### PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
David C. Cerf	04/04/2013
James J. English	04/04/2013
Carol A. Hendrick	04/10/2013
Lu Liu	04/03/2013
Jarred K. Oral	04/03/2013
Phillip A. Patten	04/08/2013
Barbara A. Rosen	04/09/2013
Ute Schellenberger	04/03/2013
Ingrid A. Udranszky	04/03/2013
Jun-Zhi Wei	04/08/2013
Genhai Zhu	04/08/2013

## **RECEIVING PARTY DATA**

Name:	Pioneer Hi-Bred International, Inc.
Street Address:	7100 NW 62nd Avenue
Internal Address:	P. O. Box 1014
City:	Johnston
State/Country:	IOWA
Postal Code:	50131-1014

### PROPERTY NUMBERS Total: 1

Property Type	Number	
Application Number:	13792861	

#### **CORRESPONDENCE DATA**

**Fax Number**: 5155356883

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 515-535-4192

Email: jamie.zortman@pioneer.com

PATENT

REEL: 030414 FRAME: 0702

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Correspondent Name: S. Christopher Bauer Address Line 1: 7250 NW 62nd Avenue Address Line 2: P. O. Box 552 Address Line 4: Johnston, IOWA 50131-0552 ATTORNEY DOCKET NUMBER: 4208 NAME OF SUBMITTER: S. Christopher Bauer Signature: /S. Christopher Bauer/ 05/14/2013 Date: Total Attachments: 13 source=4208\_Assignment\_Signed#page1.tif source=4208\_Assignment\_Signed#page2.tif source=4208\_Assignment\_Signed#page3.tif source=4208\_Assignment\_Signed#page4.tif source=4208\_Assignment\_Signed#page5.tif source=4208\_Assignment\_Signed#page6.tif source=4208\_Assignment\_Signed#page7.tif source=4208\_Assignment\_Signed#page8.tif source=4208\_Assignment\_Signed#page9.tif source=4208\_Assignment\_Signed#page10.tif source=4208\_Assignment\_Signed#page11.tif source=4208\_Assignment\_Signed#page12.tif source=4208\_Assignment\_Signed#page13.tif

> PATENT REEL: 030414 FRAME: 0703

#### WORLDWIDE ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and in fulfillment of a pre-existing obligation of assignment, we, David C. Cerf, James J. English, Carol A. Hendrick, Lu Liu, Jarred K. Oral, Phillip A. Patten, Barbara A. Rosen, Ute Schellenberger, Ingrid A. Udranszky, Jun-Zhi Wei and Genhai Zhu at PIONEER HI-BRED INTERNATIONAL, INC., 7250 NW 62<sup>nd</sup> Avenue, P.O. Box 552, Johnston, Iowa 50131-0552, the undersigned inventor(s) has (have) sold and assigned, and by these presents hereby sells and assigns, as indicated below, unto

PIONEER HI-BRED INTERNATIONAL, INC. 7100 NW 62<sup>nd</sup> Avenue P.O. Box 1014 Johnston, Iowa 50131-1014

its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries in and to the inventions in "NOVEL INSECTICIDAL PROTEINS AND METHODS FOR THEIR USE", including all improvements to said invention ("Invention")

as set forth in this United States Patent Application and in the PCT Patent Application

	executed concurrently herewith
	executed on
$\boxtimes$	US Serial No. 13/792,861 filed March 11, 2013
	PCT Serial No. filed
which	claim(s) priority as of filed July 2, 2012 Serial No. 61/667,039.

including all provisional, non-provisional, divisional, renewal, substitute, continuation, continuations-in-part, international and Convention applications based in whole or in part upon said Inventions or upon said Applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said Inventions or upon said Applications and every priority right that is or may be predicated upon or arise from said Inventions, said Applications, and said Letters Patent; said Assignee being hereby authorized to file patent applications in any or all countries on any or all said Inventions in the name of the undersigned or in the name of said Assignee or otherwise as said Assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents and Trademarks of the United States of America being hereby authorized to issue or transfer all said Letters Patent to said Assignee in accordance herewith; said assignment including the right to bring legal proceedings and obtain any relief to which the undersigned would have been entitled if this assignment had not been made, in respect of any infringements of the undersigned's rights in relation to said inventions, Applications and Letters Patent which occur before or after the date of this assignment; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right theretofore given, and that the undersigned will do all acts reasonably serving to ensure

