PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Zhenguo Bai	04/02/2009

RECEIVING PARTY DATA

Name:	Alibaba Group Holding Limited
Street Address:	Fourth Floor, One Capital Place
Internal Address:	P.O. Box 847
City:	Grand Cayman
State/Country:	CAYMAN ISLANDS

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13916856

CORRESPONDENCE DATA

Fax Number: 5093238979

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 5099444776

Email: cherri@leehayes.com
Correspondent Name: Lee and Hayes, PLLC
Address Line 1: 601 W Riverside
Address Line 2: Suite 1400

Address Line 4: Spokane, WASHINGTON 99201

ATTORNEY DOCKET NUMBER:	AB1-0034USC2
NAME OF SUBMITTER:	Cherri A. Simon
Signature:	/Cherri A. Simon/
Date:	06/13/2013

Total Attachments: 5

PATENT REEL: 030605 FRAME: 0763 OP \$40.00 13916856

source=YA8865#page1.tif	
source=YA8865#page2.tif	
source=YA8865#page3.tif	
source=YA8865#page4.tif	
source=YA8865#page5.tif	

PATENT REEL: 030605 FRAME: 0764 USPTO 11/18/2009 8:58:12 AM PAGE 4/004

TO:LEE & HAYES, PLLC COMPANY:601 W RIVERSIDE STE 1400

tori@LeeHayes.com 509-323-8979

11/17/2009 700423122 (1/5) 11/17/2009 08:37:37 AM -0800

Fax Server

Form PTO-1595 (Rev. 03-09) OMB No. 0651-0027 (exp. 03/31/2009) U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office RECORDATION FORM COVER SHEET AB1 - 0034US PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 1. Name of conveying party(ies) 2. Name and address of receiving party(ies) 04/02/2009 Zhenguo BAI Name: _Alibaba Group Holding Limited Internal Address: Additional name(s) of conveying party(les) attached? Yes 🗸 No 3. Nature of conveyance/Execution Date(s): Street Address: Fourth Floor, One Capital Place Execution Date(s) Listed in Box 1 above P.O. Box 847 Assignment City: Grand Cayman Security Agreement Change of Name Joint Research Agreement State: Government Interest Assignment Country: Cayman Islands Zip: Executive Order 9424, Confirmatory License Other Additional name(s) & address(es) attached? 🔲 Yes 🗸 No Application or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) 11/16/2009 12/600,449 filed Additional numbers attached? ☐ Yes ✔ No 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: 1 Name: Lee & Hayes, PLLC 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40 Internal Address: Authorized to be charged to deposit account Enclosed Street Address: 601 W Riverside Ste 1400 None required (government interest not affecting title) 8. Payment information City: Spokane State: WA Zip: 99201 Phone Number: 509-324-9256 Deposit Account Number Fax Number: 509-324-8979 Authorized User Name Email Address: 9. Signature: /Andy M. Han, Reg. No. 60,266/ 11/17/2009 Signature Andy M. Han Reg. No. 60,266 Total number of pages including cover

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or malled to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Name of Person Signing

OP \$40.00 12600449

PATENT REEL: 030605 FRAME: 0765

sheet, attachments, and documents;

	S ONLY AB1 - 0034US
To the Director of the U.S. Patent and Trademark Office: Pleas	e record the attached documents or the new address(es) below.
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)
Zhenguo BAI 04/02/2009	
	Internal Address:
Additional name(s) of conveying party(ies) attached? Yes No. 3. Nature of conveyance/Execution Date(s): Execution Date(s) Listed in Box 1 above Assignment Merger Security Agreement Change of Name Joint Research Agreement Government Interest Assignment Executive Order 9424, Confirmatory License Other 4. Application or patent number(s): A. Patent Application No.(s) 12/600,449 filed 11/16/2009	Street Address: Fourth Floor, One Capital Place P.O. Box 847 City: Grand Cayman State: Country: Cayman Islands Zip: Additional name(s) & address(es) attached? Yes Nodocument is being filed together with a new application. B. Patent No.(s)
	ached?
Name: Lee & Hayes, PLLC	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40
Internal Address:	
Street Address: 601 W Riverside Ste 1400	Authorized to be charged to deposit account Enclosed None required (government interest not affecting title)
City: Spokane	8. Payment Information
State: WA Zip: 99201	
Phone Number: 509-324-9256	
Fax Number: 509-324-8979	Deposit Account Number
Email Address:	Authorized User Name
9. Signature: /Andy M. Han, Reg. No. 60,266/ Signature	11/17/2009 Date
Andy M. Han Reg. No. 60,266 Name of Person Signing	Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Attorney Docket No. AB1-0034US Client Docket No. A0228

Serial Number	
Filing Date	
Inventorship	Bai, Zhenguo
Assignee	Alibaba Group Holding Limited
Attornavic Docket No.	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Client Docket No	A0228 File Folder Transmission on Network
Title:	The Folder Transmission Control of the Control of t
	PATENT ASSIGNMENT
PARTIES TO THE ASS	IGNMENT
Assignor(s):	
Zhenguo BAI	
c/o Alibaba Group Holo	ling Limited
6/F Chuangye Mansion	East Software Park, Huaxing Road No. 99
Hangzhou, China 31009	
China	
Assignee:	
Alibaba Group Holding	Limited
Fourth Floor, One Capit	al Place
P.O. Box 847	
Grand Cayman	
Cayman Islands	
AGREEMENT	
WHEREAS, AS	SIGNOR(S) (listed above) are inventor(s) of an invention entitled
	sion on Network" for which:
	l application for United States Letters Patent was filed on and
	oand/or
_	sional application for United States Letters Patent was:
⊠ filed on	
	herewith. Assignors hereby authorize and request ASSIGNEE's legal
	& Hayes, PLLC, 601 W Riverside Ave, Suite 1400, Spokane, Washingtor
99201, who are associa	ted with customer number 29150, to insert in the caption above the seria
number and filing date	of the patent application when known.
	Page 1 of 3

٩

PATENT REEL: 030605 FRAME: 0767

Attorney Docket No. AB1-0034US Client Docket No. A0228

WHEREAS, Alibaba Group Holding Limited (hereinafter referred to as ASSIGNEE), a corporation of Cayman Islands having a place of business at Fourth Floor, One Capital Place, P.O. Box 847, Grand Cayman, Cayman Islands, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefore in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and transfer unto ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this assignment, transfer and sale not been made. ASSIGNOR(S) hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNOR(S) agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefore.

Page 2 of 3

PATENT

REEL: 030605 FRAME: 0768

Attorney Docket No. AB1-0034US Client Docket No. A0228

Zhenguo BAI Zhenguo BAI 04/02/2009 Date (mm/dd/yyyy)	図数/行 Witness Signature 国夏/汀 Name (printed)
Date (mm/ dd/ yyyy)	04/02/2009 Date (mm/dd/yyyy)

Page 3 of 3

PATENT REEL: 030605 FRAME: 0769