

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT								
NATURE OF CONVEYANCE:	ASSIGNMENT								
CONVEYING PARTY DATA									
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Xiao-jing WANG</td> <td>04/15/2013</td> </tr> <tr> <td>Yosef REFAELI</td> <td>04/16/2013</td> </tr> <tr> <td>Qinghong ZHANG</td> <td>04/15/2013</td> </tr> </tbody> </table>		Name	Execution Date	Xiao-jing WANG	04/15/2013	Yosef REFAELI	04/16/2013	Qinghong ZHANG	04/15/2013
Name	Execution Date								
Xiao-jing WANG	04/15/2013								
Yosef REFAELI	04/16/2013								
Qinghong ZHANG	04/15/2013								
RECEIVING PARTY DATA									
Name:	THE REGENTS OF THE UNIVERSITY OF COLORADO, A BODY CORPORATE								
Street Address:	1800 Grant Street								
Internal Address:	8th Floor								
City:	Denver								
State/Country:	COLORADO								
Postal Code:	80203								
PROPERTY NUMBERS Total: 1									
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13822173</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13822173				
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Application Number:	13822173								
CORRESPONDENCE DATA									
<p>Fax Number: <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Email: tbates@phiplaw.com</p> <p>Correspondent Name: Tressie Bates - Parker Highlander PLLC</p> <p>Address Line 1: 1120 S. Capital of Texas Hwy.</p> <p>Address Line 2: Building 1, Suite 200</p> <p>Address Line 4: Austin, TEXAS 78746</p>									
ATTORNEY DOCKET NUMBER:	UTEC.P0032US								
NAME OF SUBMITTER:	Tressie Bates								
Signature:	/Tressie Bates/								

Date:

05/28/2013

Total Attachments: 3

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ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to The Regents of the University of Colorado, a body corporate (the "Assignee"), having a place of business at 1800 Grant Street, 8th Floor, Denver, CO 80203, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **13/822,173**, which has been filed on **March 11, 2013** and is entitled "**THERAPEUTIC APPLICATIONS OF SMAD7,**" which is a national phase application under 35 U.S.C. § of International Application No. PCT/US2011/052499, filed on September 21, 2011 which claims priority to U.S. Provisional Application No. 61/385,445, filed September 22, 2010 such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

The assignment of said invention is effective as of the earlier of (1) the date of execution shown below or (2) the filing date of the first filed of said patent applications.

Signature: *Xiao-jing Wang* Date: 4/15/13
Name: Xiao-jing WANG

STATE OF Colorado
COUNTY OF Adams

BEFORE ME, the undersigned authority, on this 15th day of April, 2013 personally appeared Xiao-jing WANG, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL] PAMELA GARL
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID # 20054004833
MY COMMISSION EXPIRES FEBRUARY 04, 2017

[Signature]
Notary or Consular Officer

Signature: *Yosef Refaeli* Date: 4/16/13
Name: Yosef REFAELI

STATE OF Colorado
COUNTY OF Adams

BEFORE ME, the undersigned authority, on this 16th day of April, 2013 personally appeared Yosef REFAELI, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL] PAMELA GARL
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID # 20054004833
MY COMMISSION EXPIRES FEBRUARY 04, 2017

[Signature]
Notary or Consular Officer

Signature: [Handwritten Signature]
Name: Qinghong ZHANG

Date: 4/15/13

STATE OF Colorado
COUNTY OF Adams

BEFORE ME, the undersigned authority, on this 15th day of April, 2013 personally appeared Qinghong ZHANG, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[Handwritten Signature]
Notary or Consular Officer

[SEAL]
PAMELA GARL
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID # 20054004933
MY COMMISSION EXPIRES FEBRUARY 04, 2017

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