

# PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
Rick L. Adkins	04/05/2013
Jose F. Pazos	04/29/2013
Stanley L. Hager	05/20/2013
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	Bayer MaterialScience LLC
<b>Street Address:</b>	100 Bayer Road
<b>City:</b>	Pittsburgh
<b>State/Country:</b>	PENNSYLVANIA
<b>Postal Code:</b>	15205-9741
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	13836583
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	4127773902
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
<b>Phone:</b>	4127773866
<b>Email:</b>	veronica.thompson@bayer.com
<b>Correspondent Name:</b>	Bayer MaterialScience LLC
<b>Address Line 1:</b>	100 Bayer Road
<b>Address Line 4:</b>	Pittsburgh, PENNSYLVANIA 15205-9741
<b>ATTORNEY DOCKET NUMBER:</b>	BMS132032
<b>NAME OF SUBMITTER:</b>	Lisa A. Miller
<b>Signature:</b>	/Lisa A. Miller/
<b>Date:</b>	06/07/2013

CH \$40.00 13836583

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**PATENT**  
REEL: 030564 FRAME: 0724

Total Attachments: 4

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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

- 1.) Rick L. Adkins; 2.) Jose F. Pazos  
3.) Stanley L. Hager

**2. Name and address of receiving party(ies)**

Name: Bayer MaterialScience LLC

Internal Address: \_\_\_\_\_

Street Address: 100 Bayer Road

City: Pittsburgh

State: PA

Country: USA Zip: 15205-9741

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 4/5/2013; 4/29/2013; 5/20/2013

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)  
13/836,583

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Bayer MaterialScience LLC

Internal Address: \_\_\_\_\_

Street Address: 100 Bayer Road

City: Pittsburgh

State: PA Zip: 15205-9741

Phone Number: 412-777-3866

Fax Number: 412-777-3902

Email Address: veronica.thompson@bayer.com

**6. Total number of applications and patents involved:** 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$** 40.00

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 13-3848

Authorized User Name \_\_\_\_\_

**9. Signature:**

Veronica Thompson  
Signature

6-7-13  
Date

Veronica Thompson  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

-3-

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT**  
**REEL: 030564 FRAME: 0726**

## ASSIGNMENT

WHEREAS We, 1.) Rick L. Adkins; 2.) Jose F. Pazos; 3.) Stanley L. Hager;  
of 1.) 144 Sandy Brae Drive, Canonsburg, PA 15317; 2.) 835 Lower Donnally, Charleston, WV 25304;  
3.) 5310 Edgebrook Road, Cross Lanes, WV 25313  
have invented certain improvements in "Active Polymer Polyols and a Process for Their Production"  
described in a patent application executed by us and identified in the United States Patent Office as follows:

Serial No.: 13/836,583

Filed: March 15, 2013

and Attorney's Docket No.: BMS132032; and

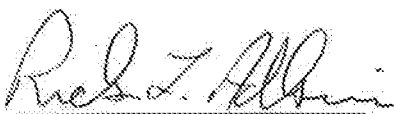
WHEREAS, BAYER MATERIALSCIENCE LLC, of Pittsburgh, Pennsylvania, a limited liability company of the State of Delaware is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefore; this document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such counterparts shall together constitute but one and the same document.

NOW, THEREFORE, for valuable consideration and in accordance with the obligations in our employment contracts with BAYER MATERIALSCIENCE LLC, we do hereby sell, assign and transfer unto said BAYER MATERIALSCIENCE LLC, its successors and assigns, the entire right, title, and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also any improvements on said invention or inventions now or hereafter made by us during the period of our employment; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention, inventions or improvements and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention, inventions or improvements in this or any foreign country; and we hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said BAYER MATERIALSCIENCE LLC, as assignee of the entire interest.

We further agree, without any payment by BAYER MATERIALSCIENCE LLC, other than expenses incurred by the undersigned, to communicate to said BAYER MATERIALSCIENCE LLC, their representatives or agents, any facts relating to said invention, inventions or improvements, including evidence for interference purposes or for other legal proceedings, whenever requested, testify in any interference or other legal proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective.

SIGNED AT Pittsburgh, Pennsylvania, USA,

4/5/2013  
(Date)

(L.S.) 1.)   
Rick L. Adkins

SIGNED AT Charleston, West Virginia, USA,

\_\_\_\_\_  
(Date)

(L.S.) 2.) \_\_\_\_\_  
Jose F. Pazos

## ASSIGNMENT

WHEREAS We, 1.) Rick L. Adkins; 2.) Jose F. Pazos; 3.) Stanley L. Hager;  
of 1.) 144 Sandy Brae Drive, Cannonsburg, PA 15317; 2.) 835 Lower Donnelly, Charleston, WV 25304;  
3.) 5310 Edgebrook Road, Cross Lanes, WV 25313  
have invented certain improvements in "Active Polymer Polvois and a Process for Their Production"  
described in a patent application executed by us and identified in the United States Patent Office as follows:

Serial No.: 13/836,583

Filed: March 15, 2013

and Attorney's Docket No.: BMS132032; and

WHEREAS, BAYER MATERIALSCIENCE LLC, of Pittsburgh, Pennsylvania, a limited liability company of the State of Delaware is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefore; this document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such counterparts shall together constitute but one and the same document.

NOW, THEREFORE, for valuable consideration and in accordance with the obligations in our employment contracts with BAYER MATERIALSCIENCE LLC, we do hereby sell, assign and transfer unto said BAYER MATERIALSCIENCE LLC, its successors and assigns, the entire right, title, and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also any improvements on said invention or inventions now or hereafter made by us during the period of our employment; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention, inventions or improvements and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention, inventions or improvements in this or any foreign country; and we hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said BAYER MATERIALSCIENCE LLC, as assignee of the entire interest.

We further agree, without any payment by BAYER MATERIALSCIENCE LLC, other than expenses incurred by the undersigned, to communicate to said BAYER MATERIALSCIENCE LLC, their representatives or agents, any facts relating to said invention, inventions or improvements, including evidence for interference purposes or for other legal proceedings, whenever requested, testify in any interference or other legal proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective.

SIGNED AT Pittsburgh, Pennsylvania, USA.

\_\_\_\_\_  
(Date) (L.S.) 1.) Rick L. Adkins

SIGNED AT Charleston, West Virginia, USA.

4/29/2013  
(Date) (L.S.) 2.) Jose F. Pazos

SIGNED AT Charleston, West Virginia, USA,

May 20 2013  
(Date)

(L.S.) 5.)

Stanley L. Hager  
Stanley L. Hager