

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Robert W. Gaines Jr.	04/19/2013
RECEIVING PARTY DATA	
Name:	K2M, Inc.
Street Address:	751 Miller Drive SE, Suite F-1
City:	Leesburg
State/Country:	VIRGINIA
Postal Code:	20175
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13548985
CORRESPONDENCE DATA	
Fax Number:	6315013526
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	631-501-5700
Email:	docket@cdfslaw.com, dspinillo@cdfslaw.com
Correspondent Name:	Carter Deluca Farrell & Schmidt
Address Line 1:	445 Broad Hollow Road
Address Line 4:	Melville, NEW YORK 11747
ATTORNEY DOCKET NUMBER:	1449-320DIV
NAME OF SUBMITTER:	Dana A. Brussel
Signature:	/dana a. brussel/
Date:	04/25/2013
Total Attachments: 5 source=00426588#page1.tif source=00426588#page2.tif source=00426588#page3.tif source=00426588#page4.tif source=00426588#page5.tif	

CH \$40.00 13548985

Attorney Docket No. 1449-320DIV

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application or ☒ U.S. Patent
By : ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: Robert W. Gaines, Jr.

(Inventor(s) or
person(s) or entity(es)
who own the invention)

(If assignment is by person or entity to whom invention was previously assigned and this
was recorded in PTO add the following)

Recorded on

Reel:

(Assignment of Invention [16-3]-- page 1 of 3)

PATENT
REEL: 030284 FRAME: 0164

Frame:

hereby sells, assigns and transfers to

ASSIGNEE:

K2M, Inc.

(Type or print name of ASSIGNEE)

751 Miller Drive SE, Suite F-1

Address

Leesburg, VA 20175

United States

Nationality

(Assignment of Invention [16-3]-- page 2 of 3)

PATENT
REEL: 030284 FRAME: 0165

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____ %) interest for the United States and its territorial possessions

in and to any and all improvements which are disclosed in the invention entitled:

USE OF BIOABSORBABLE MATERIAL FOR ANTERIOR EXTRADISCAL
CORRECTION OF THORACOLUMBAR PATHOLOGIES

(Title of invention)

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith.
- (b) ☐ U.S. patent application executed on _____.
- (c) ☒ U.S. application Serial No. 13/548,985 filed on July 13, 2012.
- (d) ☐ U.S. provisional application No. _____ filed on _____.
- (e) ☒ U.S. Patent No. 8,221,468 issued August 17, 2012.
U.S. Patent No. 6,524,311 issued February 25, 2003.
- (f) ☐ PCT application No. PCT/US _____
filed on _____.
- ☐ A change of address to which correspondence is to be sent
regarding patent maintenance fees is being sent
separately.
- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority and,
in and to, all Letters Patent to be obtained for said invention by the above application or any

(Assignment of Invention [16-3]-- page 3 of 3)

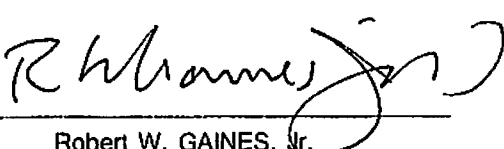
continuation, continuation-in-part, divisional, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

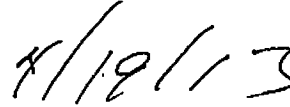
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this _____ (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.



Robert W. GAINES, Jr.



Dated

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person
authorized to sign on behalf of ASSIGNOR

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.