## 2013036175

# CH \$40.00

### PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
Charles Emeka Egwuagu	04/24/2013
Ren-Xi Wang	04/25/2013
Cheng-Rong Yu	05/03/2013

### **RECEIVING PARTY DATA**

Name:	The United States of America, as represented by the Secretary, Department of Health and Human Services
Street Address:	Office of Technology Transfer, National Institutes of Health
Internal Address:	6011 Executive Boulevard, Suite 325, MSC 7660
City:	Bethesda
State/Country:	MARYLAND
Postal Code:	20892-7660

#### PROPERTY NUMBERS Total: 1

Property Type	Number
PCT Number:	US2013036175

### **CORRESPONDENCE DATA**

**Fax Number**: 3126165700

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 312-616-5600

Email: Assignments@leydig.com

Correspondent Name: LEYDIG, VOIT & MAYER, LTD.

Address Line 1: TWO PRUDENTIAL PLAZA, SUITE 4900

Address Line 2: 180 NORTH STETSON AVENUE Address Line 4: CHICAGO, ILLINOIS 60601-6731

ATTORNEY DOCKET NUMBER:	712337
NAME OF SUBMITTER:	Melissa E. Kolom

REEL: 030346 FRAME: 0584

PATENT

Signature:	/Melissa E. Kolom, Reg. No. 51,860/	
Date:	05/03/2013	
Total Attachments: 12		
source=712337ExecutedAssignment#page	1.tif	
source=712337ExecutedAssignment#page2	2.tif	
source=712337ExecutedAssignment#page3.tif		
source=712337ExecutedAssignment#page4.tif		
source=712337ExecutedAssignment#page5.tif		
source=712337ExecutedAssignment#page6.tif		
source=712337ExecutedAssignment#page7.tif		
source=712337ExecutedAssignment#page8.tif		
source=712337ExecutedAssignment#page9.tif		
source=712337ExecutedAssignment#page10.tif		
source=712337ExecutedAssignment#page11.tif		
source=712337ExecutedAssignment#page12.tif		

PATENT REEL: 030346 FRAME: 0585

## ASSIGNMENT FOR NIH/FDA INVENTIONS (NIH OR FDA CO-INVENTORS ONLY)

WHEREAS, I/we, Charles Emeka Egwuagu, Ren-Xi Wang, and Cheng-Rong Yu, an employee/employees of the National Institutes of Health, a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, [hereinafter **The Inventors**], have made certain inventions as described and claimed in a patent application filed under the Patent Cooperation Treaty, having application number PCT/US2013/036175, filed April 11, 2013, and which is entitled "METHODS OF PRODUCING AND USING REGULATORY B-CELLS" ("patent application") and;

WHEREAS, I/we am/are the applicant(s) named in the above-identified patent application; and

WHEREAS, the inventions described and claimed therein were made under conditions such as to entitle THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services [hereinafter THE GOVERNMENT] under Executive Order 10096 and its amendments, 35 USC §§200-201, §§206-207, and §210 and 37 CFR Part 501 to the entire right, title, and interest in such inventions; and

WHEREAS, THE GOVERNMENT is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in the patent application; and

**NOW, THEREFORE**, having the legal obligation to assign my/our right, title and interest in the inventions described and claimed in the above-identified patent application to **THE GOVERNMENT**, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, I/we hereby assign and transfer to **THE GOVERNMENT**:

the entire right, title, and interest in and to any and all inventions described and claimed in said patent application and any and all Letters Patents which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

all rights to claim priority on the basis of said patent application including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patents that may be filed for any and all inventions described and claimed in said patent application that may be filed in any foreign country and all Letters Patents that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patents as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

I/we further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patents, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said inventions.

## IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

Inventor 1:
4/24/13 Charles Emeka Egwuagu
County of Montgomery
State of Maryland
Subscribed and sworn to before me this $24$ day of $Apri/$ , 2013.
[Scal] Embossed Hereon is My
Notary Whit Gemery County, State of Maryland  My Commission Explores April 18, 2016  My Commission Explores April 18, 2016

Page 2 of 4

Inventor 2	:		
	ate	Ren-Xi Wang	_
		C	
County of _	**************************************		
State of			
Su	abscribed and sworn to before me this	day of	, <u>2013</u> .
ra n			
[Seal]		Notary Public for	
		My Commission Expires:	-

Inventor 3:		•
Dete	Cl D V.	-
Date	Cheng-Rong Yu	
County of		
St. to S		
State of		
Subscribed and sworn to before me this	day of	, <u>2013</u> .
[Seal]		
	Notary Public for	
	My Commission Expires:	<b></b>

