Form PTO-1595 (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008) U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

PSL	PATENTS ONLY S-131,970		
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.			
1. Name of conv	veying party(les)	2. Name and address of receiving party(les)	
	MATERIALS, INC. (WHOLLY	Name: United States Department of Energy	
OWNED BY THE DOW CHEMICAL COMPANY)		Internal Address:	
Additional name(s) of conveying party(les) attached? Yes No			
3. Nature of conveyance/Execution Date(s):		Street Address: 1000 Independence Ave. S.	
Execution Date(s) 11/01/2012			
Assignment Merger		City Mochinetes	
Security Agreement Change of Name		City: Washington	
Joint Research Agreement		State: D.C.	
	t Interest Assignment	Country: USA Zip: 20585	
	order 9424, Confirmatory License		
Other		Additional name(s) & address(es) attached? Yes	
• • •		document is being filed together with a new application. B. Patent No.(s)	
A. Patent Application No.(s) 13/597,739			
. 5.551,108			
Additional numbers attached? Yes Vo			
5. Name and address to whom correspondence		6. Total number of applications and patents	
concerning document should be mailed: Name: Mark P. Dvorscak		involved: 1	
***************************************		7. Total fee (37 CFR 1.21(h) & 3.41) \$	
Internal Addres	s:U.S. Dept. of Energy, IPLD	Authorized to be charged by credit card	
<u> </u>	_	Authorized to be charged to deposit account	
Street Address: 9800 S. Cass Ave		☐ Enclosed ☐ None required (government interest not affecting till	
		None required (government interest not affecting till	
City: <u>Argonne</u>		8. Payment Information	
State: IL	Zip: <u>60439</u>	a. Credit Card Last 4 Numbers Expiration Date	
Phone Number: <u>630-252-2393</u>		b. Deposit Account Number	
Fax Number: 630-252-2779			
Email Address:		Authorized User Name	
9. Signature:	1/2//	4/29/2013	
_	Signature	Date	
	Michael J. Dobbs #58.093 Name of Person Signing	Total number of pages including cover sheet, attachments, and documents:	

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 030314 FRAME: 0220

04/29/2013 00:45 FAX

CONFIRMATORY INSTRUMENT

NAME OF CONTRACTOR: Lightscape Materials, Inc. (wholly owned by The Dow Chemical Company)	2. CONTRACT NO.: DE-EE0003245		
3. TITLE OF INVENTION: OXYCARBIDONITRIDE BASED PHOSPHORS AND LED LIGHTING DEVICES			
4. NAME OF INVENTOR(S): Yuanqiang Li; Michael Dennis Romanelli; Yongchi Tian			
5. SERIAL NO.: 13/597739	6. FILING DATE: August 29, 2012		
The invention identified above is a "Subject Invention" under Patents Rights Clause 10 CFR 600.325 Appendix A, (OCT 2003) included in Contract No. DE-EE0003245 with U.S. Department of Energy This document is confirmatory of the paid-up license granted to the Government under this contract in this invention, patent applications and any resulting patents, and of all other rights acquired by the Government by the reference clause. The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.			
Mitche Novem Busines The	gnature) Company Co		
	5 Building land, M1 48674		

PATENT REEL: 030314 FRAME: 0221

RECORDED: 04/29/2013