PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Oculex Pharmaceuticals	12/18/2003

RECEIVING PARTY DATA

Name:	Allergan, Inc.
Street Address:	2525 Dupont Drive
Internal Address:	T2-7H
City:	Irvine
State/Country:	CALIFORNIA
Postal Code:	92612

PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	8242099

CORRESPONDENCE DATA

Fax Number: 7142464249

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 7142464500

Email: patents_ip@allergan.com

Correspondent Name: Allergan, Inc.

Address Line 1: 2525 Dupont Drive

Address Line 2: T2-7H

Address Line 4: Irvine, CALIFORNIA 92612

ATTORNEY DOCKET NUMBER:	17656CON3(OCU)
NAME OF SUBMITTER:	Louis V. Wollenberger
Signature:	/Louis V. Wollenberger/
Date:	04/23/2013
	PATENT

502321645 REEL: 030270 FRAME: 0281

82/12/199

CH #40.00

Total Attachments: 14

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UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

MORRISON & FOERSTER

JUNE 06, 2001

PTAS

MORRISON & FOERSTER LLP REBECCA SHORTLE 755 PAGE MILL ROAD PALO ALTO, CALIFORNIA 94304-1018

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/14/2001

REEL/FRAME: 011633/0943

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WONG, VERNON G.

DOC DATE: 01/31/2001

ASSIGNOR:

HU, MAE W.L.

DOC DATE: 01/31/2001

ASSIGNEE:

OCULEX PHARMACEUTICALS, INC. 601 W. CALIFORNIA STREET SUNNYVALE, CALIFORNIA 94086

SERIAL NUMBER: 09693008

PATENT NUMBER:

FILING DATE: 10/20/2000

ISSUE DATE:

PAULA MCCRAY, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

	04-04-2001	OF THE CASE OF THE PARTY OF THE CASE OF TH
		OVER SHEET Docket No. 440882000200
3.14.01	101667968	, DECEIVER
To the Commi	ssioner of Patents and Trademarks: Please	e record the attached original documents or copy thereof.
Name of conveying party(Vernon G. WONG and Ma	ies): ac W.L. HU □ Association	2. Name and address of edelling party(ies): Name: Oculex Pharmaceuticals, Inc. Internal Address:
☐ General Partnership ☐ Corporation-State	☐ Limited Partnership ☐ Other	Street Address: 601 W. California Street City: Sunnyvale, State: CA-ZIR-94086
Additional name(s) of conveyir	ng party(ies) attached? □Yes 图No	
Nature of conveyance: ■ Assignment □ Security Agreement □ Other: Execution Date: January 31, 200	☐ Merger ☐ Change of Name	Additional name(s) & address(es) attached? 口 Yes 区 No
A. Patent Application N 09/693,008 Additional numbers attach	ned? □ Yes ⊠ No	B. Patent No.(s)
Name and address of party document should be mailed	to whom correspondence concerning	6. Total number of applications and patents involved: 1 7. Total fee (37 C.F.R. § 3.41): \$40.00
apeninent anound of married		1. 10tal fee (3) C.I.R. § 3.41). \$40.00
Rebecca Shortle Morrison & Foerster 755 Page Mill Road Palo Alto, California	LLP	☐ Enclosed ☐ Authorized to be charged to deposit account, referencin Attorney Docket 440882000200 (plus duplicate copy for the company of the company of the company of the company of the copy of the company of the
Rebecca Shortle Morrison & Foerster 755 Page Mill Road Palo Alto, California	94304-1018	☐ Enclosed Authorized to be charged to deposit account, referencing Attorney Docket 440882000200 (plus duplicate copy for fee processing) 8. Deposit account number: 03-1952
Rebecca Shortle Morrison & Foerster 755 Page Mill Road Palo Alto, California	94304-1018 I to charge any fees under 37 C.F.R. § 1.21 that may be	☐ Enclosed ☑ Authorized to be charged to deposit account, referencing Attorney Docket 440882000200 (plus duplicate copy for fee processing) 8. Deposit account number: 03-1952 e required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.
Rebecca Shortle Morrison & Foerster 755 Page Mill Road Palo Alto, California The Commissioner is hereby authorized	94304-1018 I to charge any fees under 37 C.F.R. § 1.21 that may be	☐ Enclosed ② Authorized to be charged to deposit account, referencing Attorney Docket 440882000200 (plus duplicate copy for fee processing) 8. Deposit account number: 03-1952
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Rebecca Shortle Morrison & Foerster 755 Page Mill Road Palo Alto, California The Commissioner is hereby authorized O. Statement and signature. To the best of my knowled document. Name: Rebecca Shortle	94304-1018 I to charge any fees under 37 C.F.R. § 1.21 that may be DO NOT USE dge and belief, the foregoing information is signature	Enclosed Authorized to be charged to deposit account, referencin Attorney Docket 440882000200 (plus duplicate copy for fee processing) 8. Deposit account number: 03-1952 e required by this paper, or to credit any overpayment to Deposit Account No. 03-1952. THIS SPACE s true and correct and any attached copy is a true copy of the original

