# PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Assignee previously recorded on Reel 013765 Frame 0358. Assignor(s) hereby confirms the assignment.

### **CONVEYING PARTY DATA**

Name	Execution Date
Peter Bertinato	01/14/2003
Brian S Bronk	12/19/2002
Hengmiao Cheng	01/09/2003
Jin Li	01/31/2003
Alan E Blize	12/19/2002
Hiep Huatan	02/05/2003
Clive Mason	02/05/2003

### **RECEIVING PARTY DATA**

Name:	Pfizer Inc.
Street Address:	235 East 42nd Street
City:	New York
State/Country:	NEW YORK
Postal Code:	10017

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10177858

## **CORRESPONDENCE DATA**

Fax Number: 9736608865

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 9736605073

Email: AnimalHealthDocketing@zoetis.com

Correspondent Name: E. Victor Donahue

Address Line 1: Pfizer Inc.

Address Line 2: 5 Giralda Farms, Floor 3 Address Line 4: Madison, NEW JERSEY 07940

**PATENT** 

**REEL: 030295 FRAME: 0585** 

ATTORNEY DOCKET NUMBER:	PC11807A
NAME OF SUBMITTER:	E. Victor Donahue
Signature:	/E. Victor Donahue/
Date:	04/26/2013
Total Attachments: 9 source=PC11807A-CORRECTIVE-Assignm	nent#page2.tif nent#page3.tif nent#page4.tif nent#page5.tif nent#page6.tif nent#page6.tif nent#page7.tif



Pfizer Inc. Legal Division Patent Department-3D8 Five Giralda Farms Madison, New Jersey 07940 Tel: 973 660 5073

# CORRECTIVE DOCUMENT FOR RECORDING UNDER MPEP 323.01(a) (II) Typographical Error in Cover Sheet that Affects Title

<u>Via Electronic Patent Assignment System</u>
Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Assignment(s) for U.S Patent or Application Number 10/177, 858

Previously Recorded At: 0/3765/0358

SIR:

This is a request to correct an obvious typographical error in a previously recorded Assignment Recordation Cover Sheet that accompanied an Inventor Assignment, there being no errors on the Assignment. The Application or Patent number in question, and the reel and frame identification information are provided immediately above. Copies of the Assignment and the original (defective) Recordation Cover Sheet are attached.

The obvious typographical error in the original Recordation Cover Sheet is one that affects title [an additional receiving party is accidentally named], and therefore the matter should be handled in accord with MPEP 323.01(a)(II). It is requested that the present explanatory letter also now be recorded, as is permitted under 37 CFR 3.11 (a), MPEP Section 313. Re-recording of the original Assignment with the new Corrected Recordation Cover Sheet is therefore respectfully requested. The circumstances of the obvious typographical error are as follows.

Pfizer Inc. headquartered in New York, New York is a pharmaceutical company that routinely files patent applications. Pfizer Products, Inc, is a subsidiary company of Pfizer Inc., and is headquartered in Groton, Connecticut. For many patent applications filed by Pfizer, the inventors execute assignments in favor of Pfizer Inc. (their employer) as the assignee of the invention for the United States, whereas Pfizer Products, Inc., is identified as the assignee of the same invention for all foreign nations (i.e. outside the United States). Thus, in a typical case, a Pfizer inventor assignment document recites in its first substantive paragraph than USA rights are

owned by Pfizer Inc, and in its second substantive paragraph, the assignment goes on to recite that foreign rights are owned by Pfizer Products Inc. Then, when it comes time to record the assignment for USA purposes at the U.S. Patent Office, the USA recordation cover sheet should list ONLY ONE RECEIVING PARTY, Pfizer Inc.

Unfortunately, through administrative inattention, from time to time, a <u>USA Recordation Cover Sheet</u> would be prepared that accidentally listed BOTH Pfizer Inc, and Pfizer Products, Inc. as receiving Parties, <u>for USA rights</u>. Of course, this is not correct, since it is inconsistent with the text of the underlying assignment document, i.e. according to the actual Assignment there is ONLY ONE owner of the USA rights—Pfizer Inc.

However, on occasion, the attorney responsible for the case would then simply sign the erroneous Recordation Cover Sheet, and not spot the clerical error. Since the U.S. Patent Office act of recording is administrative, and the Office does not normally read assignments, but instead, simply records on the basis of the information provided on the Recordation Cover Sheet, this has led to the erroneous result that, in the affected applications and patents, U.S. Patent Office records appear to reflect that the USA rights are owned by two companies, when in fact, there is only one owner/assignee company — Pfizer Inc. This error was recently discovered.

The undersigned hereby certifies that all facts recited herein are true, that the correction requested herein refers to an obvious clerical error which did not involve any deceptive intent, that the information on the new recordation cover sheet(s) is true and correct, and that any copies submitted herewith are true and correct copies of the originals.

The proper fee of \$40 under 37 CFR 1.21(h) should be charged to **Deposit Account No.** 16-1445, and any deficiency in fees may be similarly charged.

Dated: 나아니

Respectfully submitted.

