

## PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT																										
NATURE OF CONVEYANCE:	RELEASE BY SECURED PARTY																										
<b>CONVEYING PARTY DATA</b>																											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Silicon Valley Bank, a California state-chartered bank, attorney-in-fact for Southwest Windpower, Inc., a Delaware corporation</td> <td>07/02/2013</td> </tr> <tr> <td>Altira Technology Fund V L.P.</td> <td>07/02/2013</td> </tr> <tr> <td>Rockport Capital Partners II, L.P.</td> <td>07/02/2013</td> </tr> </tbody> </table>		Name	Execution Date	Silicon Valley Bank, a California state-chartered bank, attorney-in-fact for Southwest Windpower, Inc., a Delaware corporation	07/02/2013	Altira Technology Fund V L.P.	07/02/2013	Rockport Capital Partners II, L.P.	07/02/2013																		
Name	Execution Date																										
Silicon Valley Bank, a California state-chartered bank, attorney-in-fact for Southwest Windpower, Inc., a Delaware corporation	07/02/2013																										
Altira Technology Fund V L.P.	07/02/2013																										
Rockport Capital Partners II, L.P.	07/02/2013																										
<b>RECEIVING PARTY DATA</b>																											
Name:	Xzeres Corp																										
Street Address:	9025 SW Hillman Court, Suite 3126																										
City:	Wilsonville																										
State/Country:	OREGON																										
Postal Code:	97070																										
<b>PROPERTY NUMBERS Total: 12</b>																											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Patent Number:</td> <td>5746576</td> </tr> <tr> <td>Patent Number:</td> <td>6703718</td> </tr> <tr> <td>Patent Number:</td> <td>7420288</td> </tr> <tr> <td>Patent Number:</td> <td>8018081</td> </tr> <tr> <td>Patent Number:</td> <td>7573146</td> </tr> <tr> <td>Application Number:</td> <td>12497096</td> </tr> <tr> <td>Application Number:</td> <td>13550265</td> </tr> <tr> <td>Application Number:</td> <td>61650959</td> </tr> <tr> <td>Application Number:</td> <td>61650973</td> </tr> <tr> <td>Application Number:</td> <td>61650975</td> </tr> <tr> <td>Patent Number:</td> <td>4767939</td> </tr> <tr> <td>Application Number:</td> <td>11976201</td> </tr> </tbody> </table>		Property Type	Number	Patent Number:	5746576	Patent Number:	6703718	Patent Number:	7420288	Patent Number:	8018081	Patent Number:	7573146	Application Number:	12497096	Application Number:	13550265	Application Number:	61650959	Application Number:	61650973	Application Number:	61650975	Patent Number:	4767939	Application Number:	11976201
Property Type	Number																										
Patent Number:	5746576																										
Patent Number:	6703718																										
Patent Number:	7420288																										
Patent Number:	8018081																										
Patent Number:	7573146																										
Application Number:	12497096																										
Application Number:	13550265																										
Application Number:	61650959																										
Application Number:	61650973																										
Application Number:	61650975																										
Patent Number:	4767939																										
Application Number:	11976201																										

OP \$480.00 5746576

**CORRESPONDENCE DATA**

Fax Number: 7204887711  
*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*  
Phone: 303-410-3400  
Email: echarlesworth@bsblawyers.com  
Correspondent Name: Silicon Valley Bank  
Address Line 1: 380 Interlocken Crescent Circle, #600  
Address Line 4: Broomfield, COLORADO 80021

ATTORNEY DOCKET NUMBER:	1299.035
NAME OF SUBMITTER:	Emily J. Charlesworth, Esq.
Signature:	/ejc/
Date:	07/09/2013

Total Attachments: 14  
source=PATENT REL#page1.tif  
source=PATENT REL#page2.tif  
source=PATENT REL#page3.tif  
source=PATENT REL#page4.tif  
source=PATENT REL#page5.tif  
source=PATENT REL#page6.tif  
source=PATENT REL#page7.tif  
source=PATENT REL#page8.tif  
source=PATENT REL#page9.tif  
source=PATENT REL#page10.tif  
source=PATENT REL#page11.tif  
source=PATENT REL#page12.tif  
source=PATENT REL#page13.tif  
source=PATENT REL#page14.tif

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**  
  
Silicon Valley Bank (See attached sheet)  
  
Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**  
Name: Xzeres Corp., a Nevada corporation  
Internal Address: \_\_\_\_\_  
  
Street Address: 9025 SW Hillman Court, Suite 3126  
  
City: Wilsonville  
State: Oregon  
Country: USA Zip: 97070  
  
Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**  
Execution Date(s) July 2, 2013  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other Release of Security Interest


**4. Application or patent number(s):**  This document serves as an Oath/Declaration (37 CFR 1.63).  
A. Patent Application No.(s)  
  
