

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Maria Cecilia Mazzaro</td> <td>04/18/2013</td> </tr> <tr> <td>Sidharth Abrol</td> <td>04/12/2013</td> </tr> <tr> <td>Kelvin Rafael Estrada</td> <td>04/24/2013</td> </tr> <tr> <td>Gordon Raymond Smith</td> <td>04/12/2013</td> </tr> </tbody> </table>		Name	Execution Date	Maria Cecilia Mazzaro	04/18/2013	Sidharth Abrol	04/12/2013	Kelvin Rafael Estrada	04/24/2013	Gordon Raymond Smith	04/12/2013
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RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>General Electric Company</td> </tr> <tr> <td>Street Address:</td> <td>1 River Road</td> </tr> <tr> <td>City:</td> <td>Schenectady</td> </tr> <tr> <td>State/Country:</td> <td>NEW YORK</td> </tr> <tr> <td>Postal Code:</td> <td>12345</td> </tr> </table>		Name:	General Electric Company	Street Address:	1 River Road	City:	Schenectady	State/Country:	NEW YORK	Postal Code:	12345
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PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13889835</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13889835						
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CORRESPONDENCE DATA											
<p>Fax Number: 8602860115 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 860-286-2929 Email: USPTOPatentMail@cantorcolburn.com Correspondent Name: Cantor Colburn LLP - General Electric Address Line 1: 20 Church Street Address Line 4: Hartford, CONNECTICUT 06103-3207</p>											
ATTORNEY DOCKET NUMBER:	260803-1										
NAME OF SUBMITTER:	Adam C. Ellsworth										
Signature:	/Adam C. Ellsworth/										

Date:	05/08/2013
	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 6 source=6OI9042#page1.tif source=6OI9042#page2.tif source=6OI9042#page3.tif source=6OI9042#page4.tif source=6OI9042#page5.tif source=6OI9042#page6.tif	

COMBINED DECLARATION AND GLOBAL ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

MULTIVARIABLE CONTROLS OF HEAT RECOVERY STEAM GENERATION SYSTEM

- ☐ the specification of which is attached hereto OR
- ☒ United States application or PCT international application number
13/889,835 filed on May 8, 2013, as
amended on [date] (if applicable).
(We hereby authorize and request the Company or its delegated attorneys or agents to
insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable
under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application,
including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this
application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material
information which became available between the filing date of the prior application and the filing
date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information
Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the
Services Agreement between General Electric Company, a New York corporation, and our
employers), and/or for other good and valuable consideration of which we acknowledge receipt,
I/we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Maria	Cecilia	Mazzaro	of	Simpsonville	South Carolina	
Sidharth		Abrol	of	Bangalore, Karnataka		India
Kelvin	Rafael	Estrada	of	Norcross	Georgia	
Gordon	Raymond	Smith	of	Ballston Spa	New York	

hereby sell and assign to:

Name of Company: <i>General Electric Company</i>
Entity Type (optional): <i>New York corporation</i>
Address: <i>1 River Road, Schenectady, New York 12345 USA</i>

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

INVENTOR 1

Signature: Maria Cecilia Mazzaro
MARIA CECILIA MAZZARO

Date: APRIL 18, 2013

Witnessed by: A Pandey
Signature

Date: APRIL 18, 2013

ACHAILESH KUMAR
Printed Name of Witness

Witnessed by: Sascha Schieke
Signature

Date: APRIL 18, 2013

SASCHA SCHIEKE
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

MARIA CECILIA MAZZARO

Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **MARIA CECILIA MAZZARO**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal


(Notary Public)

GE Docket No. 260803-1

INVENTOR 2

Signature: 
SIDHARTH ABROL

Date: Apr 12th, 2013

Witnessed by: 
Signature
JAYESH BARVE
Printed Name of Witness

Date: APR 12th, 2013

Witnessed by: R. GIRISH KUMAR
Signature
R. GIRISH KUMAR
Printed Name of Witness

Date: APR 12th, 2013

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

SIDHARTH ABROL

Date: _____

STATE OF

SS.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **SIDHARTH ABROL**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 3

Signature: _____

KELVIN RAFAEL ESTRADA

Date: April-24-2013

Witnessed by: _____

Signature

DIFEI WANG

Printed Name of Witness

Date: 4/24/2013

Witnessed by: _____

Signature

VIJAY NENMENI

Printed Name of Witness

Date: 4/24/2013

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

KELVIN RAFAEL ESTRADA

Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **KELVIN RAFAEL ESTRADA**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 4

Signature: 
GORDON RAYMOND SMITH

Date: 04/12/2013

Witnessed by: 
Signature

Date: 04/12/2013

HARSH P. OKE
Printed Name of Witness

Witnessed by: 
Signature

Date: 04/12/2013

MICHAEL R BARTLETT
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

GORDON RAYMOND SMITH

Date: _____

STATE OF

SS.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **GORDON RAYMOND SMITH**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)