

Form PTO-1595 (Rev. 06-12)
OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

STOCKHAUSEN VERWALTUNGSGESELLSCHAFT MBH

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: STOCKHAUSEN GMBH

Internal Address: _____

Street Address: Bäkerpfad 25

City: Krefeld

State: _____

Country: Germany Zip: 47805

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 6/1/2004

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

4. Application or patent number(s):

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

12/046,574

B. Patent No.(s)

5,721,295 5,998,312 6,743,391

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Edward W. Grolz

Internal Address: Scully, Scott, Murphy & Presser

Street Address: 400 Garden City Plaza, Ste. 300

City: Garden City

State: New York Zip: 11530

Phone Number: 516-742-4343

Docket Number: _____

Email Address: intprop@ssmp.com

6. Total number of applications and patents involved: 7

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 280.00

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 191013

Authorized User Name Edward W. Grolz

9. Signature:

Signature

May 15, 2013
Date

Edward W. Grolz

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1460, Alexandria, V.A. 22313-1450

CH \$280.00 191013 1204657

Continuation of Box 4. Application or patent number(s):

6,841,229

6,946,189

7,256,169

[stamp of Krefeld District Court]
Commercial Register B of Krefeld
District Court

Official printout
Retrieved on 19.9.2007 13:05

Company number:
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HRB 5791

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1	2	3	4	5	6	7
<p>a) Company name b) Registered office, branch, branch establishments c) Object of the enter prise</p>	<p>a) Stockhausen Verwaltungsgesellschaft mbH b) Krefeld c) Participation in the limited partnership "Stockhausen GmbH & Co. KG", the registered office of which is in Krefeld and/or taking over management of this company, the object of which is the manufacture and sale of chemical products of all kinds and of equipment for the use thereof. The company is entitled to carry out all transactions and take all measures that appear necessary or useful to achieve the company object. It is also entitled, in particular, to purchase or hold participating interests in enterprises based in Germany or abroad whose object is the same as of similar to that of "Stockhausen GmbH & Co. KG". It can also set up or purchase branch establishments in Germany and abroad and enter into relevant working partnerships and shared interests.</p>	<p>DEEM 50.000,00</p>	<p>a) General arrangements for representation b) Board, governing body, managing directors, general partners, managers, persons entitled to represent the company and special representative authority</p>	<p>Authorized agency</p> <p>Collective authorized agency jointly with a manager or another authorized agent: Dr. Ehard Goldacker, qualified engineer, Meerbusch Susanne Bannasch, qualified businesswoman, Tonisvorst Prof. Günther Bub, Med Dr. Karl-Erhard Müller, Krefeld *11.04.1953 Mr Andreas Fischer, Ratingen, *04.02.1964 Detlef Jung, Tonisvorst, *13.04.1952 Horst Loh, Grevenbroich, *27.07.1959 Peter Wilhelm Speich, Willthb. *11.01.1953</p>	<p>a) Legal form, start-up, articles of association or shareholders' agreement b) Other elements of legal constitution</p> <p>a) Limited liability company Shareholders' agreement of 11.12.1995, last amended on 23.11.2000</p>	<p>a) Date of entry b) Notes</p> <p>a) 19.03.2003 Groegerchen b) Date of first registration in Krefeld: 14.12.1995 This sheet has been transferred to a computerized version for continuation and thus replaces the previous Register sheet. Approved on 11.03.2003. Shareholders' agreement sheet 150 ff. Separate Volume</p>
<p>a) Company name b) Registered office, branch, branch establishments c) Object of the enter prise</p>	<p>a) The company has one or more managers. If only one manager is available, then the latter represents the company on his own. If more than one manager is appointed, the company is represented by two managers jointly or by one manager together with an authorized agent. By decision of the meeting of shareholders, one or more managers may be granted sole representative authority and exemption from the restrictions of Art. 181 of the Civil Code. b) Manager: Dr Hans-Georg Hartan, ehemisch. Krefeld Manager: Andreas Meinz, Krefeld, *11.03.1959 Manager: Dr Klaus Hentschel, Rodenbach, *24.03.1943 Manager: Dr Heinz Rzehak, Düsseldorf, *22.05.1948</p>	<p>b) No longer manager: Dr Klaus Hentschel, Rodenbach, *24.03.1943</p>	<p>a) 07.08.2003 Bogedain</p>			