## ASSIGNMENT FOR NIH/FDA INVENTIONS (NIH OR FDA CO-INVENTORS ONLY)

WHEREAS, I/we, Charles Emeka Egwuagu, Ren-Xi Wang, and Cheng-Rong Yu, an employee/employees of the National Institutes of Health, a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, [hereinafter **The Inventors**], have made certain inventions as described and claimed in a patent application filed under the Patent Cooperation Treaty, having application number PCT/US2013/036175, filed April 11, 2013, and which is entitled "METHODS OF PRODUCING AND USING REGULATORY B-CELLS" ("patent application") and;

WHEREAS, I/we am/are the applicant(s) named in the above-identified patent application; and

WHEREAS, the inventions described and claimed therein were made under conditions such as to entitle THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services [hereinafter THE GOVERNMENT] under Executive Order 10096 and its amendments, 35 USC §§200-201, §§206-207, and §210 and 37 CFR Part 501 to the entire right, title, and interest in such inventions; and

WHEREAS, THE GOVERNMENT is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in the patent application; and

**NOW, THEREFORE,** having the legal obligation to assign my/our right, title and interest in the inventions described and claimed in the above-identified patent application to **THE GOVERNMENT**, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, I/we hereby assign and transfer to **THE GOVERNMENT**:

the entire right, title, and interest in and to any and all inventions described and claimed in said patent application and any and all Letters Patents which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof: and

all rights to claim priority on the basis of said patent application including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patents that may be filed for any and all inventions described and claimed in said patent application that may be filed in any foreign country and all Letters Patents that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patents as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

I/we further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patents, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said inventions.

Page 1 of 4

## IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

Inventor 1:		
Date	Charles Emeka Egwuagu	_
County of		
State of		
	1 0	2012
Subscribed and sworn to before me this	day of	, <u>2013</u> .
[Seal]		
	Notary Public for	——————————————————————————————————————
	My Commission Expires:	

April 25, 2013 Ren-Xi Wang

Date Ren-Xi Wang

Date April 25, 2013 — Chen Xing.

Witness — Witness — Zhi zhao

Witness — Witness — Zhi zhao

Inventor 3:	· · · · · · · · · · · · · · · · · · ·	
Date	Cheng-Rong Yu	
County of		
State of		
Subscribed and sworn to before me this	day of, <u>2013</u> .	
	•	
[Seal]		
	Notary Public for	
	My Commission Expires:	

## ASSIGNMENT FOR NIH/FDA INVENTIONS (NIH OR FDA CO-INVENTORS ONLY)

WHEREAS, I/we, Charles Emeka Egwuagu, Ren-Xi Wang, and Cheng-Rong Yu, an employee/employees of the National Institutes of Health, a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, [hereinafter **The Inventors**], have made certain inventions as described and claimed in a patent application filed under the Patent Cooperation Treaty, having application number PCT/US2013/036175, filed April 11, 2013, and which is entitled "METHODS OF PRODUCING AND USING REGULATORY B-CELLS" ("patent application") and;

WHEREAS, I/we am/are the applicant(s) named in the above-identified patent application; and

WHEREAS, the inventions described and claimed therein were made under conditions such as to entitle THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services [hereinafter THE GOVERNMENT] under Executive Order 10096 and its amendments, 35 USC §§200-201, §§206-207, and §210 and 37 CFR Part 501 to the entire right, title, and interest in such inventions; and

WHEREAS, THE GOVERNMENT is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in the patent application; and

NOW, THEREFORE, having the legal obligation to assign my/our right, title and interest in the inventions described and claimed in the above-identified patent application to THE GOVERNMENT, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, I/we hereby assign and transfer to THE GOVERNMENT:

the entire right, title, and interest in and to any and all inventions described and claimed in said patent application and any and all Letters Patents which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

all rights to claim priority on the basis of said patent application including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patents that may be filed for any and all inventions described and claimed in said patent application that may be filed in any foreign country and all Letters Patents that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patents as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

I/we further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patents, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said inventions.

Page 1 of 4

## IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

Inventor 1:		
		-
Date	Charles Emeka Egwuagu	
County of		
State of		
Cubossibed and success to before menthic	day of	2012
Subscribed and sworn to before me this	day of	, <u>2013</u> .
[Seal]		
[Sear]	Notary Public for	
	•	
	My Commission Expires:	•

Inventor 2:		
		_
Date	Ren-Xi Wang	
County of		
State of		
Subscribed and sworn to before me this	day of	2012
Subscribed and sworn to before me ans	day of	<u>, 2013</u> .
[Seal]		
·	Notary Public for	
•	My Commission Expires:	
	1	-

1	Inventor 3:
	5-3-2013 Changes
	County of Muntqomery
	State of Mary Land Subscribed and sworn to before me this 3 day of May . 2013.
	[Seal]
	Notary Public for GITA C SHAH  My Commission Expires: NOTARY PUBLIC NOTARY PUBLIC NOTARY PUBLIC NOTARY PUBLIC NOTARY PUBLIC NOTARY PUBLIC NOTARY LAND MARY LAND MY COMMISSION EXPIRES JULY 12, 2016