502404110 07/01/2013

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Charles L. Arvin	02/22/2013
Alexandre Blander	06/24/2013
Peter J. Brofman	06/21/2013
Donald W. Henderson	06/20/2013
Gareth G. Hougham	06/20/2013
Hsichang Liu	06/20/2013
Eric D. Perfecto	06/20/2013
Srinivasa S.N. Reddy	06/25/2013
Krystyna W. Semkow	06/20/2013
Kamalesh K. Srivastava	06/26/2013
Brian R. Sundlof	06/24/2013
Julien Sylvestre	06/20/2013
Renee L. Weisman	06/20/2013

RECEIVING PARTY DATA

Name:	International Business Machines Corporation
Street Address:	New Orchard Road
City:	Armonk
State/Country:	NEW YORK
Postal Code:	10504

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13932070

CORRESPONDENCE DATA

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PATENT REEL: 030717 FRAME: 0603 \$40.00 13932070

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ATTORNEY DOCKET NUMBER:	FIS920080238US3 (22835A
NAME OF SUBMITTER:	Leslie S. Szivos
Signature:	/Leslie S. Szivos/
Date:	07/01/2013
	This document serves as an Oath/Declaration (37 CFR 1.63).

Total Attachments: 52

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DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: ADDITIVES FOR GRAIN FRAGMENTATION IN Pb-FREE Sn-BASED SOLDER

As a below named inventor, I hereby declare mat:
This declaration is directed to the attached application, or (if following box is checked):
United States application or PCT international application number
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I have reviewed and understand the contents of the application, including the claims.
I am aware of the duty to disclose to the United States Patent and Trademark Office all information

Whereas, the undersigned inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document:

known to me to be material to patentability as defined in 37 CFR Section 1.56.

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IBM DOCKET NUMBER: FIS9200802381163

the rights under this Assignment to IBM and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned inventor also hereby grants IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

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(1) Legal Name of Inventor: Charles L. Arvin	
Signature Alerbat Com	Date: 2-22-2013
(2) Legal Name of Inventor: Alexandre Blander	
Signature:	Date:
(3) Legal Name of Inventor: Peter J. Brofman	
Signature:	Date:
(4) Legal Name of Inventor: Donald W. Henderson	
Signature:	Ďate:
(5) Legal Name of Inventor: Gareth G. Hougham	
Signature:	Date:

[IF MORE INVENTORS, ADDITIONAL SIGNATURE PAGE(S) FOLLOWS]

(6) Legal Name of Inventor: Hsichang Liu	
Signature:	Date:
(7) Legal Name of Inventor: Eric D. Persecto	
Signature:	Date:
(8) Legal Name of Inventor: Srinivasa S.N. Reddy	
Signature;	Date:
(9) Legal Name of Inventor: Krystyna W. Semkow	
Signature:	Date:
(10) Legal Name of Inventor: Kamalesh K. Srivastava	
Signature:	Date:
(11) Legal Name of Inventor: Brian R. Sundlof	
Cignoturos	Date

[IF MORE INVENTORS, ADDITIONAL SIGNATURE PAGE(S) FOLLOWS]

(12) Legal Name of Inventor: Julien Sylvestre	
Signature:	Date;
(13) Legal Name of Inventor: Renee L. Weisman	
Signature:	Date:

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REEL: 030717 FRAME: 0609

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

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(SEQ 1_0 * Arabic \r 11) Legal Name of Inventor: Charles L. Arvin

_	Signature:	
Date:		
(2) Legal Na	ame of Inventor: Alexandre Blander	
	Leses Joses	Date: June 24th 2013
Date:	Signature:	
	me of Inventor: Donald W. Henderson	
Date:	Signature:	

(6) Legal Name of Inventor: Hsichang Liu		
Signature:	Date:	
(7) Legal Name of Inventor: Eric D. Perfecto		
Signature:	Date:	
(8) Legal Name of Inventor: Srinivasa S.N. Reddy		
Signature:	Date:	
(9) Legal Name of Inventor: Krystyna W. Semkow		
Signature:	Date:	
(10) Legal Name of Inventor: Kamalesh K. Srivastava		
Signature:	Date:	
(11) Legal Name of Inventor: Brian R. Sundlof		
Clamatowa	Data	

 $[IF\ MORE\ INVENTORS, ADDITIONAL\ SIGNATURE\ PAGE(S)\ FOLLOWS]$

(12) Legal Name of Inventor: Julien Sylvestre		
Signature:	Date:	
(13) Legal Name of Inventor: Renee L. Weisman		
Signature:	Date:	

