PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Pietro Montanini	04/09/2013
Marta Mottura	04/09/2013
Henry K. Utomo	04/04/2013
Raymond Joy	05/29/2013

RECEIVING PARTY DATA

Name:	STMicroelectronics, Inc.
Street Address:	750 Canyon Drive
Internal Address:	Suite 300
City:	Coppell
State/Country:	TEXAS
Postal Code:	75019

Name:	International Business Machines Corporation	
Street Address:	New Orchard Road	
City:	Armonk	
State/Country:	NEW YORK	
Postal Code:	10504	

Name:	GLOBALFOUNDRIES, Inc.	
Street Address:	P. O. Box 309, Ugland House	
Internal Address:	Maples Corporate Services Limited	
City:	Grand Cayman	
State/Country:	UNITED KINGDOM	
Postal Code:	KY1-1104	

PROPERTY NUMBERS Total: 1

Property Type	Number

PATENT || REEL: 030534 FRAME: 0753

502369673

Application Number: 13907690

CORRESPONDENCE DATA

Fax Number: 6176468646

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 6176468000

Email: jbergantino@wolfgreenfield.com

Correspondent Name: James H. Morris
Address Line 1: 600 Atlantic Avenue

Address Line 4: Boston, MASSACHUSETTS 02210

ATTORNEY DOCKET NUMBER:	S1022.71762US00
NAME OF SUBMITTER:	Jo-Ann Bergantino
Signature:	/Jo-Ann Bergantino/
Date:	06/03/2013

Total Attachments: 6

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PATENT REEL: 030534 FRAME: 0754

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned Assignor, hereby:

- 1. Sell, assign and transfer to STMicroelectronics, Inc., having a place of business at 750 Canyon Drive, Suite 300, Coppell, Texas 75019, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent, bearing Attorney Docket No. S1022.71762US00, and entitled BOTTLED EPITAXY IN SOURCE AND DRAIN REGIONS OF FETS, and in and to the application and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including but not limited to China, Japan and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan and Korea;
- 2. Agree that the Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;
- 3. Request the Director of the United States Patent and Trademark Office to issue the Letters Patent to the Assignee;
- 4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation; Covenant with the Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and
- 5. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

Attorney Docket No. S1022.71762US00

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2874945.1

This instrument is signed under the pa States of America.	ins and pena	lties of perjury under the laws of the United
Date: 4/9/2013	Signature:	Pietro MONTANINI
Date: 4/9/2013	Signature:	Marta MOTTURA

Filing Date: May 31, 2013

IBM DOCKET NUMBER: FIS820110292

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: BOTTLED EPITAXY IN SOURCE AND DRAIN REGIONS OF FETS

As a below named and undersigned inventor, I hereby declare that:
This declaration is directed to the attached application, or (if following box is checked):
[] United States application or PCT international application number
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I have reviewed and understand the contents of the application, including the claims.
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.
Whereas, I have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;
Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and I desire to grant to IBM, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;
Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I ("ASSIGNOR") hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all

patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign

PATENT _{2976500.1} REEL: 030534 FRAME: 0757

IBM DOCKET NUMBER: FIS820110292

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to IBM and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: Henry K. UTOMO

Signature: Kerry U. Worm Date: 4/4/2013

[IF MORE INVENTORS, ADDITIONAL SIGNATURE PAGE(S) FOLLOWS]

PATENT2976500.1 REEL: 030534 FRAME: 0758 Filing Date: May 31, 2013

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned Assignor, hereby:

- 1. Sell, assign and transfer to GLOBALFOUNDRIES Inc., having a place of business at Maples Corporate Services Limited, P.O. Box 309, Ugland House, GRAND CAYMAN; KY1-1104, UNITED KINGDOM, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent, bearing Attorney Docket No. S1022.71762US00, and entitled BOTTLED EPITAXY IN SOURCE AND DRAIN REGIONS OF FETS, and in and to the application and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including but not limited to China, Japan and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan and Korea;
- 2. Agree that the Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;
- 3. Request the Director of the United States Patent and Trademark Office to issue the Letters Patent to the Assignee;
- 4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation;
- 5. Covenant with the Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and
- 6. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

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2979329.1

This instrument is signed under the pains and penalties of perjury under the laws of the United States of America.

Date:

29-MAY-2013

Signature:

Raymend Joy

Attorney Docket No. S1022,71762US00

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