pa-572001

ney Docket No.: 440882000200

ASSIGNMENT JOINT

THIS ASSIGNMENT, by Vernon G. WONG and Mae W. L. HU (hereinafter referred to as the assignors), residing at 180 Sand Hill Circle, Menlo Park, California 94025 and 26705 St. Francis Drive, Los Altos Hills, California 94022, respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in METHODS FOR TREATING INFLAMMATION-MEDIATED CONDITIONS OF THE EYE, set forth in an application for Letters Patent of the United States, bearing Serial No. 09/693,008 and filed on October 20, 2000; and

WHEREAS, Oculex Pharmaceuticals, Inc., a corporation duly organized under and pursuant to the laws of California and having its principal place of business at 601 W. California Street, Sunnyvale, California 94086 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-inpart of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

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131 01	Vanx
Date	Vernon G. WONG
1/31/01	· Mue N.L. Hu
Date	Mae W. L. HU

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ASSIGNMENT

WHEREAS: ALLERGAN, INC., a Delaware corporation, having its principal place of business at 2525 Dupont Drive, Irvine, California 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title and interest in, to and under certain inventions and in, to and under corresponding Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

WHEREAS: OCULEX PHARMACEUTICALS, INC., a California corporation, having its principal place of business at 601 W. California Street, Sunnyvale, CA 94086 (hereinafter ASSIGNOR) owns the entire right, title and interest in, to and under certain inventions, corresponding U.S. patent applications and foreign rights directed thereto.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire right, title and interest in, to and under certain inventions in the United States and its territorial possessions and in all foreign countries to all Letters Patents or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for certain inventions by certain applications set forth in Appendix "A" and any continuation, divisional, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted; said sale, transfer and assignment effective November 20, 2003.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 20th day of November 2003.

OCULEX PHARMACEUTICALS, INC.

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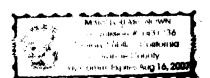
Matchew T. Maletta Assistant Secretary

State of <u>CALIFORNIA</u>) (ss County of <u>ORANGE</u>)

On December _______, 2003, before me, Mary Lou McNown, notary public, personally appeared MATTHEW J. MALETTA personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Novary Public





APPENDIX "A"

SERIAL NUMBER	TITLE	ALLERGAN NO.
09/160,635	Formulation for Con- trolled Release of Drugs by Combining Hydrophilic and Hydrophobic Agents	17652-CON
10/327,018	Improved Formulation for Controlled Release of Drugs by Combining Hydro- philic and Hydrophobic Agents	- 17652-CON2
09/693,008	Methods for Treating Inflammation-Mediated Conditions of the Eye	17656
10/671,816	Methods for Treating Inflammation-Mediated Conditions of the Eye	17656-CON
09/997,094	Methods for Reducing or Preventing Transplant Rejection in the Eye and Intraocular Implants for Use Therefor	17657
10/246,884	Methods and Apparatus for Delivery of Ocular Implants	r 17658
10/666,872	Methods and Apparatus for Delivery of Ocular Implants	r 17658-CIP
10/340,237	Biodegradable Ocular Implant	17659
60/486,690	Method and Apparatus for Delivery of Ocular Implants	17658 17663-PROV/
60/495,570	Method and Apparatus for Delivery of Ocular Implants	17658 17663 -PROV2

