

Form PTO-1595 (Rev. 03-11)  
OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Thomas G. Graeber  
Hsian-Rong Tseng  
Arion F. Hadjioannou (Chatziioannou)  
Cong Fang  
Yanju Wang

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 3/12/13, 3/13/13, 4/22/13, 5/6/13

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: A California Corporation

Street Address: 1111 Franklin Street, 12th Floor

City: Oakland

State: California

Country: United States of America Zip 94607-5200

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

13/783,283

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: John O'Banion

Internal Address: O'Banion & Ritchey LLP

Street Address: 400 Capitol Mall, Suite 1550

City: Sacramento

State: California Zip: 95814

Phone Number: (916) 498-1010

Docket Number: UC-2011-129-2 US-LA

Email Address: docketing @intellectual.com

### 6. Total number of applications and patents involved: 1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$40

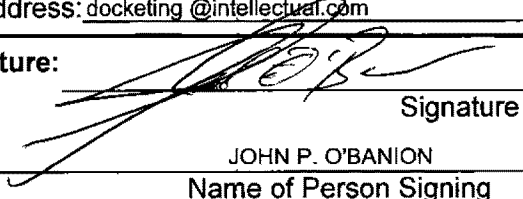
- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number 07-1137

Authorized User Name John P. O'Banion

### 9. Signature:

  
Signature  
JOHN P. O'BANION  
Name of Person Signing

5/14/13  
Date

Total number of pages including cover sheet, attachments, and documents: 8

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 071137 13783283

# Continuation of Box 1

## Nam T. Vu

**ASSIGNMENT**

UC. Case No. UC-2011-129-2\_US-LA

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

THOMAS G. GRAEBER, HSIAN-RONG TSENG, ARION F. HADJIOANNOU (CHATZIOANNOU), FANG CONG,  
YANJU WANG, NAM T. VU

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

*INTEGRATED MICROFLUIDIC RADIOASSAY AND IMAGING PLATFORM FOR SMALL SAMPLE ANALYSIS*

and which is found in

(a) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors

(b) \_\_\_\_\_ U.S. Provisional Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_

(c) X U.S. Patent Application Serial No. 13/783,283, filed on March 2, 2013.

(d) \_\_\_\_\_ U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_

(e) \_\_\_\_\_ International Patent Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

13 day of March, 2013
  
 THOMAS G. GRAEBER

\_\_\_\_ day of \_\_\_\_\_, 2013

\_\_\_\_\_  
HSIAN-RONG TSENG

\_\_\_\_ day of \_\_\_\_\_, 2013

\_\_\_\_\_  
ARION F. HADJIOANNOU (CHATZIOANNOU)

\_\_\_\_ day of \_\_\_\_\_, 2013

\_\_\_\_\_  
FANG CONG**PATENT****REEL: 030407 FRAME: 0225**

ASSIGNMENT

UC. Case No. UC-2011-129-2 US-LA

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S).

THOMAS G. GRAEBER, HSIAN-RONG TSENG, ARION F. HADJIOANNOU (CHATZJIOANNOU), FANG CONG,  
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executed this

Signature of Inventor(s)

\_\_\_\_\_ day of \_\_\_\_\_, 2013

22nd day of April, 2013

\_\_\_\_\_ day of \_\_\_\_\_, 2013

\_\_\_\_\_ day of \_\_\_\_\_, 2013

THOMAS G. GRAEBER

HSIAN-RONG TSENG

ARION F. HADJIOANNOU (CHATZJIOANNOU)

FANG CONG

PATENT

REEL: 030407 FRAME: 0226

ASSIGNMENT

UC. Case No. UC-2011-129-2 US-LA

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THOMAS G. GRAEBER, HSIAN-RONG TSENG, ARION F. HADJIOANNOU (CHATZIOANNOU), FANG CONG,  
YANJU WANG, NAM T. VU

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executed this

Signature of Inventor(s)

\_\_\_\_ day of \_\_\_\_\_, 2013

THOMAS G. GRAEBER

\_\_\_\_ day of \_\_\_\_\_, 2013

HSIAN-RONG TSENG

13 day of March, 2013

ARION F. HADJIOANNOU (CHATZIOANNOU)

\_\_\_\_ day of \_\_\_\_\_, 2013

FANG CONG

ASSIGNMENT

UC Case No. UC-2011-129-2 US-LA

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YANJU WANG, NAM T. VU

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executed this

Signature of Inventor(s)

\_\_\_\_\_ day of \_\_\_\_\_, 2013

THOMAS G. GRAEBER

\_\_\_\_\_ day of \_\_\_\_\_, 2013

HSIAN-RONG TSENG

\_\_\_\_\_ day of \_\_\_\_\_, 2013

ARION F. HADJIOANNOU (CHATZIOANNOU)

12 day of March, 2013

FANG CONG

PATENT

REEL: 030407 FRAME: 0228

13-05-13, 09:30

, 50806339

# 12/ 12

6th day of May, 2013  
YANJU WANG

\_\_\_\_ day of \_\_\_\_\_, 2013

\_\_\_\_\_  
NAM T. VU

Hereby accepted on behalf of the Assignee:

By: Date: 5/3/13Name: Steven Kennedy

O'BANION &amp; RITCHEY LLP

PATENT

REEL: 030407 FRAME: 0229

\_\_\_\_ day of \_\_\_\_\_, 2013

13 day of March, 2013

\_\_\_\_\_  
YANJU WANG

\_\_\_\_\_  
NAM T. VU

Hereby accepted on behalf of the Assignee:

By:

\_\_\_\_\_  
*Steven Kennedy*

Date:

5/13/13

Name:

Steven Kennedy

O'BANION & RITCHEY LLP