E. Victor Donahue, Esq.

Senior Corporate Counsel, Pfizer Inc.

USPTO Registration No. 35,492

e-mail: AnimalHealthDocketing@zoetis.com



11807A AKM

JUNE 30, 2003

GREGG C. BENSON

GROTON, CT 06340

EASTERN POINT ROAD, MS 8260-1611

PFIZER INC.

PTAS

Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/19/2003

REEL/FRAME: 013765/0358

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BERTINATO, PETER

DOC DATE: 01/14/2003

ASSIGNOR:

BRONK, BRIAN S.

DOC DATE: 12/19/2002

ASSIGNOR:

CHENG, HENGMIAO

DOC DATE: 01/09/2003

ASSIGNOR:

LI, JIN

DOC DATE: 01/31/2003

ASSIGNOR:

HUATAN, HIEP

DOC DATE: 02/05/2003

ASSIGNOR:

MASON, CLIVE

DOC DATE: 02/05/2003

RECEIVE D

ASSIGNEE:

PFIZER INC.

235 EAST 42ND STREET

NEW YORK, NEW YORK 10017-5755

enteren by .....

~~~

013765/0358 PAGE 2

ASSIGNEE:

PFIZER PRODUCTS INC. EASTERN POINT ROAD BLDG. 260, 1ST FLOOR GROTON, CONNECTICUT 06340

SERIAL NUMBER: 10177858

PATENT NUMBER:

FILING DATE: 06/20/2002

ISSUE DATE:

JEEVON JONES, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

02-24-2003

| FORM PTO-1595 RI 1-31-92                                                                                                                                                                                                                     | T U.S. DEPARTMENT OF COMMERCE Patent and Trademark                                                                                                                                                                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| To the Honorable Commissioner of Patents and Trademarks: Please reco                                                                                                                                                                         | U249 rd the attached original documents or copy thereof.                                                                                                                                                          |
| 1. Name of conveying party(ies): Peter Bertinato, Brian S. Bronk, Hengmiae Cheng, Jin Li, Hiep Huatan and Clive Mason  Additional name(s) of conveying party(ies) attached?  2.9-03                                                          | Name and address of receiving party(ies):  Name: Pfizer Inc. Street Address: 235 East 42nd Street (150/5) City: New York State: New York Zip: 10017-5755 and                                                      |
| 3. Nature of conveyance:  Assignment Merger  Security Agreement Change of Name  Other  Execution Date: December 19th, 2002; January 9th, 14th, 31st and February 5th, 2003.                                                                  | Name: Pfizer Products Inc. Internal Address: Bidg. 260, 1st Floor Street Address: Eastern Point Road City: Groton State: Connecticut Zip: 06340  Additional name(s) & address(es) attached? Yes No                |
| 4. Application number(s) or patent number(s): 10/177,858 fi                                                                                                                                                                                  | led June 20, 2002 PC11807A                                                                                                                                                                                        |
| If this document is being filed together with a new application A. Patent Application No.(s)  B. Patent                                                                                                                                      | tion, the execution date of the application is 5                                                                                                                                                                  |
| Additional number                                                                                                                                                                                                                            | rs attached? ☐ Yes ☒ No ☐ 👼 👸                                                                                                                                                                                     |
| 5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Gregg C. Benson Internal Address: Pfizer Inc.  Street Address: Eastern Point Road, MS 8260-1611  City: Groton State: Connecticut ZIP: 06340 | fs attached? Yes No 53 53 66. Total number of pages including cover sheet, 53 66. Total number of pages including cover sheet, 53 66. Total number of pages including cover sheet, 53 66. Total fee (37 CFR 3.41) |
|                                                                                                                                                                                                                                              | 8. Deposit account number:  18-1445  (Attach duplicate copy of this page if paying by deposit account)                                                                                                            |
| DO NOT                                                                                                                                                                                                                                       | USE THIS SPACE                                                                                                                                                                                                    |
| 9. Statement and signature. To the best of my knowledge and belief, the foregoing the original or a true copy of the original document.  Ariene K. Musser                                                                                    | ng information is true and correct and any attached document is    Mursu3/10/03                                                                                                                                   |
|                                                                                                                                                                                                                                              | lignature / Dete                                                                                                                                                                                                  |
|                                                                                                                                                                                                                                              | s including cover sheet: 5                                                                                                                                                                                        |
| Mail documents to be recorded with required cover sheet information to:  Box Assignmer  Director • U.S.    Washington, D.  Public burden reporting for this sample cover sheet is estimated to average                                       | Patents and Trademark Office  C. 20231  about 30 minutes per document to be recorded, including time for reviewing the sample cover sheet. Send comments regarding this burden estimate to the                    |