Date: Sail. Title: See Appendix "A" Dkt. No.See Appendix "A" Enclosed Are: Specification #, Claims #, and Abstract # Drawings (sheets) Formal Informal Info. Disc. Statement Priority Documents #	_ Declaration, Power of Attorney xAssignment & Cover Sheet _ Amendment (Final) (# pgs) _ Certificate of Mailing _ Issue Fee Transmittal _ Transmittal Letter
Priority Documents # PTO 1449 W/References PCT Request (# pgs) PCT Demand (# pgs) PCT Response (# pgs) PCT Amendment (# pgs)	_ Transmittal Letter _ Extension of Time X Express Mail No. EV193717690US 2 Original Assignments _ (1 for Patents & 1 for _ Patent Applications)

Title: See Appendix "A"	Serial No.: See Appendix
Dkt. No.See Appendix FIA OF PUBLICATION OF PUBLICAT	Declaration, Power of Attorney XASsignment & Cover Sheet Amendment (Final) (# pgs) Certificate of Mailing Issue Fee Transmittal
PCT Amendment (# pgs)	

Page	1	of	2



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	To: The Commissioner of Pa	atents and Trademarks,			
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3.		CONVEYIN	G PARTIES		
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	Oculex Pharmaceuticals, Inc.			December 18, 2003	
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4.	 Oculex Pharmaceuticals, Inc. 3. 	Attached		December 18, 2003	
4.	 Oculex Pharmaceuticals, Inc. 3. 	Attached RECEIVING		December 18, 2003	
4.	Oculex Pharmaceuticals, Inc. Additional Conveying Parties	Attached RECEIVING Names of Reco	eiving Parties		
4.	Oculex Pharmaceuticals, Inc. Additional Conveying Parties Name Allergan, Inc.	Attached RECEIVING	eiving Parties		
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	1. Oculex Pharmaceuticals, Inc. 2. 3. Additional Conveying Parties Name Allergan, Inc. Address 1 2525 Dupont Drive Address 2 Irvine, CA 92612	Attached RECEIVING Names of Reco	eiving Parties		
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	1. Oculex Pharmaceuticals, Inc. 2. 3. Additional Conveying Parties And Address 1 2525 Dupont Drive Address 2 Irvine, CA 92612 Additional Receiving Parties At If document is an assignment.	RECEIVING Names of Rece tached	eiving Parties		

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_	DOMESTIC REPRESENTATIVE NAME AND ADDRESS				
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A	COI COO 2				
A	ddress 2 2525 Dupont Drive, Irvine, CA 92612				
·	CORRESPONDENCE N	AMF AND ADDRESS			
 	ame Stephen Donovan (T2-7H)	i di			
	ddress 1 Allergan, Inc.				
	ddress 2 2525 Dupont Drive, Irvine, CA 92612 elephone 714-246-4026 and Fax 714-246-4249				
	elephone /17-270-7020 and rax /27 2 to 12 is				
	Total Number of pages of the conveying document, including attachments: 3 pages				
	APPLICATION NUMBER OR PATENT NUME	BER (either; not both for same property)			
A	pplication Number	Patent Number See attached Appendix "A"			
A	pplication Number If this document is being filed with a NEW patent applic	Patent Number			
A	If this document is being filed with a NEW patent applic of execution of the Assignment by the first inventor: Title of Patent Application:	Patent Number			
A _t	If this document is being filed with a NEW patent applic of execution of the Assignment by the first inventor: Title of Patent Application: Docket No.: Date of Execution by First Inventor:	Patent Number			
Ate o	If this document is being filed with a NEW patent applic of execution of the Assignment by the first inventor: Title of Patent Application: Docket No.: Date of Execution by First Inventor: Total Number of Properties Involved: 11	Patent Number			
Ate o	If this document is being filed with a NEW patent applic of execution of the Assignment by the first inventor: Title of Patent Application: Docket No.: Date of Execution by First Inventor: Total Number of Properties Involved: 11 The fee amount (37 CFR §3.41) of \$440.00 X may be debited from our Deposit Account No. 0 is enclosed as check no	Patent Number cation, enter the Docket No., Title of the Invention, and			
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ASSIGNMENT OF ISSUED U.S. PATENTS

