

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

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S-131,971

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LIGHTSCAPE MATERIALS, INC. (WHOLLY
OWNED BY THE DOW CHEMICAL
COMPANY)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 11/01/2012

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☒ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: United States Department of Energy

Internal Address: _____

Street Address: 1000 Independence Ave. S.W.

City: Washington

State: D.C.

Country: USA Zip: 20585

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

13/601,489

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Mark P. Dvorscak

Internal Address: U. S. Dept. of Energy, IPLD

Street Address: 9800 S. Cass Ave

City: Argonne

State: IL Zip: 60439

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Fax Number: 630-252-2779

Email Address: _____

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____**

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

8. Payment Information

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9. Signature:

Michael J. Dobbs
Signature

4/29/2013
Date

Michael J. Dobbs #58,093
Name of Person Signing

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2


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PATENT

700504423

REEL: 030314 FRAME: 0637

CONFIRMATORY INSTRUMENT

1. NAME OF CONTRACTOR: Lightscape Materials, Inc. (wholly owned by The Dow Chemical Company)	2. CONTRACT NO.: DE-EE0003245
3. TITLE OF INVENTION: HALOGENATED OXYCARBIDONITRIDE PHOSPHOR AND DEVICES USING SAME	
4. NAME OF INVENTOR(S): Yuanqiang Li; Michael Dennis Romanelli; Yongchi Tian	
5. SERIAL NO.: 13/601489	6. FILING DATE: August 31, 2012
<p>The invention identified above is a "Subject Invention" under Patents Rights Clause 10 CFR 600.325 Appendix A, (OCT 2003) included in Contract No. DE-EE0003245 with U.S. Department of Energy</p> <p>This document is confirmatory of the paid-up license granted to the Government under this contract in this invention, patent applications and any resulting patents, and of all other rights acquired by the Government by the reference clause.</p> <p>The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.</p>	
	<p>BY (Signature)</p>  <p>Mitchell Dibbs November 1, 2012</p> <p>Business Address:</p> <p>The Dow Chemical Company External Technology 1776 Building Midland, MI 48674</p>