> PATENT REEL: 030414 FRAME: 0704

that the said Inventions, patent applications and Letters Patent shall be held and enjoyed by said Assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said Assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, and lawful affidavits in form and substance which may be requested by said Assignee, to furnish said Assignee with all facts relating to said Inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may be of said Inventions, and to testify in any proceedings relating to said Inventions, patent applications, Letters Patent, and to bind our heirs, legal representatives, and assigns, promptly to communicate to said Assignee or its representatives any facts known to us relating to said Inventions, to testify in any interference or legal proceedings involving said Inventions, to execute any additional papers which may be requested to confirm the right of the Assignee, its representatives, successors or assigns to secure patent or similar protection for said Inventions in all countries and to vest in the Assignee complete title to said Inventions and Letters Patent. without further compensation, but at the expense of said Assignee, its successors, assigns and other legal representatives.

The undersigned hereby grant an authorized representative of Assignee the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office or the Patent Office of any other country for recordation of this Assignment.

This assignment is deemed to be effective at least as early as of July 2, 2012.

In Witness Whereof, I have executed this Worldwide Assignment on the day of, 2013.
David C. Cerf  Name of Inventor  (Signature of Inventor)
State of California ) County of Alameda )
On april 4, 20/3 before me, Janua Boss Notary.  (Name and Title of Officer)
personally appeared David C. Cerf, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s)/is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.  SANLINA BUSS Commission # 2008958 Notary Public - California
Alameda County My Comm. Expires Feb 28, 2017

In Witness Whereof, I have executed this	Worldwide Assignment on the day of
	1 1 .
James J. English	<u> </u>
Name of Inventor	(Signature of Inventor)
A Company of the Comp	* ·
State of California )	
County of <u>Nameda</u>	^
on <u>April 4, 2013</u> before me,	(Name and Title of Officer)
nersonally appeared James J. English, who pr	oved to me on the basis of satisfactory evidence
to be the person(s) whose name(s)/is/are subs	scribed to the within instrument and acknowledged
to me that/he/she/they executed the same in h	nis/her/their authorized capacity(jes), and that by
	person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument	
I certify under PENALTY OF PERJURY under	the laws of the State of California that the
foregoing paragraph is true and correct.	
, , , , , , , , , , , , , , , , , , , ,	
WITNESS my hand and official seal.	SANDRA 8058 Commission # 2008858
	Hotery Public - Cellionide Alamede County
	My Comm. Expires Feb 28, 2017
Signature //2//////////////////////////////////	(Seal)

In Witness Whereof, I have exec	suted this Worldwide Assignment on the <u>JO</u> day of April, 2013.
Carol A. Hendrick Name of Inventor	Signature of Inventor)
State of <u>ILOWA</u>	)
County of POIK	)
who appeared before me and :	ave satisfactory evidence that Carol A. Hendrick is the person said person acknowledged that he signed this instrument and and voluntary act for the uses and purposes mentioned in the
	Dated
	Signature of Notary Public Amus Tottman
18885	Printed Name / Jamie Fortman
JAMIE ZORTMAN Commission Number 771247 My Commission Espires	My appointment expires <u>                                     </u>

In Witness Whereof, I have executed this Worldwide Assignment on the 3rd day of April , 2013.
Lu Liu  Name of Inventor  (Signature of Inventor)
State of California )
County of <u>(llameda</u> )
on April 3, 2013 before me, Janua Boss, Notary (Name and Title of Officer)
personally appeared Lu Liu, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s)/is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in/his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.  SANDRA 80SS Commission # 2008958 Notary Public - Californis Alamada County My Comm. Expires Feb 28, 2017
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In Witness Whereof, I have executed this Worldwide Assignment of April 2013.	on the $3^{cd}$ day of
Jarred K. Oral  Name of Inventor  (Signature of Inventor)	
State of California ) County of Alameda )	
On <u>April 3, 2013</u> before me, <u>Janara Boss</u> . (Name and Title of Officer	Notary
personally appeared Jarred K. Oral, who proved to me on the basis of satisfies the person(s) whose name(s) is/are subscribed to the within instrument me that he/she/they executed the same in his/her/their authorized capacity his/her/their signature(s) on the instrument the person(s), or the entity upon person(s) acted, executed the instrument	t and acknowledged to y(les), and that by
I certify under PENALTY OF PERJURY under the laws of the State of Cal foregoing paragraph is true and correct.	lifornia that the
WITNESS my hand and official seal.	SANORA BOSS Commission # 2008958 Notary Public - Celifernie Alameda County Comm. Expires Feb 28, 2017
Signatura 2008 (Seal)	

