

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Contribution Agreement
CONVEYING PARTY DATA	
Name	Execution Date
Spirogen Limited	07/19/2012
RECEIVING PARTY DATA	
Name:	SPIROGEN DEVELOPMENTS SARL
Street Address:	CHEMIN DE LA PACOTTAZ 1, C/O MICHAEL FORER
City:	ST-LEGIER-LA CHIESAZ
State/Country:	SWITZERLAND
Postal Code:	1806
PROPERTY NUMBERS Total: 16	
Property Type	Number
Patent Number:	6608192
Patent Number:	6660742
Patent Number:	7704924
Patent Number:	6562806
Patent Number:	7049311
Patent Number:	7067511
Patent Number:	7407951
Patent Number:	7265105
Patent Number:	7528126
Patent Number:	7612062
Patent Number:	7557099
Patent Number:	7429658
Patent Number:	7741319
Patent Number:	7511032
Patent Number:	7834005

OP \$640.00 6608192

Patent Number:

7244724

CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER:

065435-9000 CLY

NAME OF SUBMITTER:

Charlene L. Yager

Signature:

/charlene l. yager/

Date:

05/01/2013

Total Attachments: 6

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CONTRAT D'APPORTS EN NATURE
CONTRIBUTION AGREEMENT

entre
between

Spirogen Limited, 79 George Street, Ryde, Isle of Wight PO33 2JF, Royaume Uni/United Kingdom

(ci-après l'Apporteur)
(hereinafter the Contributor)

d'une part
on the one hand

et
and

Spirogen Developments Sàrl, Chemin de la Pacottaz 1, c/o Michael Forer, 1806 St-Légier-La Chiésaz, Suisse/Switzerland

(ci-après la Société)
(hereinafter the Company)

d'autre part.
on the other hand.

* * *

Article 1

L'apporteur apporte à la Société la pleine et entière propriété des brevets (y compris tous les droits de propriété intellectuelle y relatifs) dont elle est l'unique propriétaire et la part de copropriété des brevets (y compris tous les droits de propriété intellectuelle y relatifs) dont elle est copropriétaire selon liste ci-dessous (ci-après les Apports):

The Contributor contributes to the Company the full ownership of the patents (including any intellectual property rights related thereto) for which the Contributor is the sole proprietor and its share of co-ownership of the patents (including any intellectual property rights related thereto) for which the Contributor is co-proprietor, as per the following list (hereinafter the Contributions):

A. Propriété pleine et entière (Spirogen Limited as sole proprietor)

Case	Country	Filing date	App no.	Pub. No.
Patent 1	AU	27/08/99	9955261	758398
	CA	27/08/99	2341968	2341968
	JP	27/08/99	2000-571053	4669611
	NZ	27/08/99	510492	510492
	US	27/08/99	09/763,814	6562806
	EP*	27/08/99	99941766.0	1109811
Patent 2	AU	27/08/99	9956351	757510
	CA	27/08/99	2341471	2341471
	JP	27/08/99	2000-571054	4824165
	NZ	27/08/99	510493	510493
	US	27/08/99	09/763,767	7049311
	EP*	27/08/99	99943066.3	1109812
	US	27/08/99	10/021,213	7067511
	US	27/08/99	11/367,241	7265105
	EP*	27/08/99	01129700.9	1193270
	EP*	27/08/99	03028817.9	1413582
Patent 4	AU	27/08/99	9955260	763214
	CA	27/08/99	2341434	2341434
	JP	27/08/99	2000-571052	4689826
	NZ	27/08/99	510490	510490
	US	27/08/99	09/763,768	6608192
	EP*	27/08/99	99941765.2	1107969
	US	27/08/99	10/602,521	7704924
Patent 5	US	24/08/00	10/069,202	6909006
Patent 8	US	10/09/04	10/571,274	7429658
	EP*	10/09/04	04768420.4	1664049
	IN	10/09/04	1149/DELNP/2006	233948
Patent 9	AU	14/11/03	2003282251	2003282251
	CA	14/11/03	2505489	2505489
	CN	14/11/03	200380108373.0	ZL200380108373.0
	EP*	14/11/03	03773870.5	1575955
	IN	14/11/03	2067/DELNP/2005	245444
	JP	14/11/03	2004-550845	4750418
	NZ	14/11/03	540050	540050
	KR	14/11/03	10-2005-7008663	1059183

	US	14/11/03	10/534,825	7407951
Patent 10	US	9/3/2005	10/598,691	7528126
Patent 13	EP*	01/03/05	10174003.3	2270010
	US	01/03/05	10/598,518	7741319
	JP	01/03/05	2007-501340	4909258
	AU	01/03/05	2005219626	2005219626
Patent 15	EP*	01/03/05	05717848.5	1723151
	US	01/03/05	10/598,482	7557099
Patent 17	EP*	01/03/05	05717831.1	1727808
Patent 21	EP*	5/10/06	06794669.9	1931671
Patent 22	EP*	21/4/06	06726846.6	1879901
	GB	21/4/06	0720721.0	2439881
	US	21/4/06	11/911,890	7612062
	AU	21/4/06	2006238686	2006238686
	CN	21/4/06	200680015716.2	ZL200680015716.2
	MX	21/4/06	MX/A/2007/013039	281516
	NZ	21/4/06	563136	563136
Patent 24	ZA	21/4/06	2007/09615	2007/09615
	US	19/9/01	09/955,062	6660742
	EP*	19/9/01	01973146.2	1320522
	JP	19/9/01	2002534280	5010089
Patent 29	EP*	22/7/09	09784771.9	2318413
	ZA	22/7/09	2010/09202	2010/09202
	ZA	16/10/09	2011/02787	2011/02787
Patent 33	ZA	16/10/09	2011/01425	2011/01425

