and in effect from time to time, the "Credit Agreement");

WHEREAS, as a condition precedent to the Lenders' making any Loans or otherwise extending credit to the Borrower under the Credit Agreement, the Assignees executed and delivered to the Assignor, for the benefit of the Lenders and the Assignor, that certain First Lien Pledge and Security Agreement, dated as of December 17, 2010, among the Assignees and the Assignor (as amended, modified, supplemented or restated, and in effect from time to time, the "Security Agreement");

WHEREAS, pursuant to the Security Agreement, each of the Assignees executed and delivered to the Assignor a First Lien Patent Security Agreement (the "First Lien Patent Security Agreement") and unconditionally granted to Assignor, for the benefit of the Lenders and the Assignor, to secure the payment and performance in full of all of the Obligations, a security interest in and pledged to the Assignor, for the benefit of the Lenders and the Assignor, the following pledged Collateral of each Assignee: (a) Patents of each Assignee listed on Schedule 1 attached hereto; and (b) all Proceeds of any and all of the foregoing. (collectively, the "Patent Collateral");

WHEREAS, the First Lien Patent Security Agreement was recorded with the United States Patent and Trademark Office on December 21, 2010, at Reel 025528 /Frame 0039;

WHEREAS, the Obligations have now been paid and performed in full and Assignor and Assignees desire that Assignor terminate and release its security interest in and to the Patent Collateral.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Assignor hereby agrees as follows:

Assignor hereby terminates, releases and discharges fully its security interest and lien, and reconveys, transfers and assigns to Assignee, without recourse, any

rights it may have, in and to Assignees' right, title and interest in or to the Patent Collateral, including the patent registrations and applications listed on Schedule 1 hereto. Assignor further terminates, releases, and discharges fully any security interest or lien granted to it, and reconveys, transfers and assigns to Assignees, without recourse, any rights it may have, in or to any Collateral consisting of patents, patent applications, or patent licenses, pursuant to the Security Agreement or any First Lien Patent Security Agreement executed and delivered by an Assignee to the Assignor pursuant to the Security Agreement.

Assignor hereby authorizes Assignees or Assignee's authorized representative to, at the sole expense of the Assignees, (i) record this Release with the United States Patent and Trademark Office, (ii) file UCC Financing Statement Amendments with the applicable filing office in order to memorialize the release of any security interest of Assignor in the Intellectual Property Collateral, and/or (iii) otherwise record or file this Release in any applicable governmental office or agency.

Assignor shall take all further actions, and provide to Assignees, their successors, assigns or other legal representatives, all such cooperation and assistance (including, without limitation, the execution and delivery of any and all documents or other instruments), reasonably requested by an Assignee to more fully and effectively effectuate the purposes of this Release.

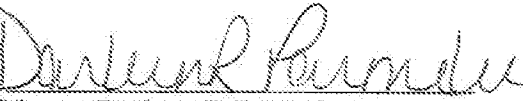
THIS RELEASE SHALL BE GOVERNED BY, AND CONSTRUED IN ACCORDANCE WITH, THE LAWS OF THE STATE OF NEW YORK.

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IN WITNESS WHEREOF, intending to be legally bound, Assignor has caused this RELEASE OF SECURITY INTEREST IN PATENTS to be duly executed as of the date first above written.

ASSIGNOR:

BANK OF AMERICA, N.A., as Collateral Agent

By: 

Name: Darleen R Parmelee

Title: Assistant Vice President

[Patent Release (1st Lien)]

PATENT
REEL: 030167 FRAME: 0184

**SCHEDULE 1 TO
RELEASE OF SECURITY INTEREST IN PATENTS**

Registrations:

Owner	Application No.	Patent No.	Description
Convergex Group, LLC	10/309750	7778896	Systems and methods for direct electronic trading of depositary receipts
ConvergEx Execution Solutions LLC	11/862899	7840481	Automatic detection of functional defects and performance bottlenecks in network devices
Convergex Group, LLC	12/437109	7769658	Commission management system
Convergex Group, LLC	12/472364 (61/055506)	7979344	Systems, methods, and media for automatically controlling trade executions based on percentage of volume trading rates

Applications:

Owner	Application No.	Publication No.	Description
ConvergEx Solutions LLC	10/832460	20050240456	Independent research analysis, aggregation and delivery system and method
Convergex Group, LLC	12/032682 (60/901919)	20090018968	Systems, methods, and media for trading securities
Convergex Group, LLC	12/761143	20110258100	Systems, methods, and media for placing orders to trade securities
Convergex Group, LLC	12/847236	20110010284	Commission management system
ConvergEx Execution Solutions LLC	12/951834	20110066545	Aged transactions in a trading system
Convergex Group, LLC	12/126754 (60/931328)		Unpublished application
Convergex Group, LLC	61/291858		Systems, Methods, and Media for Controlling Orders in a Trading System
Convergex Group, LLC	PCT/US08/642299		Aged transactions in a trading system