

## PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Solid Form Solutions Ltd.	04/12/2013
RECEIVING PARTY DATA	
Name:	Ocera Therapeutics, Inc.
Street Address:	12561 High Bluff Drive, Suite 230
City:	San Diego
State/Country:	CALIFORNIA
Postal Code:	92130
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12753763
CORRESPONDENCE DATA	
Fax Number:	9497609502
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	8587074000
Email:	efiling@knobbe.com
Correspondent Name:	Knobbe Martens Olson & Bear LLP
Address Line 1:	2040 Main Street
Address Line 2:	14th Floor
Address Line 4:	Irvine, CALIFORNIA 92614
ATTORNEY DOCKET NUMBER:	OCERA.029A
NAME OF SUBMITTER:	Ryan E. Melnick
Signature:	/Ryan Melnick/
Date:	04/16/2013
Total Attachments: 10	

OP \$40.00 12753763

source=OCERA029A\_assignment\_SolidFormsSolutions\_to\_ocera\_2#page1.tif  
source=OCERA029A\_assignment\_SolidFormsSolutions\_to\_ocera\_2#page2.tif  
source=OCERA029A\_assignment\_SolidFormsSolutions\_to\_ocera\_2#page3.tif  
source=OCERA029A\_assignment\_SolidFormsSolutions\_to\_ocera\_2#page4.tif  
source=OCERA029A\_assignment\_SolidFormsSolutions\_to\_ocera\_2#page5.tif  
source=OCERA029A\_assignment\_SolidFormsSolutions\_to\_ocera\_2#page6.tif  
source=OCERA029A\_assignment\_SolidFormsSolutions\_to\_ocera\_2#page7.tif  
source=OCERA029A\_assignment\_SolidFormsSolutions\_to\_ocera\_2#page8.tif  
source=OCERA029A\_assignment\_SolidFormsSolutions\_to\_ocera\_2#page9.tif  
source=OCERA029A\_assignment\_SolidFormsSolutions\_to\_ocera\_2#page10.tif

---

**QUITCLAIM ASSIGNMENT OF RIGHTS**

WHEREAS, Stephen William Watt, a Great Britain citizen, residing at Great Britain, Christine Henderson Dougan, a Great Britain citizen, residing at Great Britain, (individual(s) hereinafter "INVENTORS") invented certain new and useful improvements, technology, inventions, developments, ideas or discoveries related to L-ORNITHINE PHENYL ACETATE AND METHODS OF MAKING THEREOF (collectively hereinafter referred to as the "Work") for which an application for Letters Patent in the United States has been prepared for filing (identified above) with the United States Patent and Trademark Office (hereinafter the "Application"); Issued May 8, 2012 as US Patent Number 8,173,706.

WHEREAS, INVENTORS previously sold, assigned, transferred and set over, unto Ocera Therapeutics, Inc., a Delaware Corporation, with its principal place of business at 12561 High Bluff Drive, Suit 230, San Diego, CA 92130 (hereinafter the "ASSIGNEE"), its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Application and the Work as set forth in the Assignment attached as Exhibit A.

WHEREAS, INVENTORS were under an obligation to assign the Work to Solid Form Solutions Ltd., a limited company, with its principal place of business at Roslin Biocentre, Roslin, Midlothian, Scotland, EH25 9PP (hereinafter the "ASSIGNOR") at the time INVENTORS invented the Work, and ASSIGNOR was under an obligation to assign the Work to ASSIGNEE at the time INVENTOR invented the Work.

WHEREAS, subsequent to the Assignment attached as Exhibit A, INVENTORS sold, assigned, transferred and set over unto ASSIGNOR, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Application and the Work.

AND WHEREAS ASSIGNOR and ASSIGNEE desire to confirm that ASSIGNOR has no rights in or to the Application and the Work and further desire to confirm that if ASSIGNOR presently has any rights in or to the Application or Work, then ASSIGNOR hereby transfers to ASSIGNEE such rights.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Application and the Work, including all provisional applications relating thereto (including but not limited to U.S. Provisional Application No(s). 61/166,676, filed April 3, 2009 (respectively if plural applications)), and all nonprovisional applications claiming priority thereto (including but not limited to P.C.T. Application No. PCT/US2010/029708, filed April 1, 2009), including, all divisions, continuations, continuations-in-part, reissues, and reexaminations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, all extensions, renewals and reissues thereof; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to

ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other provisional or non-provisional applications relating to the Application and the Work or any improvements made thereto, sign all lawful papers, execute and make all rightful oaths and/or declarations in connection with the Application and the Work including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Work in all countries.

