#### 502343220 05/10/2013

### PATENT ASSIGNMENT

## Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
Thomas R. Alessi	11/08/2007
Michael A. DesJardin	09/05/2007
Stan Lam	09/22/2007
Scott D. Lautenbach	11/09/2007
Pauline C. Zamora	09/19/2007

#### **RECEIVING PARTY DATA**

Name:	Intarcia Therapeutics, Inc.	
Street Address:	2000 Powell Street	
Internal Address:	Suite 1640	
City:	Emeryville	
State/Country:	CALIFORNIA	
Postal Code:	94608	

### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12930950

### **CORRESPONDENCE DATA**

Fax Number: 6175422241

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

617-542-6000 Phone: tmflynn@mintz.com Email: Correspondent Name: Heidi A. Erlacher Address Line 1: Mintz Levin P.C. Address Line 2: One Financial Center

Address Line 4: Boston, MASSACHUSETTS 02111

ATTORNEY DOCKET NUMBER: 42281-500

**PATENT** REEL: 030393 FRAME: 0521

502343220

NAME OF SUBMITTER:	Heidi A. Erlacher
Signature:	/Heidi A. Erlacher/
Date:	05/10/2013
Total Attachments: 28 source=42281-500-Assignment#page1.tif source=42281-500-Assignment#page3.tif source=42281-500-Assignment#page3.tif source=42281-500-Assignment#page4.tif source=42281-500-Assignment#page5.tif source=42281-500-Assignment#page6.tif source=42281-500-Assignment#page8.tif source=42281-500-Assignment#page9.tif source=42281-500-Assignment#page9.tif source=42281-500-Assignment#page10.tif source=42281-500-Assignment#page11.tif source=42281-500-Assignment#page13.tif source=42281-500-Assignment#page13.tif source=42281-500-Assignment#page15.tif source=42281-500-Assignment#page15.tif source=42281-500-Assignment#page17.tif source=42281-500-Assignment#page19.tif source=42281-500-Assignment#page20.tif source=42281-500-Assignment#page21.tif source=42281-500-Assignment#page21.tif source=42281-500-Assignment#page22.tif source=42281-500-Assignment#page23.tif source=42281-500-Assignment#page23.tif source=42281-500-Assignment#page23.tif source=42281-500-Assignment#page23.tif source=42281-500-Assignment#page23.tif source=42281-500-Assignment#page23.tif	
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PATENT REEL: 030393 FRAME: 0522

# ASSIGNMENT PATENT APPLICATION

THIS ASSIGNMENT, by Thomas R. Alessi, Michael A. DesJardin, Stan Lam, Scott D. Lautenbach, and Pauline C. Zamora (hereinafter referred to as "the ASSIGNORS"), residing at the locations indicated herein below, witnesseth:

WHEREAS, the ASSIGNORS invented certain new inventions and useful improvements (herein referred to as "the inventions") as described and set forth in the below-identified patent application for Letters Patent of the United States:

Title of Invention: OSMOTIC DELIVERY SYSTEMS AND PISTON ASSEMBLIES FOR USE THEREIN

Serial No: 11/890,836 Filing Date: 8 August 2007

WHEREAS, Intarcia Therapeutics, Inc. a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 2000 Powell Street, Suite 1640, Emeryville, CA 94608 (hereinafter referred to as the ASSIGNEE), is desirous of acquiring the entire right, title and interest in and to the inventions and the application for Letters Patent of the United States, any application for Letters Patent that claims the benefit of the filing date of the application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that, for and in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the ASSIGNORS have sold, assigned, set over, and transferred, and by these presents do sell, assign, set over, and transfer unto the ASSIGNEE, and the ASSIGNEE'S successors, legal representatives, and assigns, the entire right, title and interest in and to the above-mentioned inventions, patent application, any application for Letters Patent that claims the benefit of the filing date of the application, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all conversions, divisions, renewals, continuations, continuations-in-part, and substitutions of any application that claims the benefit of the filing date of the application, or reissues, prolongations, or extensions of the Letters Patent or Patents, and all rights under the International Union for the Protection of Industrial Property and the World Intellectual Property Organization, the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would

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IN WITNESS WHEREOF, each ASSIGNOR has hereunto signed the ASSIGNOR'S name to this assignment on the date indicated below.