WHEREAS: ALLERGAN, INC., a Delaware corporation, having its principal place of business at 2525 Dupont Drive, Irvine, California 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title and interest in, to and under certain inventions and in, to and under corresponding Letters Patent obtained in the United States.

WHEREAS: OCULEX PHARMACEUTICALS, INC., a California corporation, having its principal place of business at 601 W. California Street, Sunnyvale, CA 94086 (hereinafter ASSIGNOR) owns the entire right, title and interest in, to and under all the inventions listed on the attached Appendix "A", corresponding U.S. patent applications and foreign rights directed thereto.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire right, title and interest in, to and under certain inventions and corresponding United States Letters Patents set forth in Appendix "A" and any continuation, divisional, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted; said sale, transfer and assignment effective November 20, 2003. ASSIGNOR further sells, transfers and assigns to ASSIGNEE the right to recover past damages for infringing one or more of such patents, such damages having accrued while one or more of such patents was owned by ASSIGNOR; said sale, transfer and assignment effective November 20, 2003.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this _____ day of December 2003.

OCULEX PHARMACEUTICALS, INC.

Matthew Maletta
Assistant Secretary

State of CALIFORNIA) (ss

County of ORANGE)

On December ______, 2003, before me, Mary Lou McNown, notary public, personally appeared MATTHEW J. MALETTA personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public

MARY LOU MC NOWN
Commission # 1431736
Notary Public - California
Cyange County
My Comm. Expires Aug 16, 2007

APPENDIX "A"

PATENT NUMBER	INVENTORS	ALLERGAN NO.
4,853,224	Vernon G. Wong	17648
4,997,652	Vernon G. Wong	17648-CIP
5,164,188	Vernon G. Wong	17649
5,443,505	Vernon G. Wong and Frank Kochinke	17650
5,766,242	Vernon G. Wong and Frank Kochinke	17650-CON2
5,824,072	Vernon G. Wong	17650-CON3
5,632,984	Vernon G. Wong; King Y. Lee and Jerry B. Gin	17651
5,869,079	Vernon G. Wong; Frank Kochinke	17652
6,331,313	Vernon G. Wong; Mae W. L. Hu; Donald E. Berger, J	17653 Jr.
6,369,116	Vernon G. Wong; and Lin Peng	17654 - 1/1652 CON-CIP
5,006,310	Schirmer Tear Test	17655

5.			
	DOMESTIC	C REPRESENTA	ATIVE NAME AND ADDRESS
	Name Martin A. Voet, Assistant S	Secretary	
	Address 1 Allergan, Inc.		
-	Address 2 2525 Dupont Drive, Irvine,	CA 92612	
5. ₍			
-		ESPONDENCE	NAME AND ADDRESS
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ł	Address 1 Allergan, Inc.		
-	Address 2 2525 Dupont Drive, Irvine, CA	-	
L	Telephone 714-246-4026 and Fax 714-246	o-4249	
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	Application Number See attached Appe	ndix "A"	Patent Number
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	e of execution of the Assignment by the firs	EW patent appli t inventor:	Patent Number ication, enter the Docket No., Title of the Invention, and
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