This 12<sup>th</sup> day of APRIL, 2013.

Stephen Watt

Print Name: STEPHEN WATT

Title: CEO

On behalf of Solid Forms Solutions, Ltd.

Witnessed by: Haley Reece

Printed Name: Haley Reece

PAT-ASSIGNMENT

13342147\_1

052412

# EXHIBIT A

---

**ASSIGNMENT**

WHEREAS, Keith Anderson, a Canada citizen, residing at San Diego, California, Jim Behling, a U.S. citizen, residing at Eagle River, Wisconsin, Christine Henderson Dougan, a Great Britain citizen, residing at Great Britain, Stephen William Watt, a Great Britain citizen, residing at Great Britain, Peter Manini, a Switzerland citizen, residing at Switzerland, Attilia Figini, a Switzerland citizen, residing at Switzerland, (individual(s) hereinafter "ASSIGNOR") invented certain new and useful improvements, technology, inventions, developments, ideas or discoveries related to L-ORNITHINE PHENYL ACETATE AND METHODS OF MAKING THEREOF (collectively hereinafter referred to as the "Work") which is disclosed in U.S. Application No. 12/753,763, filed April 2, 2010 and P.C.T. Application No. PCT/US2010/029708, filed April 1, 2010 (collectively hereinafter referred to as the "Applications");

AND WHEREAS, OCERA THERAPEUTICS, INC., a Delaware Corporation, with its principal place of business at 12651 High Bluff Drive, Suite 230, San Diego, CA 92130 (hereinafter the "ASSIGNEE"), desires to acquire the entire right, title, and interest in and to the Applications and the Work:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby acknowledges that ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Applications and the Work, including all provisional applications relating thereto (including but not limited to U.S. Provisional Application No(s). 61/166,676, filed April 3, 2009 (respectively if plural applications)), and all nonprovisional applications claiming priority thereto, including, all divisions, continuations, continuations-in-part, reissues, and reexaminations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, all extensions, renewals and reissues thereof; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other provisional or non-provisional applications relating to the Applications and the Work or any improvements made thereto, sign all lawful papers, execute and make all rightful oaths and/or declarations in connection with the Applications and the Work including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Work in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 11<sup>th</sup> day of June, 2010.

Keith Anderson  
Keith Anderson

STATE OF CALIFORNIA )

) ss.

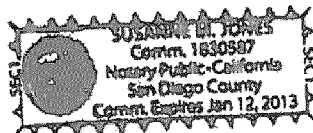
COUNTY OF SAN DIEGO )

On JUNE 10, 2010, before me, SUSANNE M JONES, NOTARY PUBLIC, notary public, personally appeared KEITH ANDERSON, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.


I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

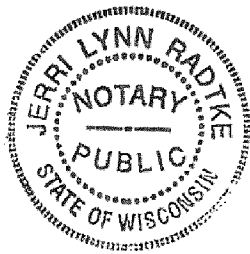
[SEAL]



Susanne M Jones  
Signature

  
Jim Behling

[SEAL]



Signature



This 15 day of July, 2010.

Christine Dougan

Christine Henderson Dougan

Dr. Gouher Rabani

Witnessed by: G. Rabani

This 21st day of JUNE, 2010.

Stephen Watt

Stephen William Watt

JASON CLARK

Witnessed by: Sean Clark

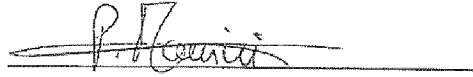
1716 PRESTONFIELD AV.  
EDINBURGH

Application No.: 12/753,763  
Filing Date: April 2, 2010

Docket Number: OCERA.029A  
Page 6 of 7

---

This 24<sup>th</sup> day of June, 2010.



Peter Manini

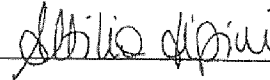


Witnessed by: Mr. Waldo Rossi

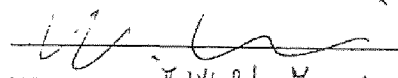
Application No.: 12/753,763  
Filing Date: April 2, 2010

Docket Number: OCERA.029A  
Page 7 of 7

This 25 day of June, 2010.



Attilia Figini



Witnessed by: Ir. Woldo Rossi

9007483:sad  
051010