#### 06/21/2013 502394240

### PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Heinrich HAAS	06/17/2013
Ursula FATTLER	06/20/2013

#### **RECEIVING PARTY DATA**

Name:	MEDIGENE AG
Street Address:	Lochhamer Strasse 11
City:	Planegg/Martinsried
State/Country:	GERMANY
Postal Code:	82152

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13697906

### **CORRESPONDENCE DATA**

Fax Number: 3128767934

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 3128767925

Email: patents.us@dentons.com Correspondent Name: **DENTONS US LLP** Address Line 1: P.O. Box 061080

Address Line 4: Chicago, ILLINOIS 60606

ATTORNEY DOCKET NUMBER:	14000064-1401
NAME OF SUBMITTER:	Sally P. Teng
Signature:	/Sally Teng/
Date:	06/21/2013

**PATENT** 

REEL: 030664 FRAME: 0042

Total Attachments: 4
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# ASSIGNMENT (JOINT)

THIS ASSIGNMENT, by <u>Heinrich HAAS</u> and <u>Ursula FATTLER</u> residing at <u>Waltherstr. 28, 80337</u>
<u>München GERMANY</u> and <u>Binzenerstr. 7d, 79539 Lörrach GERMANY</u> (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in IMPROVED LIPOSOMAL FORMULATIONS OF LIPOPHILIC COMPOUNDS set forth in an application for Letters Patent of the United States, which is a

1.	1. provisional application		provisional application	
		a)	bearing Application No and filed on	
		b)	to be filed herewith; or	
2.	区		non-provisional application	
		a)	bearing Application No. 13/697,908, and filed on 14 November 2011;	

WHEREAS, MEDIGENE AG. a corporation duly organized under and pursuant to the laws of GERMANY and having a principal place of business at Lockhamer Straße 11, 82152

Planeag/Martinsried, GERMANY (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

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AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advice that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of SNR Denton US LLP to insert in the spaces provided above filing date and application number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said invention, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

Dete	Heinrich HAAS .
20.06.13	U-E- Wa-

# ASSIGNMENT (JOINT)

Schalfrichued B 55131 Maint n.G.

THIS ASSIGNMENT, by <u>Heinrich HAAS</u> and <u>Ursula FATTLER</u> residing at <u>Waltherstr. 28, 60337</u> <u>München GERMANY</u> and <u>Binzenerstr. 7d, 79539 Lörrach GERMANY</u> (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in IMPROVED LIPOSOMAL FORMULATIONS OF LIPOPHILIC COMPOUNDS set forth in an application for Letters Patent of the United States, which is a

1,			provisional application		
		a)	bearing Application No, and filed on;		
		b)	to be filed herewith; or		
2.	$\boxtimes$		non-provisional application		
		a)	bearing Application No. 13/697,906, and filed on 14 November 2011		

WHEREAS, MEDIGENE AG, a corporation duly organized under and pursuant to the laws of GERMANY and having a principal place of business at Lochhamer Straße 11, 82152

Planegg/Martinsried, GERMANY (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

U.S. Patent Application No. <u>13/697,906</u> Attorney Docket No. 14000064-1401 Page 2

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any relssue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of SNR Denton US LLP to insert in the spaces provided above filing date and application number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said invention, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

Date

Date

Date

Ursula FATTLER

PATENT REEL: 030664 FRAME: 0047

**RECORDED: 06/21/2013**