PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Benoit SIMARD	08/21/2009
Jingwen GUAN	08/17/2009

RECEIVING PARTY DATA

Name:	National Research Council of Canada	
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Internal Address:	Bldg. M-58, Room EG-12	
City:	Ottawa	
State/Country:	CANADA	
Postal Code:	K1A 0R6	

PROPERTY NUMBERS Total: 1

Property Type	Number	
Application Number:	13753396	

CORRESPONDENCE DATA

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NAME OF SUBMITTER:	Keith Bird	
Signature:	/Keith Bird/	

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PATENT REEL: 030430 FRAME: 0475

CONFIRMATORY ASSIGNMENT

Whereas, We, SIMARD, Benoit and GUAN, Jingwen citizens of Canada and residing at 613 Arc-en-ciel Street, Orleans, Ontario, K4A 3H9 Canada and 21 James Street, Apt. 306, Ottawa, Ontario, K2P 0T5 Canada respectively, in the course of our work at and/or for the National Research Council of Canada have made an invention for which we have made application for Letters Patent; and

Whereas **National Research Council of Canada**, a body corporate under the *National Research Council Act* by the Parliament of Canada, and situated in the city of Ottawa, Province of Ontario, Canada, is our employer and/or maintains facilities or equipment we used in making this invention, and is the owner of our rights in this invention by action of law, namely s. 3 of the *The Public Servants Inventions Act* of Canada, or by agreement;

NOW, THEREFORE, in consideration of having been provided with an opportunity to participate in scientific research at the National Research Council of Canada and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, SIMARD, Benoit and GUAN, Jingwen, did transfer to the National Research Council of Canada, and hereby confirm said transfer, the legal ownership of our full and exclusive right, for the territory of Canada and the United States of America and all other countries, including the right to claim priority, the right to file foreign applications under the provisions of the International Convention and national laws, the right to file any divisional and continuation applications and our full and exclusive right in and to said divisional and continuation applications, in and to the said invention as described and claimed in the application for patent entitled

"NUCLEOPHILIC SUBSTITUTION OF CARBON NANOTUBES"

International Patent Application PCT/CA2008/000388 filed on February 28, 2008 in the Patent Cooperation Treaty Receiving Office claiming priority to United States provisional application 60/903,838 filed February 28, 2007 therefor; and we hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent, as far as our rights are concerned, to National Research Council of Canada, as the assignee, for the sole behoof of said National Research Council of Canada, and its legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us in the event that ownership rested

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with us, the inventors. In addition, we confirm that the National Research Council of Canada has full rights to abandon the invention and any related patents or patent applications or any aspect thereof in any and all jurisidictions at its sole discretion and without providing compensation to us.

The inventors signing this document acknowledge that, except as explicitly noted herein, their entire inventive contribution made toward this invention was completed in the course of their work with and/or for the National Research Council of Canada and no other person or organization has any claim to their rights in the invention or any aspect thereof and the inventors have not granted or purported to grant rights in the invention to any other party or organization at any time.

This document has been read and understood prior to signature. Receipt of sufficient opportunity to seek clarification of any concerns is acknowleged.

Executed this 21 st day of August, 2009 at Ottawn ON.

Report Juin

Declaration by Witness

I, <u>ELIZABETH WHITE</u>, whose full post office address is <u>544 PICCadilly Ave Ottame</u>, say:

That I was personally present and did hear and see, SIMARD, Benoit who is personally known to me duly sign and execute the above Assignment and confirm having read and understood all that it contains.

Executed this 17 day of August, 2009 at Ollawa.

GUAN, Jingwen

Declaration by Witness

	1, Olson Bound		_, whose full post office address is
<i>5</i> 51	Apollo wan others	say:	·

That I was personally present and did hear and see, **GUAN**, **Jingwen** who is personally known to me duly sign and execute the above Assignment and confirm having read and understood all that it contains.

(signature of witness)

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