

DOCKET NUMBER: AMPC 5293

# RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

Brian J. Smith

date of assignment: May 1, 2013

Kevin D. Kennedy

date of assignment: May 1, 2013

2. Name and address of receiving party(ies):

United States of America, as  
represented by the Secretary of the Army  
101 Army Pentagon  
Washington, DC 20310-0101

3. Nature of conveyance: **ASSIGNMENT**

4A. Patent Application Number(s):

13/784,471

4B. Patent Number(s):

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5. Name and address of party to whom  
correspondence concerning document should be mailed:

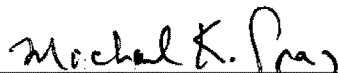
Commander  
Legal Office  
(AMSAM-LI, Michael Gray)  
US Army Aviation and Missile Command  
Redstone Arsenal, AL 35898-5000

6. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41): \$40.008. Please charge the required fee and any additional required fees to deposit account number 19-2201

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached  
copies are true copies of the original document.



Michael K. Gray, Reg. No. 33,703  
Telephone Number (256) 842-0639

May 1, 2013

Date

Faxed to US Patent and Trademark Office Assignment  
Division at 571-273-0140

3 pages total including this sheet.

CH \$40.00 192201 13784471

**ASSIGNMENT OF INVENTION**  
**Government-Employee Inventor Assignment to Government**

AMPC 5293

Title of Invention: **Safety Projectile for Firearms**Government Inventor: **Brian J. Smith**Application Serial No.: 13/784,471Filing Date: March 4, 2013

I, Brian J. Smith, the undersigned inventor, in consideration of the rights of the Government of the United States acquired by virtue of the circumstances under which the above-identified invention was made, hereby:

1. Assign to the Government of the United States, as represented by the Secretary of the Army, my entire rights, title and interest throughout the United States, its Territories, Possessions, and Puerto Rico, in and to the above-entitled invention and application for patent and all Letters Patent issuing thereon, and any continuation, continuation-in-part, substitution or division of said application and any reissue or extension of said Letters Patent.
2. Agree to assign to the Government upon its request, all my rights, title and interest in the invention in those foreign countries in which the Government, within eight months of the filing of the United States application for patent, determines to cause an application to be filed; provided that if the Government determines not to cause an application to be filed in any particular foreign country or fails to make such a determination, within the said eight months, all my rights, title and interest in the invention in such foreign country shall remain in me, subject to a nonexclusive, irrevocable, royalty-free license to the Government in any patent which may issue on the invention in such foreign country, including the power to issue sublicenses for use in behalf of the Government and/or furtherance of the foreign policies of the Government.
3. Agree to provide any further information within my knowledge and to execute any further documents necessary to the prosecution of patent applications on the invention, and the prosecution and settlement of interferences and recording of title to patent applications and patents issuing thereon.

Signature of Inventor: \_\_\_\_\_

Brian J. Smith

Inventor's Address: 14032 Monte Vedra Road, Huntsville, AL 35803

State of Alabama )

) ss.

County of Madison )

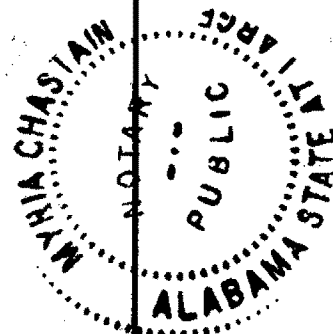
Date: May 1, 2013

On May 1, 2013, **Brian J. Smith**, who is known by me, or who produced a driver's license as identification, duly appeared before me and executed the foregoing instrument and acknowledged to me that he executed the same as his own free act and deed.

(Seal)

*Maria J. Chestain*  
 (Signature of Notary Public)

**My Commission Expires**  
**09-14-2013**



**ASSIGNMENT OF INVENTION**  
**Government-Employee Inventor Assignment to Government**

AMPC 5293

Title of Invention: **Safety Projectile for Firearms**Government Inventor: **Kevin D. Kennedy**Application Serial No.: **13/784,471**Filing Date: **March 4, 2013**

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1. Assign to the Government of the United States, as represented by the Secretary of the Army, my entire rights, title and interest throughout the United States, its Territories, Possessions, and Puerto Rico, in and to the above-identified invention and application for patent and all Letters Patent issuing thereon, and any continuation, continuation-in-part, substitution or division of said application and any reissue or extension of said Letters Patent.
2. Agree to assign to the Government upon its request, all my rights, title, and interest in the invention in those foreign countries in which the Government, within eight months of the filing of the United States application for patent, determines to cause an application to be filed; provided that if the Government determines not to cause an application to be filed in any particular foreign country or fails to make such a determination, within the said eight months, all my rights, title and interest in the invention in such foreign country shall remain in me, subject to a nonexclusive, irrevocable, royalty-free license to the Government in any patent which may issue on the invention in such foreign country, including the power to issue sublicenses for use in behalf of the Government and/or furtherance of the foreign policies of the Government.
3. Agree to provide any further information within my knowledge and to execute any further documents necessary to the prosecution of patent applications on the invention, and the prosecution and settlement of interferences and recording of title to patent applications and patents issuing thereon.

Signature of Inventor:

*Kevin D. Kennedy*  
 Kevin D. Kennedy

Inventor's Address: **1700 Emily Circle SE, Huntsville, AL 35802**State of Alabama )

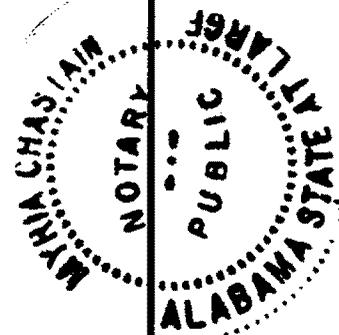
) ss.

County of Madison )Date: **May 1, 2013**

On May 1, 2013, **Kevin D. Kennedy**, who is known by me, or who produced a driver's license as identification, duly appeared before me and executed the foregoing instrument and acknowledged to me that he executed the same as his own free act and deed.

*Myria J. Chastain*  
 (Signature of Notary Public)

(Seal)



**My Commission Expires**  
**09-14-2013**

**PATENT**