h, Witness Whereof, I have ex April	ecuted this V	Vorldwide Ass	R	the day of
State of California	) )			
County of <u>Nameda</u>	<b></b> )		•	
on April 8, 2013	_ before me,	<i>Tandva</i> Name and Title	POSS, e of Officer)	Notary.
personally appeared Phillip A. Patten, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) s/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(jes), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument				
÷				
I certify under PENALTY OF PER	JURY under the	e laws of the S	itate of Calif	ornia that the
foregoing paragraph is true and co	orrect.			
WITNESS my hand and official se	eal.			SANDRA BOSS Commission # 2008958 Hotary Public - California Alameda County Comm. Expires Feb 28, 2017
Anna da Unio	· )?*	•	and the second s	A STATE OF THE PARTY OF THE PAR

In Witness Whereof, I have executed this, 2013.	Worldwide Assignment on the day of
Barbara A. Rosen Name of Inventor	(Signature of Inventor)
State of California )	
county of <u>Alameda</u>	
on <u>Opin 9 2013</u> before me,	(Name and Title of Officer)
to be the person(s) whose name(s) is/are substitute to me that he/she/they executed the same in his	oved to me on the basis of satisfactory evidence cribed to the within instrument and acknowledged s/her/their authorized capacity(ies), and that by person(s), or the entity upon behalf of which the
I certify under PENALTY OF PERJURY under	the laws of the State of California that the
foregoing paragraph is true and correct.	
WITNESS my hand and official seal.	SANDRA BOSS Commission # 2008958 Notary Public - Galifornia Alameda County My Comm. Expires Feb 28, 2017
Signature <u> </u>	_(Seal)

In Witness Whereof, I have executed this Worldwide Assignment on the day of day of			
State of California  County of Alamida  On April 3, 2013 before me, Marke Boss Notary  (Name and Title of Officer)			
personally appeared Ute Schellenberger, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(jes), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument			
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.			
WITNESS my hand and official seal.  SANDRA BOSS Commission # 2008568 Notary Public - California Alameda County My Comm. Expires Feb 28, 8(1)7			
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In Witness Whereof, I have executed this Worldwide Assignment on the 3_day of April 2013.
Ingrid A. Udranszky Name of Inventor  (Signature of Inventor)
State of California ) County of <u>Alameda</u> )
On <u>April 3, 2013</u> before me, <u>Janua Ross</u> , <u>Notary</u> , (Name and Title of Officer)
personally appeared Ingrid A. Udranszky, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument
. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.  SANDRA 805S Commission # 2008958 Hotery Public - Celifornia Alsmeda County My Comm. Expires Feb 28, 2017

In Witness Whereof, I have executed this Worldwide Assignment on the <u>8</u> day of <u>Apycl</u> , 2013.
Jun-Zhi Wei Name of Inventor (Signature of Inventor)
State of California ) County of (landa)
On <u>April 8, 70/3</u> before me, <u>Janua Ross, No Java</u> , (Name and Title of Officer)
personally appeared Jun-Zhi Wei, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.  SANDRA 9085 Commission # 2008958 Notary Public - Californis Alameda County
My Comm. Expiras Feb 26, 2017

In Witness Whereof, I have executed this V	Vorldwide Assignment on the <u>ಆ೪</u> day of
Genhai Zhu Name of Inventor	(Signature of Inventor)
State of California ) County of <u>Alameda</u> )	
On <u>April 8, 2013</u> before me,	Janua Boss No tany Name and Title of Officer)
personally appeared Genhai Zhu, who proved to the person(s) whose name(s) is are subscribed to me that he she/they executed the same in his/her his/her/their signature(s) on the instrument the peperson(s) acted, executed the instrument	the within instrument and acknowledged to /their authorized capacity(jes), and that by
. I certify under PENALTY OF PERJURY under the foregoing paragraph is true and correct.	e laws of the State of California that the
WITNESS my hand and official seal.	SANDRA BOSS Commission # 2008958 Notary Public - California Alameda County My Comm. Expires Feb 28, 2017
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