

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Mark Rosenberg	06/13/2003
RECEIVING PARTY DATA	
Name:	Ssaris Advisors, LLC
Street Address:	Wilton Corporate Center, Courtside Building
Internal Address:	187 Danbury Road, Suite 2C
City:	Wilton
State/Country:	CONNECTICUT
Postal Code:	06897
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12961278
CORRESPONDENCE DATA	
Fax Number:	2026622739
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	202-662-2700
Email:	ptodc@andrewskurth.com
Correspondent Name:	Andrews Kurth LLP
Address Line 1:	1350 I Street, NW
Address Line 2:	Suite 1100
Address Line 4:	Washington, DISTRICT OF COLUMBIA 20005
ATTORNEY DOCKET NUMBER:	195332
NAME OF SUBMITTER:	Sean Wooden
Signature:	/Sean Wooden/
Date:	05/21/2013
Total Attachments: 2 source=195332Assignment#page1.tif source=195332Assignment#page2.tif	

CH \$40.00 12961278

ASSIGNMENT

WHEREAS, Mark ROSENBERG, residing at 11 Shad Road West, Poundridge, NY 10576, has invented certain new and useful improvements in and to the subject matter of:

INVESTMENT STRUCTURE AND METHOD HAVING FIXED AND CONTINGENT COMPONENTS

being executed simultaneously herewith;

AND, WHEREAS, SSARIS ADVISORS, a corporation organized under the laws of the State of Connecticut, having a place of business located at 695 E. Main Street, Suite 102, Stamford, CT 06901 (hereinafter "ASSIGNEE"), is desirous of acquiring certain rights to the improvements and under the application;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto in and to said improvements, said United States application, any other United States applications, including provisional, divisional, renewal, substitute, continuation, reexamination and reissue applications, based in whole or in part on said United States application or in whole or in part on said improvements, any foreign applications, including international and regional applications, based in whole or in part on any of the aforesaid United States applications or in whole or in part on said improvements, and in and to any and all letters patent, including extensions thereof, of any country which have been or may be granted on any of the aforesaid applications or on said improvements or any parts thereof;

AND I hereby agree for myself and my heirs, executors and administrators to execute without further consideration any further documents and instruments which may be necessary, lawful and proper in the prosecution of said above-referenced application or in the preparation or prosecution of any continuing, substitute, divisional, renewal, reexamination or reissue application or in any amendments, extensions or interference proceedings, or other applications for patents of any region or country, that may be necessary to secure to ASSIGNEE its interest and title in and to said improvements or any parts thereof, and in and to said several patents or any of them;

AND I hereby covenant for myself and my legal representatives, and agree with said ASSIGNEE, its successors and assigns, that I have granted no right or license to make, use, sell or offer to sell said improvements, to anyone except said ASSIGNEE, that prior to the execution of this deed, our right, title and interest in said improvements had not been otherwise encumbered, and that we have not and will not execute any instrument in conflict therewith;

AND I do hereby authorize and request the United States Commissioner for Patents to issue any and all letters patent which may be granted upon said United States applications, or upon said improvements or any parts thereof when granted, to said ASSIGNEE.

IN WITNESS WHEREOF, I have hereunto set my hands and seals.

6-13-03
Date

Mark Rosenberg
Mark Rosenberg

County of)
State of) ss:

On this _____ day of _____, 2003, before me a Notary Public in and for the County and State aforesaid, personally appeared _____ to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be of his free act and deed.

(SEAL)

Notary Public

My Commission Expires _____

DORSEY & WHITNEY LLP
INTELLECTUAL PROPERTY DEPARTMENT
1001 PENNSYLVANIA AVE., N.W.
SUITE 400
WASHINGTON, D.C. 20004-2533
(202) 442-3000 (TELEPHONE)
(202) 442-3199 (FACSIMILE)