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1) Company name a) Registered office, branch, branch establishments b) Object of the enterprise	2	3	4	5	6	7
<p>a) <u>Strochhausen GmbH</u> The manufacture and sale of chemical products of all kinds and equipment for the use thereof.</p>			<p>a) General arrangements for representation b) Board, governing body, managing directors, general partners, managers, persons entitled to represent the company and special representative authority</p>	<p>Authorized agency</p>	<p>a) Legal form, start-up, articles of association or shareholders' agreement b) Other elements of legal constitution</p>	<p>a) Date of entry b) Notes</p>
		<p>3</p>	<p>a) <u>No longer manager:</u> <u>Dr. Heinz Fozhak, Düsselhof,</u> <u>*22.05.1948</u></p> <p>b) <u>No longer manager:</u> <u>Dr. Hans-Georg Hartan, chemist</u> <u>Krefeld</u> <u>No longer manager:</u> <u>Andreas Mainz, Krefeld, *11.03.1959</u> <u>Manager:</u> <u>Andreas Bohnhoff, Chiesing,</u> <u>*15.02.1966</u> <u>Manager:</u> <u>Gunther Wittmer, Ratingen,</u> <u>*09.06.1956</u></p>	<p>5</p>	<p>6</p>	<p>b) Application sheet 183 ff. Separate Volume</p> <p>a) 14.08.2003 Bogedain b) Application sheet 188 Separate Volume</p>
			<p>Authorized agency cancelled: <u>Dr. Erhard Goldacker, qualified engineer,</u> <u>Meserbusch</u> Authorized agency cancelled: <u>Dr. Karl-Erhard Müller, Krefeld</u> <u>*11.04.1953</u> Collective authorized agency jointly with a manager or another authorized agent: <u>Siegfried Menk, Butzbach, *30.08.1958</u></p>			<p>a) 10.03.2004 Winkler b) Application sheet 200 ff. Separate Volume</p>
					<p>a) The shareholders' meeting of 27.05.2004 decided to amend Art. 1 (Company name, registered office) of the shareholders' agreement and thereby to change the company name. The shareholders' meeting of 27.05.2004 decided to amend Art. 2 (Object) of the</p>	<p>a) 01.06.2004 Held b) Application sheet 203 ff. Separate Volume Shareholders'</p>

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1 of ry	2 a) Company name b) Registered office, branch, branch establishments c) Object of the enterprise	3 Nominal capital	4 a) General arrangements for representation b) Board, governing body, managing directors, general partners, managers, persons entitled to represent the company and special representative authority	5 Authorized agency	6 a) Legal form, start-up, articles of association or shareholders' agreement b) Other elements of legal constitution	7 a) Date of entry b) Notes
					<p>shareholders' agreement and thereby to change the object of the company. It was also decided to amend Art. 5 (Management, representation), Art. 6 (Transactions requiring approval), Art. 8 (Shareholders' committee) and Art. 9 (Responsibilities of the shareholders' committee) and to introduce a new Art. 10 (Supervisory board). The following paragraphs are correspondingly renumbered.</p>	<p>a) 12.07.2004 Thielen b) Application sheet 237 ff. Separate Volume Amended shareholders' agreement sheet 247 ff. Separate Volume</p>
		<p>EUR 44.000.000,0 0</p>			<p>b) The shareholders' meeting of 27.05.2004 decided to convert the nominal capital (DEM 50.000.000) to Euros, to increase it from then EUR 25.584,59 by EUR 43.974.435,41 to EUR 44.000.000,00 and to amend Art. 3 (Nominal capital) of the shareholders' agreement. Art. 7 (Shareholders' meeting, decisions by the shareholders) was also amended.</p>	<p>a) 20.07.2004 Thielen b) Application sheet 289 ff. Separate Volume</p>
					<p>b) With Degussa AG, Düsseldorf (District Court of Düsseldorf, HRB 39635) as the leading undertaking, a profit transfer agreement was signed on 26/27.02.2004. It was approved by the shareholders' agreement of 10.03.2004.</p>	

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1 of entry	2 Company name a) Registered office, branch, branch establishments b) Object of the enterprise	3 Nominal capital	4 General arrangements for representation a) Board, governing body, managing directors, general partners, managers, persons entitled to represent the company and special representative authority	5 Authorized agency	6 Legal form, start-up, articles of association or shareholders' agreement b) Other elements of legal constitution	7 Date of entry a) Notes b) Notes
1		3				Profit transfer agreement sheet 294 ff. Separate Volume Approval decision sheet 293 Separate Volume
				Authorized agency cancelled: Prof. Günther Bub, MAn Authorized agency cancelled: Mr. Andreas Fischer, Ratingen, *04.02.1964 Authorized agency cancelled: Siegfried Mesjk, Butzbach, *30.08.1958		a) 13.07.2006 Fischer b) Application sheet 329 f. Separate Volume
				Collective authorized agency jointly with a manager or another authorized agent: Dr Diemar Moll, Einhausen, *23.10.1954		a) 14.11.2006 b) Application sheet 531 ff. Separate Volume
			b) No longer manager: Andreas Bonhoff, Chiersing *15.02.1966 Appointed as manager: Willibrod Lampen, Gelsenkirchen *22.11.1953	Authorized agency cancelled: Horst Lilk, Grevenbroich, *27.07.1959		a) 29.01.2007 Fischer
	a) Evonik Stockhausen GmbH				a) The shareholders' meeting of 12.09.2007 decided to amend Art I(1) of the	a) 17.09.2007 Busstraann

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2 a) Company name b) Registered office, branch, branch establishments c) Object of the enterprise	3 Nominal capital	4 a) General arrangements for representation b) Board, governing body, managing directors, general partners, managers, persons entitled to represent the company and special representative authority	5 Authorized agency	6 a) Legal form, start-up, articles of association or shareholders' agreement b) Other elements of legal constitution	7 a) Date of entry b) Notes
				shareholders' agreement and thereby to change the company name. Art. 15 (Announcements) of the shareholders' agreement was also amended.	

Krefeld, 19.09.2007
The printout attests to the content of the Commercial Register.
Jenkes, Court Officer
Registrar of the Court
[Stamp of Krefeld District Court]