

04/17/2013

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

DAVID KUN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) APRIL 17, 2013

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)Name: SEA-TRAIN EXPRESS - LLC

Internal Address: _____

Street Address: 30833 - 36TH CT., SWCity: FEDERAL WAYState: WASHINGTONCountry: USA Zip 98023

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

13/679,882 (FILED: NOVEMBER 16, 2012)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:Name: R. REAMS GOODLOE, JR.

Internal Address: _____

Street Address: 24722 - 104TH AVENUE, SESUITE 102City: KENTState: WASHINGTON Zip 98030-5322Phone Number: (253) 859-9128Docket Number: ST3-3625-U5Email Address: usptomail@earthlink.net**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41)** \$40.00

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment InformationDeposit Account Number 07-1613Authorized User Name R. REAMS GOODLOE, JR.**9. Signature:**

R. Reams Goodloe
Signature

APRIL 17, 2013

Date

R. REAMS GOODLOE, JR.

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1460, Alexandria, V.A. 22313-1460

CH \$40.00 071613 13679882

ASSIGNMENT OF INVENTION
Attorney Docket No.: ST3-3625-US

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☒ PCT Application
By: ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), and for other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR:

Inventor(s) or person(s) or
entit(ies) who own the invention

DAVID KUN

type or print name of ASSIGNOR

30833 - 36TH CT., SW

Address

FEDERAL WAY, WA 98023U.S.

Nationality

(if assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO, add the following):

Recorded on _____ Reel _____
Frame: _____

Hereby sells, assigns and transfers to

ASSIGNEE:

SEA-TRAIN EXPRESS - LLC

type or print name of ASSIGNEE

30833 - 36TH CT., SW

Address

FEDERAL WAY, WA 98023

A Washington Limited Liability Company

and the successors, assigns and legal representatives of the
ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest for the
United States and its territorial possessions

(check the following box, if foreign rights are also to be
assigned)

- ☒ and in all foreign countries, including all rights to
claim priority,

ASSIGNMENT OF INVENTION
Attorney Docket No.: ST3-3625-US

in and to any and all improvements which are disclosed in the invention entitled:

RAILROAD FREIGHT CAR LOADING OR UNLOADING

Name of Inventor(s) DAVID KUN

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) ☐ U.S. patent application executed on even date herewith;
- (b) ☒ U.S. Patent Application No. 13/679,882 filed November 16, 2012.
- (c) ☐ U.S. Provisional application naming the above inventor(s) for the above-entitled invention:
☐ Express mail label no.: _____
mailed _____
U.S. SERIAL NO.: _____
FILING DATE: _____
- ☐ To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
- (d) ☐ U.S. patent application publication
No.: _____/
filed on _____.
- (e) ☐ International application no. PCT/US _____
- (f) ☐ U.S. patent No.: _____ issued
_____.
- ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application(s) or any continuation, continuation-in-part, division, renewal, or substitute thereof, and as to letters patent on any reissue or re-examination thereof.

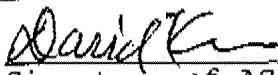
ASSIGNOR hereby warrants, represents, and covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

ASSIGNMENT OF INVENTION
Attorney Docket No.: ST3-3625-U5

ASSIGNOR further covenants that ASSIGNOR will, upon ASSIGNEE'S request, promptly provide all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR, and will testify to the same in any interference, litigation, or proceeding related thereto, and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments, declarations or affidavits required to apply for, obtain, maintain, issue, and enforce said application, said invention, and said Letters Patent, and said equivalents thereof which may be necessary to desirable to carry out the purposes hereof and thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date shown below.

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: APRIL 17, 2013	 Signature of ASSIGNOR
----------------------	--

☒ Notarization or Legalization Page added.

[illegible]

Before me this 17 day of April, 2013, personally appeared **DAVID KUN** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Dated: April 17, 2013.

(Seal or Stamp)

Rhonda Goodlee

Notary Signature

Rhonda Goodlove

Print Name _____

Notary Public in and for the State of Washington,
residing at Kent, WA.
My appointment expires 01/17/15.

ACKNOWLEDGED AND ACCEPTED:

SEA-TRAIN EXPRESS - LLC
(A Washington Limited Liability Company)

By: David Kun (signature)
By: DAVID KUN (print name)

Its: **MANAGING MEMBER**

Date: April 17, 2013

[illegible]

I certify that I know or have satisfactory evidence that DAVID KUN is the person who appeared before me, and said person acknowledged that he signed this instrument, on oath stated that he was authorized to execute the instrument and acknowledged it as the Managing Member of SEA-TRAIN EXPRESS – LLC, to be the free and voluntary act of such party, for the uses and purposes mentioned in the instrument.

Dated: Apr: 17, 2013.

(Seal or Stamp)

Phonda Goodman

Notary Signature

Rhonda Goodloe

Print Name _____

Notary Public in and for the State of Washington,
residing at Kent, WA
My appointment expires 01/17/15.

A circular notary seal for Rhonda Goodloe. The outer ring contains the text "RHONDA GOODLOE" at the top and "STATE OF WASHINGTON" at the bottom. The inner circle contains the text "COMMISSION EXPIRES" at the top, "NOTARY" in the center, and "PUBLIC 01-17-15" at the bottom.

PATENT

RECORDED: 04/17/2013

REEL: 030231 FRAME: 0005