Signature: Date: 5-7000.2007			
Full Name of Inventor: Thomas R. Alessi Post Office Address: 25679 Paul Court, Hayward, California, 94541, US			
ACKNOWLEDGMENT			
State of California			
County of Alwella			
Taggage D Alas			
on NOV. 8th 2 2007 before me, 1 NOMUS K. ALSSI			
personally appeared TNOMAS AName and Title of Officer (e.g., "Jame Doc, Notary Public")			
Names(s) of Signer(s)			
personally known to me			
proved to me on the basis of satisfactory evidence			
to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that			
he/she/they executed the same in his/her/their authorized capacity(iee), and that by his/her/their signature on			
the instrument the person(s) or the entity(ies) upon behalf of which the person(s) acted, executed the			
instrument.			
WITNESS my hand and official seal.			
SARAH RABBAD			
Commission # 1776672 Notary Public - California #			
Alameda County \$ (VIVIII)			
My Comm. Expres Oct 28, 2011 Signature of Notary Public			

Signature:	Date	P:	
Full Name of Inventor: Mich Post Office Address: 670 La	hael A. DesJardin ambeth Court, Sunnyvale, CA, 9408	87, US	
<u>ACKNOWLEDGMENT</u>			
State of	· · · · · · · · · · · · · · · · · · ·		
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	WITNESS my hand an	d official seal.	
	Signature of Notary Pu	DIIC	
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Signature:	Date:		
Full Name of Inventor: Stan Lam Post Office Address: 7332 Glenoaks Way, Dublin, CA, 94568, US			
ACKNOWLEDGMENT			
State of			
County of			
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	WITNESS my hand and official seal.		
	Signature of Notary Public		

Date: Nov. 09, 2007

Full Name of Inventor: Scott D. Lautenbach Post Office Address: 466 23<sup>rd</sup> Avenue, San Mateo, CA, 94403, US

**ACKNOWLEDGMENT** 

personally appeared

before me,

personally known to me

proved to me on the basis of satisfactory evidence

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SARAH RABBAD Commission # 1776672 Notary Public - California Alameda County

WITNESS my hand and official seal.

Page 6-7

Signature:	Date:		
Full Name of Inventor: Par Post Office Address: 107	uline C. Zamora Glen Drive, Sausalito, CA, 94965, US		
<u>ACKNOWLEDGMENT</u>			
State of			
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Title of Invention: OSMOTIC DELIVERY SYSTEMS AND PISTON ASSEMBLIES FOR USE THEREIN

Serial No: 11/890,836 Filing Date: 8 August 2007

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IN WITNESS WHEREOF, each ASSIGNOR has hereunto signed the ASSIGNOR'S name to this assignment on the date indicated below.

Signature:	Date:
Full Name of Inventor: Thomas R. Alessi Post Office Address: 25679 Paul Court, H	layward, California, 94541, US
ACKNOV	VLEDGMENT
State of	
County of	
On before me,	
personally appeared	Name and Title of Officer (e.g., "Jane Doe, Notary Public")
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proved to me on the basis of satisfactory ev	vidence
he/she/they executed the same in his/her/their autho	d to the within instrument and acknowledged to me that rized capacity(ies), and that by his/her/their signature on on behalf of which the person(s) acted, executed the
	WITNESS my hand and official seal.
	Signature of Notary Public

Signature: Much G Ju M

Date: 51 pt 05, 2007

Full Name of Inventor: Michael A. DesJardin

Post Office Address: 670 Lambeth Court, Sunnyvale, CA, 94087, US

### **ACKNOWLEDGMENT**

State of Callfornia

County of Parta Clara

On September 5,2007 before me, <u>Vathy E Honnert</u>, <u>Notary Public</u>, personally appeared <u>Michael</u> <u>a.</u> <u>Des</u> <u>Name and Title of Officer (e.g., "Jane Doe, Notary Public")</u>

personally known to me

proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature on the instrument the person(s) or the entity(ies) upon behalf of which the person(s) acted, executed the instrument.

KATHY E. HONNERT
Commission # 1731065
Notary Public - California
Santa Clara County
MyComm. Equites Apr 12, 2011

WITNESS my hand and official seal.

Signeture of Notery Public

Signature:	Date:
Full Name of Inver Post Office Addres	or: Stan Lam 7332 Glenoaks Way, Dublin, CA, 94568, US
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Signature:	Date:		
Full Name of Inventor: Scott D. Lautenbach Post Office Address: 466 23 <sup>rd</sup> Avenue, San Mateo, CA, 94403, US			
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	Signature of Notary Public		

Signature:	Date:
Full Name of Inventor: Pau Post Office Address: 107 (	uline C. Zamora Glen Drive, Sausalito, CA, 94965, US
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County of	<del></del>
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	ACKNOWLEDGMENT
State of	
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to be the person(s) whose name(s) is he/she/they executed the same in his/	s/are subscribed to the within instrument and acknowledged to me that her/their authorized capacity(ies), and that by his/her/their signature on entity(ies) upon behalf of which the person(s) acted, executed the
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	Signature of Notary Public

Signature:	Date:
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	Signature of Notary Public