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Page 1 of 4

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Signature:	Date:
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Signature: Jely Hollow	Date:
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Signature:	Date:
(4) Legal Name of Inventor: Donald W. Henderson	
Signature: Donald W. Henderson	Date: 6/20/2013
(5) Legal Name of Inventor: Gareth G. Hougham	
Signatura	Date:

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Signature:	Date:
(5) Legal Name of Inventor: Gareth G. Hougham	
Signature: Signature: Hough	Date: 6/2-0/2-013

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(9) Legal Name of Inventor: Krystyna W. Semkow	
Signature:	Date:
(10) Legal Name of Inventor: Kamalesh K. Srivastava	
Signature:	Date:
(11) Legal Name of Inventor: Brian R. Sundlof	
Signatures	Date:

[IF MORE INVENTORS, ADDITIONAL SIGNATURE PAGE(S) FOLLOWS]

Page 3 of 4

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IRM	DOCKET	NUMBER:	FIS9200802	38US3
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(12) Legal Name of Inventor: Julien Sylvestre		
Signature:	Date:	Photosockis emission and
(13) Legal Name of Inventor: Renee L. Weisman		
Signature:	Date:	

Page 4 of 4

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: ADDITIVES FOR GRAIN FRAGMENTATION IN Pb-FREE Sn-BASED SOLDER

As a below named inventor, I hereby declare that:
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[] United States application or PCT international application numberfiled on
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Signature:	Date:
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Signature:	Date:
(3) Legal Name of Inventor: Peter J. Brofman	
Signature:	Date:
(4) Legal Name of Inventor: Donald W. Henderson	
Signature:	Date:
(5) Legal Name of Inventor: Gareth G. Hougham	
Signature:	Date:

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Page 2 of 4

(6) Legal Name of Inventor: Hsichang Liu	
Signature: Posch	Date: <u>June 20</u> 20/
(7) Legal Name of Inventor: Eric D. Perfecto	V
Signature:	Date:
(8) Legal Name of Inventor: Srinivasa S.N. Reddy	
Signature:	Date:
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(10) Legal Name of Inventor: Kamalesh K. Srivastava	
Signature:	Date:
(11) Legal Name of Inventor: Brian R. Sundlof	
Signature:	Date

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(12) Legal Name of Inventor: Julien Sylvestre		
Signature:	Date:	
(13) Legal Name of Inventor: Renee L. Weisman		
Signature:	Date:	

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Page 4 of 4

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: ADDITIVES FOR GRAIN FRAGMENTATION IN Pb-FREE Sn-BASED SOLDER

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Signature:	Date:
(2) Legal Name of Inventor: Alexandre Blander	. ·
Signature:	Date:
(3) Legal Name of Inventor: Peter J. Brofman	•
Signature:	Date;
(4) Legal Name of Inventor: Donald W. Henderson	
Signature:	Date:
(5) Legal Name of Inventor: Gareth G. Hougham	
Signature:	Date;

[IF MORE INVENTORS, ADDITIONAL SIGNATURE PAGE(S) FOLLOWS]

(6) Legal Name of Inventor: Hsichang Liu	
Signature:	Date:
(7) Legal Name of Inventor: Eric D. Perfecto	
Signature: Cic O. Paperto	Date: 6/20/13
(8) Legal Name of Inventor: Srinivasa S.N. Reddy	
Signature:	Date:
(9) Legal Name of Inventor: Krystyna W. Semkow	
Signature:	Date:
(10) Legal Name of Inventor: Kamalesh K. Srivastava	
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(11) Legal Name of Inventor: Brian R. Sundlof	
Signature	Date:

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(12) Legal Name of Inventor: Julien Sylvestre		
Signature:	Date:	
(13) Legal Name of Inventor; Rence L. Weisman		
Signature	Date:	

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

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Signature:	Date:
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Signature:	Date:
(5) Legal Name of Inventor: Gareth G. Houghan	n
Signature:	Date:

[IF MORE INVENTORS, ADDITIONAL SIGNATURE PAGE(S) FOLLOWS]

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(6) Legal Name of Inventor: Hsichang Liu		
Signature:	Date:	
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Signature:	Date:	
(8) Legal Name of Inventor: Srinivasa S.N. Reddy		
Signature: Nimirana SN. Ledy	Date: June 25, 20	13
(9) Legal Name of Inventor: Krystyna W. Semkow		
Signature:	Date:	
(10) Legal Name of Inventor: Kamalesh K. Srivastava		
Signature:	Date:	
(11) Legal Name of Inventor: Brian R. Sundlof	•	
Signature:	Date:	

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(12) Legal Name of Inventor: Julien Sylvestre		
Signature:	Date:	
(13) Legal Name of Inventor: Renee L. Weisman		
Signature:	Date:	

