

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
Brookwood Pharmaceuticals, Inc.	02/20/2009
RECEIVING PARTY DATA	
Name:	Surmodics Pharmaceuticals, Inc.
Street Address:	9924 West 74th Street
City:	Eden Prairie
State/Country:	MINNESOTA
Postal Code:	55344
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	09143162
CORRESPONDENCE DATA	
Fax Number:	9739298839
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	9739298833
Email:	ipm-na@evonik.com
Correspondent Name:	Evonik Corporation
Address Line 1:	299 Jefferson Road
Address Line 4:	Parsippany, NEW JERSEY 07054
ATTORNEY DOCKET NUMBER:	03043.0002US
NAME OF SUBMITTER:	Linda S. Li
Signature:	/Linda S. Li/
Date:	05/29/2013
Total Attachments: 3 source=09143162_Change of Name_5.29.2013_Scanned#page1.tif source=09143162_Change of Name_5.29.2013_Scanned#page2.tif source=09143162_Change of Name_5.29.2013_Scanned#page3.tif	

CH \$40.00 09143162

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Brookwood Pharmaceuticals, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) February 20, 2009

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Surmodics Pharmaceuticals, Inc.

Internal Address: _____

Street Address: 9924 West 74th Street

City: Eden Prairie

State: Minnesota

Country: USA Zip: 55344

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

09/143,162

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Linda S. Li

Internal Address: _____

Street Address: 299 Jefferson Road

City: Parsippany

State: New Jersey Zip: 07054

Phone Number: 1 973 929 8830

Docket Number: 03043.0002US

Email Address: ipm-na@evonik.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 505955

Authorized User Name Linda S. Li

9. Signature: /Linda S. Li/ Registration Number 68,057

2013-05-29

Signature

Date

Linda S. Li

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Delaware

PAGE 1

The First State

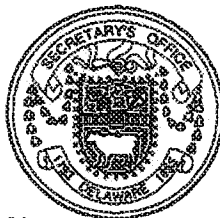
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BROOKWOOD PHARMACEUTICALS, INC.", CHANGING ITS NAME FROM "BROOKWOOD PHARMACEUTICALS, INC." TO "SURMODICS PHARMACEUTICALS, INC.", FILED IN THIS OFFICE ON THE TWENTY-THIRD DAY OF FEBRUARY, A.D. 2009, AT 11:30 O'CLOCK A.M.

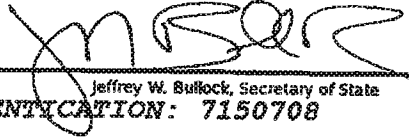
A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

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You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 7150708

DATE: 02-23-09

PATENT
REEL: 030504 FRAME: 0407

State of Delaware
Secretary of State
Division of Corporations
Delivered 11:30 AM 02/23/2009
FILED 11:30 AM 02/23/2009
090176318 - 3877186 FILE

**STATE OF DELAWARE
CERTIFICATE OF AMENDMENT
OF CERTIFICATE OF INCORPORATION**

The corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware does hereby certify:

FIRST: That at a meeting of the Board of Directors of
Brookwood Pharmaceuticals, Inc.

resolutions were duly adopted setting forth a proposed amendment of the Certificate of Incorporation of said corporation, declaring said amendment to be advisable and calling a meeting of the stockholders of said corporation for consideration thereof. The resolution setting forth the proposed amendment is as follows:

RESOLVED, that the Certificate of Incorporation of this corporation be amended by changing the Article thereof numbered "ARTICLE 1 - NAME" so that, as amended, said Article shall be and read as follows:

The name of the Corporation shall be SurModics
Pharmaceuticals, Inc. (the "Corporation").

SECOND: That thereafter, pursuant to resolution of its Board of Directors, a special meeting of the stockholders of said corporation was duly called and held upon notice in accordance with Section 222 of the General Corporation Law of the State of Delaware at which meeting the necessary number of shares as required by statute were voted in favor of the amendment.

THIRD: That said amendment was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said corporation has caused this certificate to be signed this Twentieth day of February, 2009.

By: 
Authorized Officer

Title: Secretary

Name: Bryan K. Phillips
Print or Type