502375246 06/06/2013

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE: | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT |

CONVEYING PARTY DATA

| Name | Execution Date |
|--------------|----------------|
| Zaicheng Sun | 05/30/2013 |

RECEIVING PARTY DATA

| Name: | The Regents of the University of New Mexico | |
|-----------------|---|--|
| Street Address: | 1 University of New Mexico MSC05 3440 | |
| City: | Albuquerque | |
| State/Country: | NEW MEXICO | |
| Postal Code: | 87131 | |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 12706003 |

CORRESPONDENCE DATA

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Correspondent Name: Lindsay Stanich

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| NAME OF SUBMITTER: | Lindsay Stanich |
|--------------------|-------------------|
| Signature: | /Lindsay Stanich/ |
| Date: | 06/06/2013 |

Total Attachments: 2

source=2013-130-01 Assignment Inventor to UNM#page1.tif source=2013-130-01 Assignment Inventor to UNM#page2.tif

PATENT REEL: 030562 FRAME: 0402 OP \$40.00 12706003

INVENTOR ASSIGNMENT TO UNM

ASSIGNMENT from (inventor name): Zaicheng Sun whose address is set forth on the signature page hereof (the "Inventor"), to the Regents of the University of New Mexico, an educational institution of the State of New Mexico, whose address is 1 University of New Mexico, MSC05-3440, Albuquerque, NM, 87131 (the "University").

WHEREAS, the Inventor, while employed by or enrolled at the University, conceived and/or reduced to practice one or more inventions which are described both in the disclosure described below and in other materials associated with the disclosure, including materials prepared after the date of this Assignment. The disclosure is identified as:

| UNM Docket N | lo. Title |
|---|---|
| 2013-130 | Method of Making Monodisperse Nanoparticles |
| yelenen erana arana a | |

(both the disclosure and the associated materials are collectively referred to herein as the "Invention Disclosure");

WHEREAS, the Inventor executed applications for Letters Patent of the United States of America based upon the disclosure above. Any such patent application(s) filed as of the date hereof are described below:

| Patent App. Seria | ıl No. Country | Date of Filing | Title |
|-------------------|----------------|----------------|---|
| 12/706,003 | U,S. | 2/16/2010 | Method of Making Monodisperse Nanoparticles |

and

WHEREAS, the University is legally entitled to obtain a formal assignment from the Inventor of his/her entire right, title, and interest in and to invention(s) and related technology created by Inventor during his/her employment or enrollment by the University.

NOW, THEREFORE, the Inventor has individually and jointly agreed to assign and transfer and does hereby assign and transfer unto the University, its successors and assigns his/her entire right, title, and interest in and to: (a) the invention(s) described in the Invention Disclosure and/or Patent Application(s); (b) any technical information, knowhow, trade secret, process, procedure, composition, biological materials, device, method, formula, protocol, technique, software, design, trade-name, trademark, copyright, copyrightable material, drawing or data which is related to the aforesaid invention(s) and/or that is included in the Invention Disclosure, whether or not covered by the Patent Application(s); (c) all rights of the Inventor in the Patent Application(s) including but not limited to all divisions, Letters Patent, reissues, re-examinations, continuations, continuations-in-part, continuing patent applications, substitutions, renewals, extensions filed and all patent(s) issuing thereon in the United States and all foreign countries, including but not limited to the right to apply for Letters Patent, Utility Models, or Inventor Certificates or equivalents in foreign countries in the University's own name and to claim any priority rights for such foreign applications to which such applications are entitled under such countries' domestic laws, international conventions, treaties, or otherwise; and (d) to the extent the University owns the same under its policies and practices, all improvements to the invention(s) described in the Invention Disclosure and/or Patent Application(s) hereafter made or invented by the Inventor (all of the foregoing, (a), (b), (c), and (d) being collectively referred to throughout this Assignment as the "Invention"); and (e) the right to assert claims and bring lawsuits for any violation or infringement of any of the intellectual property rights assigned hereby, including all patents issuing on the Patent Applications.

The Inventor has individually and jointly already agreed and does hereby warrant for himself/herself and heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as lawfully may be deemed necessary by the University, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain and maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all counties; and the Inventor hereby authorizes and requests the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of said applications, to the University as the assignee of the entire right, title, and interest therein.

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THE INVENTOR FURTHER ACKNOWLEDGES AND AGREES AS FOLLOWS:

- 1. In accordance with the University's policies and practices, all net revenues from the Invention (all income received by the University, or its assignee, from commercialization of the Invention, not including payments for research, development or reimbursement of certain costs), shall be divided as follows: forty percent (40%) equally to the Inventors (unless the Inventors have otherwise agreed in writing and so notified the University), forty percent (40%) to STC.UNM, and twenty percent (20%) to the University.
- 2. For the purpose of enabling the University, its successors and assigns, without further consideration to obtain, defend and enforce all United States and foreign intellectual property rights in the Invention, the Inventor shall timely communicate all information, execute all documents, testify in all legal proceedings and take all such other actions necessary or desirable to accomplish such purpose.
- The Inventor authorizes the attorney of record for the Patent Application(s) without further consideration to insent in this Assignment where indicated above, or in an Addendum to be attached hereto, the filing date, country where filed, title and serial number of such Patent Application(s) filed hereafter as they become officially known.
- The Inventor authorizes and requests withour further consideration all domestic and foreign patent office officials to issue all patents, when granted, to the University, its successors and assigns.
- The Inventor warrants and represents without further consideration that no assignment, sale, agreement or encumbrance has been or will be made or entered into by him/her which would conflict with this assignment.
- THE UNDERSIGNED INVENTOR ACKNOWLEDGES BY HIS/HER SIGNATURE HERETO THAT HE/SHE HAS READ THE FOREGOING, UNDERSTAND ITS CONTENTS AND HAS HAD THE OPPORTUNITY TO CONSULT LEGAL COUNSEL OF HIS/HER CHOOSING CONCERNING HIS/HER RIGHTS AND OBLIGATIONS HEREUNDER. 05/30/2013

| Witness 1: The foregoing Assignment was subscrib | red and acknowledged before me, this <u>U</u> day of <u>M</u> | 200 |
|--|---|---|
| by the afore mentioned inventor. | | , , , , , , , , , , , , , , , , , , , |
| 土地的 | Peng D | U |
| Wimess Signature | Witness Typed/Pented Name | *************************************** |

Witness 2: The foregoing Assignment was subscribed and acknowledged before me, this 30 day of May by the afore mentioned inventor. Witness Signature

> **PATENT** REEL: 030562 FRAME: 0404

RECORDED: 06/06/2013

Assignor (Investor) Signature: Zaicheng Sun

Assignor (Inventor) Address: 3888 East Nanhu Rd. JiLin, China 130033