

Form PTO-1595 (Rev. 06-12)  
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Kenneth Li

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) May 22, 2013

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Wavien, Inc.

Internal Address: \_\_\_\_\_

Street Address: 1923 The Old Road

City: Valencia

State: CA

Country: USA Zip: 91355

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)

13/839,728

☐ This document serves as an Oath/Declaration (37 CFR 1.63).  
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Abelman, Frayne & Schwab

Internal Address: \_\_\_\_\_

Street Address: 666 Third Avenue

City: New York

State: NY Zip: 10017

Phone Number: 212 885-9237

Docket Number: 212,501

Email Address: rbsmith@lawabel.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 01-0035

Authorized User Name Robert B. Smith

**9. Signature:**

Robert B. Smith

Signature

June 18, 2013

Date

Robert B. Smith

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1460, Alexandria, V.A. 22313-1450

AFS: 212,501 (WAVIEN 404.1 US)

**UNITED STATES  
ASSIGNMENT FROM INVENTOR**

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned Assignor:

**Kenneth LI**                      30433 Mallorca Place, Castaic, CA 91384

hereby sells, assigns and transfers to Assignee:

**WAVIEN, INC.  
29023 The Old Road  
Valencia, CA 91355  
United States of America**

its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to, and any and all improvements which are disclosed in

Application for Letters Patent Serial No. 13/839,728 Filed March 15, 2013

and entitled **LIQUID COOLED LED LAMP**

and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all other foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements.

The Assignee may apply for and receive the Letters Patent in its own name. When requested by the Assignee or its successors, the undersigned Assignor(s) will execute all other patent applications; proper oaths, assignments, powers of attorney, or other papers and communicate all facts known to him/her relating to the improvements and the history thereof; and generally do everything possible which the Assignee or its successors shall consider desirable for aiding in securing and maintaining proper patent protection for the improvements and for vesting title in the Assignee or its successors.

Assignor warrants that he/she has the full right to convey the rights and property described herein and no assignment, grant, mortgage or license or other agreement affecting such rights and property has been made to other by the undersigned.

Dated: 5/22/2013

ASSIGNOR

By 

**Kenneth LI**

ABELMAN, FRAYNE & SCHWAB  
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