Signature: Stan K

Date: Sept 22, 2007

Full Name of Inventor: Stan Lam

Post Office Address: 7332 Glenoaks Way, Dublin, CA, 94568, US

ACKNOWLEDGMENT

State of California

County of Hameds

on Sept 2212007 before me,

personally appeared STAN

Karts Dhir

Names(s) of Signer(s)

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Page 5-7

Signature:	Date:
	r: Scott D. Lautenbach 466 23 <sup>rd</sup> Avenue, San Mateo, CA, 94403, US
	ACKNOWLEDGMENT
State of	
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On	before me,,  Name and Title of Officer (e.g., "Jane Doe, Notary Public")
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Signature:	Date:
Full Name of Inventor: Paulin Post Office Address: 107 Gle	ne C. Zamora en Drive, Sausalito, CA, 94965, US
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The ASSIGNORS further agree that the ASSIGNORS will, without charge to the ASSIGNEE, but at the ASSIGNEE'S expense, cooperate with the ASSIGNEE in the prosecution of the application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as the ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for the invention and improvement in any and all countries, and to vest title thereto in the ASSIGNEE, or the ASSIGNEE'S successors, legal representatives, and assigns.

IN WITNESS WHEREOF, each ASSIGNOR has hereunto signed the ASSIGNOR'S name to this assignment on the date indicated below.

Signature: Date: Full Name of Inventor: Thomas R. Alessi Post Office Address: 25679 Paul Court, Hayward, California, 94541, US ACKNOWLEDGMENT State of County of personally appeared personally known to me proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature on the instrument the person(s) or the entity(ies) upon behalf of which the person(s) acted, executed the JANE LANSING HAMLIN WITNESS my hand and official seal. ommission # 1678458 otary Public - California Santa Clara County My Comm. Expires Jul 24, 2010

Docket No. INT 025.10 USSN 11/890,836

Patent

Signature:	Date:
Full Name of Inventor: Mich Post Office Address: 670 La	nael A. DesJardin ambeth Court, Sunnyvale, CA, 94087, US
	ACKNOWLEDGMENT
State of	<u>.</u>
County of	
On	before me,, Name and Title of Officer (e.g., "Jane Doe, Notary Public")
personally appeared	Name and Title of Officer (e.g., "Jane Doe, Notary Public")  Names(s) of Signer(s)
	Names(s) of Signer(s)
personally known to me	
proved to me on the basis	of satisfactory evidence
he/she/they executed the same in h	is/are subscribed to the within instrument and acknowledged to me that is/her/their authorized capacity(ies), and that by his/her/their signature on the entity(ies) upon behalf of which the person(s) acted, executed the
	WITNESS my hand and official seal.
	Signature of Notary Public

Signature:	Date:
Full Name of Inventor: Stan Post Office Address: 7332	a Lam Glenoaks Way, Dublin, CA, 94568, US
	ACKNOWLEDGMENT
State of	
County of	
On	before me,
Onpersonally appeared	Name and Title of Officer (e.g., "Jane Doe, Notary Public")
personally known to me	
proved to me on the basis	* ·
he/she/they executed the same in h	is/are subscribed to the within instrument and acknowledged to me that his/her/their authorized capacity(ies), and that by his/her/their signature on the entity(ies) upon behalf of which the person(s) acted, executed the
	WITNESS my hand and official seal.
	Signature of Notary Public

Signature:	Date:
Full Name of Inventor Post Office Address:	Scott D. Lautenbach 166 23 <sup>rd</sup> Avenue, San Mateo, CA, 94403, US
	ACKNOWLEDGMENT
State of	
County of	
. On	before me,
	Name and Title of Officer (e.g., "Jane Doe, Notary Public")  Names(s) of Signer(s)
personally appeared	Names(s) of Signer(s)
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proved to me on	e basis of satisfactory evidence
he/she/they executed the s	name(s) is/are subscribed to the within instrument and acknowledged to me that me in his/her/their authorized capacity(ies), and that by his/her/their signature on s) or the entity(ies) upon behalf of which the person(s) acted, executed the
	WITNESS my hand and official seal.
	Signature of Notary Public

Queline C. Signature:

Date: 9-19-07

Full Name of Inventor: Pauline C/Zamora

Post Office Address: 107 Glen Drive, Sausalito, CA, 94965, US

**ACKNOWLEDGMENT** 

personally known to me

proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature on the instrument the person(s) or the entity(ies) upon behalf of which the person(s) acted, executed the

JANE LANSING HAVEIN Commission # 1678458 Notary Public - California Santa Clara County Ry Comm. Expires Jul 24, 2010

WITNESS my hand and official seal.

Page 7-7

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**RECORDED: 05/10/2013**