*details of European validations listed below

Case	Patent Number	Countries
Patent 1	EP1109811	AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE
Patent 2	EP1109812	AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE
	EP1193270	AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE
	EP1413582	AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE
Patent 4	EP1107969	DE, FR, GB, IT, NL
Patent 8	EP1664049	DE, FR, GB, IT, NL
Patent 9	EP1575955	CH, DE, FR, GB, NL
Patent 13	EP2270010	AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR
Patent 15	EP1723151	CH, DE, FR, GB, NL
Patent 17	EP1727808	DE, FR, GB
Patent 21	EP1931671	DE, FR, GB, NL
Patent 22	EP1879901	AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR
Patent 24	EP1320522	CH, DE, DK, FR, GB, IE, IT, NL, SE
Patent 29	EP2318413	DE, FR, GB, IT, NL

- B. Copropriété (Spirogen Limited, Government of The United States of America as represented by the Secretary of the Department of Health and Human Services, Starks Associates, Inc., and Midwest Research Institute as proprietors)

Case	Country	Filing date	App no.	Pub. No.
Patent 12	US	22/10/2004	10/576,689	7511032
	US	22/10/2004	12/370,856	7834005

- C. Copropriété (Spirogen Limited and Government of The United States of America as represented by the Secretary of the Department of Health and Human Services as proprietors)

Case	Country	Filing date	App no.	Pub. No.
Patent 20	US	13/05/2005	11/129,207	7244724

Article 2

En contrepartie, l'Apporteur recevra [REDACTED] parts sociales de la Société, d'une valeur nominale de CHF 100.- chacune, entièrement libérées (émises en conformité avec la décision prise lors de l'assemblée générale de la Société). [REDACTED]

As consideration, the Contributor will receive [REDACTED] Company Shares of the Company with a par value of CHF 100.- each, fully paid in (issued pursuant to the resolution taken by the general meeting of partners). [REDACTED]

Article 3

Le transfert de propriété, et des profits et risques, des Apports a lieu avec effet au jour de la signature du présent contrat.

The transfer of property, and of the profits and risks, of the Contributions will be effective as of the date of signature of this Agreement.

Article 4

[REDACTED]

Article 5

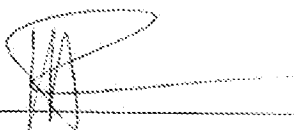
LE PRÉSENT CONTRAT EST SOUMIS AU DROIT MATÉRIEL SUISSE, LE FOR DE TOUT LITIGE SURVENANT AU SUJET DE LA PRÉSENTE CONVENTION OU S'Y RAPPORTANT ÉTANT AU LIEU DU SIÈGE DE LA SOCIÉTÉ.

THIS AGREEMENT IS GOVERNED BY SWISS MATERIAL LAW, PLACE OF JURISDICTION FOR ANY LITIGATION REGARDING THIS AGREEMENT BEING AT THE REGISTERED SEAT OF THE COMPANY.

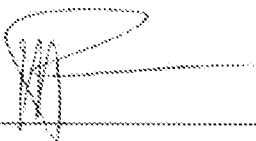
La version anglaise de ce contrat n'est qu'une traduction. Seul le texte français fait foi.
The English version of this Agreement is only a translation. Only the French text governs.

Lausanne, le 19 juillet 2012

Spirogen Limited



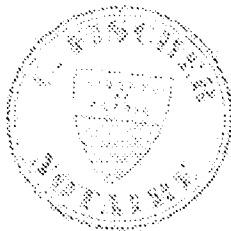
Spirogen Developments Sàrl :



NOTARIAL CERTIFICATE

I, Christophe Fischer, notary in Lausanne, hereby certify that **Spirogen Limited** of 79 George Street, Ryde, Isle of White, PO33 2JF, United Kingdom, is a company organised under the laws of England and Wales and that Michael Forer signed this document in my presence and is known to me to be the director of Spirogen Limited and authorised to sign on behalf of Spirogen Limited and that documents so signed are binding on Spirogen Limited. In Faith and Testimony whereof I have hereunto set my hand and affixed my seal of office this 19th day of July 2012.

Further, I, Christophe Fischer, notary in Lausanne, hereby certify that **Spirogen Developments Sàrl** of Chemin de la Pacottaz 1, c/o Michael Forer, 1806 St-Légier-La Chiésaz, Suisse/Switzerland, is a company organised under the laws of Switzerland and that Michael Forer signed this document in my presence and is known to me to be the director (*gérant*) of Spirogen Developments Sàrl and authorised to sign on behalf of Spirogen Developments Sàrl and that documents so signed are binding on Spirogen Developments Sàrl. In Faith and Testimony whereof I have hereunto set my hand and affixed my seal of office this 19th day of July 2012.



APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Pays: SUISSE
Le présent acte public
2. a été signé par Christophe Fischer
3. agissant en qualité de notaire à Lausanne
4. est revêtu du sceau/timbre de C. FISCHER - NOTAIRE

Attesté

5. à Lausanne
6. le 20 juillet 2012
7. par la Chancellerie d'Etat du Canton de Vaud
8. sous No 9772
9. Sceau/timbre:

10. Signature
pr le Chancelier d'Etat:

Christophe CHEVALLEY