|                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |

01 FC:8021

02/21/2003 LMUELLER 00000225 161445 10177858 40.00 CH

ASSIGNMENT RECORDAL CVR SHEET (GROTON) TO COMMIS (FILED PREV).DOT, 10

**REEL: 030295 FRAME: 0591** 

### ASSIGNMENT

For valuable consideration, the receipt and adequacy of which is hereby acknowledged, we, Peter Bertinato, Brian S. Bronk, Hengmiao Cheng, Jin Li, Hiep Huatan and Clive Mason, of One Long Acre Lane, Old Lyme, Connecticut, 06371, United States of America; 66 Partridge Hollow Road, Gales Ferry, Connecticut, 06335, United States of America; 39 Mayfield Terrace, East Lyme, Connecticut, 06333, United States of America: 13 Canterbury Lane, Pawcatuck, Connecticut, 06379, United States of America; 9 Betony Gardens, Weavering, Maidstone, Kent, ME14 5FR, Great Britain; and 108 Mill Road, Deal, Kent, CT14 9AQ, Great Britain respectively, hereby sell, assign and transfer unto PFIZER INC., a corporation organized and existing under the laws of the State of Delaware, United States of America, and having its principal place of business at 235 East 42nd Street, New York, New York 10017, United States of America, our entire right, title and interest, except as limited hereinbelow, in and to non-provisional patent application number 10/177,858 of the United States of America, having PFIZER INC. Docket No. PC11807A, and entitled Triamide-Substituted Heterobicyclic Compounds; and our entire right, title and interest, in the United States of America, in and to all our inventions, whether joint or sole, disclosed in said provisional patent application; and our entire right, title and interest in and to all applications filed in the United States of America for Letters Patent for any or all of said inventions; and our entire right, title and interest in and to all Letters Patent granted in the United States of America on the foregoing applications;

and we hereby sell, assign and transfer unto PFIZER PRODUCTS INC., a corporation organized and existing under the laws of the State of Connecticut, United States of America, and having its place of business at Eastern Point Road, Groton, Connecticut 06340, United States of America, our entire right, title and interest, in all countries of the world except the United States of America, in and to all our inventions, whether joint or sole, disclosed in said provisional patent application; and our entire right, title and interest in and to all patent applications filed outside the United States of America for Letters Patent for any or all of said inventions; and our entire right, title and interest in and to all Letters Patent granted outside the United States of America on said patent applications filed outside the United States of America; and the right to claim priority from said provisional patent application under the Paris Convention for the Protection of Industrial Property, and under any and all other such treaties and agreements to

ASSIGNMENT PFIZER INC - PPIJOINT DOT, 9/99

which the United States of America is a party and which afford similar priority-claiming privileges, in all countries of the world except the United States of America;

and we hereby agree, whenever requested, to communicate to said PFIZER INC. and said PFIZER PRODUCTS INC., and their successors and assigns, any facts known to us respecting said inventions, to testify in any legal proceeding respecting said inventions, and to execute all applications or papers necessary to obtain and maintain proper patent protection on said inventions in all countries of the world.

| Signed and witnessed this                 | day of January 2003 at  |
|-------------------------------------------|-------------------------|
|                                           | Peter Bertinato         |
|                                           | reter derunato          |
| In the presence of the the                | ~                       |
| Forcal South                              |                         |
| (Typed or Printed Name of Witness)        | •                       |
| Signed and witnessed this 19th GROTON, CT | day of DECEMBER 2002 at |
|                                           |                         |
|                                           | Brian S. Bronk          |
|                                           | Drian 3. Dronk          |
| In the presence of:                       | 4.                      |
| ARLENE K. MUSSER                          |                         |
| (Typed or Printed Name of Witness)        | •                       |
| Signed and witnessed this 9th             | day of January, 2003 at |
| Grown, C                                  |                         |
| Groton, CT                                |                         |
| Groin, CI                                 | Hengmiao Cheng          |
| In the presence of:                       |                         |
| Grown C                                   |                         |

| Signed and witnessed this 1/31/03  Groton, CT         | day of                         |
|-------------------------------------------------------|--------------------------------|
|                                                       | mines                          |
|                                                       | Sinki                          |
| In the presence of:                                   | <u></u>                        |
| - Stral South                                         | <del></del>                    |
| (Typed or Printed Name of Witness)                    | <b>~</b> 1                     |
| Signed and witnessed this 19th                        | day of <u>December 7002</u> at |
|                                                       |                                |
|                                                       | Alan E. Blize                  |
| In the presence of:    Colombo                        |                                |
| Signed and witnessed this 5th Sandwich, Kent, England | day of February 2003 at        |
|                                                       | & Muston                       |
| \$ .                                                  | Hiep Huatin                    |
| In the presence of:                                   |                                |
| (J. Kendall.                                          | a                              |
| L.P. Kendall                                          |                                |
| (Typed or Printed Name of Witness)                    | •                              |

| Signed and witnessed this 5th      | day of February 2003 | at at |
|------------------------------------|----------------------|-------|
| Sandwich, Kent, England            | Z 0 - 1/1            |       |
|                                    | Mill                 |       |
|                                    | Clive Mason          |       |
| In the presence of:                |                      |       |
| (P. Kerodi.                        |                      |       |
| L.P. Kendall                       |                      |       |
| (Typed or Printed Name of Witness) |                      |       |

ASSIGNMENT PFIZER INC - PPI JOINT. DOT, 9/99