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DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: ADDITIVES FOR GRAIN FRAGMENTATION IN Pb-FREE Sn-BASED SOLDER

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	Signature:	Date:

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Page 2 of 4

usefkil9

PATENT7: 21 02-90-\$102 **REEL: 030717 FRAME: 0639**

(6) Legal Name of Inventor: Hsichang Liu	
Signature:	Date:
(7) Legal Name of Inventor: Eric D. Perfecto	
Signature:	Date:
(8) Legal Name of Inventor: Srinivasa S.N. Reddy	
Signature:	Date:
(9) Legal Name of Inventor; Krystyna W. Semkow	
Signature: Knystyna W. Seulioce	Date: 06/20/20/3
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Signature:	Date:
(11) Legal Name of Inventor: Brian R. Sundlof	
Signature:	Date;

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Page 3 of 4

pesn PATENT: 21 02-90-2102 REEL: 030717 FRAME: 0640

(12) Legal Name of Inventor: Julien Sylvestre		
Signature:	Date:	
(13) Legal Name of Inventor: Renee L. Weisman		
Signature:	Date:	

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Page 4 of 4

PATENT₇: 21 02-90-2102 REEL: 030717 FRAME: 0641 usefkll9

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

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Signature:	Date:

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PATENT REEL: 030717 FRAME: 0643 1 97 unc

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Signature:	Date:
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Signature:	Date:
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Signature: Sirvaslava	Date: 06/26/2013
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Signature:	Date

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PATENT REEL: 030717 FRAME: 4644 92 unc

(12) Legal Name of Inventor: Julien Sylvestre		
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Each undersigned inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to IBM and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned inventor also hereby grants IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1)	Legal Name of Inventor: Charles L. Arvin	
	Signature:	Date:
(2)	Legal Name of Inventor: Alexandre Blander	
	Signature:	_Date:
(3)	Legal Name of Inventor: Peter J. Brofman	
	Signature:	Date:
(4)	Legal Name of Inventor: Donald W. Henderson	
	Signature:	Date:
(5)	Legal Name of Inventor: Gareth G. Hougham	
	Signature:	Date:

[IF MORE INVENTORS, ADDITIONAL SIGNATURE PAGE(S) FOLLOWS]

Page 2 of 4

(6) Legal Name of Inventor: Hsichang Liu	
Signature:	Date:
(7) Legal Name of Inventor: Eric D. Perfecto	
Signature:	Date:
(8) Legal Name of Inventor: Srinivasa S.N. Reddy	
Signature:	Date:
(9) Legal Name of Inventor: Krystyna W. Semkow	
Signature:	Date:
(10) Legal Name of Inventor: Kamalesh K. Srivastava	
Signature:	Date:
(11) Legal Name of Inventor: Brian R. Sundlof	
Signature: Tin X	Date: 6/24/2013

[IF MORE INVENTORS, ADDITIONAL SIGNATURE PAGE(S) FOLLOWS]

Page 3 of 4

(12) Legal Name of Inventor: Julien Sylvestre	
Signature:	Date:
(13) Legal Name of Inventor: Renee L. Weisman	
Signature:	Date:

[IF MORE INVENTORS, ADDITIONAL SIGNATURE PAGE(S) FOLLOWS]

Page 4 of 4

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: ADDITIVES FOR GRAIN FRAGMENTATION IN Pb-FREE Sn-BASED SOLDER

As a below named inventor, I hereby declare that:
This declaration is directed to the attached application, or (if following box is checked):
[] United States application or PCT international application number
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I have reviewed and understand the contents of the application, including the claims.
I am aware of the duty to disclose to the United States Patent and Trademark Office all information

Whereas, the undersigned inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and each undersigned inventor desires to grant to IBM, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor ("ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

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Signature:	Date:
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Signature:	Date:
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Signature:	Date:

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Page 3 of 4

(12) Legal Name of Inventor: Julien Sylvestre	
Signature:	Date: <u>6/20/20/</u> 3
(13) Legal Name of Inventor: Renee L. Weisman	′ /
Signature:	Date:

[IF MORE INVENTORS, ADDITIONAL SIGNATURE PAGE(S) FOLLOWS]

p.2

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: ADDITIVES FOR GRAIN FRAGMENTATION IN Pb-FREE Sn-BASED **SOLDER**

John and Renee Weisman

As a below named inventor, I hereby declare that:
This declaration is directed to the attached application, or (if following box is checked):
United States application or PCT international application number
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I have reviewed and understand the contents of the application, including the claims.
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.
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PATENT

REEL: 030717 FRAME: 0655

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(13) Legal Nam	e of Inventor: Renee L. Weisman		
Signature:	Rines & Weisman	Date:	2013

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