See attached sheet  
  
Additional numbers attached?  Yes  No

B. Patent No.(s)  
  
See attached sheet

**5. Name and address to whom correspondence concerning document should be mailed:**  
Name: Silicon Valley Bank  
Internal Address: \_\_\_\_\_  
  
Street Address: 380 Interlocken Crescent Circle, #600  
  
City: Broomfield  
State: Colorado Zip: 80021  
Phone Number: 303-410-3400  
Docket Number: \_\_\_\_\_  
Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** 12  
**7. Total fee (37 CFR 1.21(h) & 3.41) \$** \_\_\_\_\_  
 Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**  
  
Deposit Account Number \_\_\_\_\_  
Authorized User Name \_\_\_\_\_

**9. Signature:**  July 3, 2013  
Signature Date  
Emily J. Charlesworth, Esq. Total number of pages including cover sheet, attachments, and documents: 4  
Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**Item 1. Conveying Party(ies)**

Silicon Valley Bank, a California state-chartered bank, attorney-in-fact for Southwest Windpower, Inc., a Delaware corporation.

**Item 4. Application Numbers(s) or Patent Number(s)**

Application Numbers	Patent Numbers
	#5,746,576
	#6,703,718
	#7,420,288
	#8,018,081
	#7,573,146
12/497,096	
13/550,265	
61/650,959	
61/650,973	
61/650,975	
	#4,767,939
11/976,201	

00263831

July 3, 2013

Mail Stop Assignment Recordation Services  
Director of the U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

**Re: Notice of Release of Security Interest**

To Whom It May Concern:

This letter is to notify the United States Patent Office that Silicon Valley Bank hereby releases its security interests in the Patents and Applications referenced below.

Application Numbers	Patent Numbers
	#5,746,576
	#6,703,718
	#7,420,288
	#8,018,081
	#7,573,146
12/497,096	
13/550,265	
61/650,959	
61/650,973	
61/650,975	
	#4,767,939

Mail Stop Assignment Recordation Services  
July 3, 2013  
Page 2

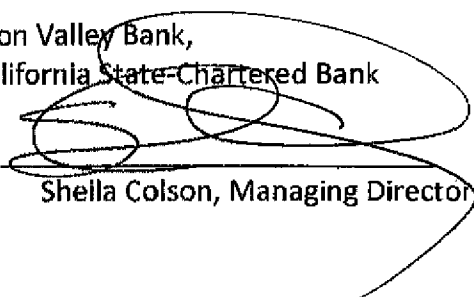
11/976,201	
7/420,288	

Please contact me at 925-737-6002 with any questions regarding this matter.

Sincerely,

Silicon Valley Bank,  
a California State-Chartered Bank

By:

  
Sheila Colson, Managing Director

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Altira Technology Fund V L.P.

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) July 2, 2013

- Assignment
- Security Agreement
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other Release of Security Interest
- Merger
- Change of Name

**2. Name and address of receiving party(ies)**

Name: Xzeres Corp., a Nevada corporation

Internal Address: \_\_\_\_\_

Street Address: 9025 SW Hillman Court, Suite 3126

City: Wilsonville

State: Oregon

Country: USA Zip: 97070

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

See attached sheet

See attached sheet

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Altira Technology Fund V L.P.

Internal Address: \_\_\_\_\_

Street Address: 1675 Broadway, Suite 2400

City: Denver

State: Colorado Zip: 80202

Phone Number: 303-592-5500

Docket Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** 12**7. Total fee (37 CFR 1.21(h) & 3.41) \$** \_\_\_\_\_

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Signature

July 3, 2013

Date

Emily J. Charlesworth, Esq.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**Item 4. Application Numbers(s) or Patent Number(s)**

<b>Application Numbers</b>	<b>Patent Numbers</b>
	#5,746,576
	#6,703,718
	#7,420,288
	#8,018,081
	#7,573,146
12/497,096	
13/550,265	
61/650,959	
61/650,973	
61/650,975	
	#4,767,939
11/976,201	

00264011



July 3, 2013

Mail Stop Assignment Recordation Services  
Director of the U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

**Re: Notice of Release of Security Interest**

To Whom It May Concern:

This letter is to notify the United States Patent Office that Altira Technology Fund V L.P. and Rockport Capital Partners II, L.P. hereby release from their security interest the Patents and Applications referenced below.

Application Numbers	Patent Numbers
	#5,746,576
	#6,703,718
	#7,420,288
	#8,018,081
	#7,573,146
12/497,096	
13/550,265	
61/650,959	
61/650,973	
61/650,975	
	#4,767,939

00263870

**PATENT**  
**REEL: 030758 FRAME: 0736**

Mail Stop Assignment Recordation Services  
July 3, 2013  
Page 2


11/976,201	
7/420,288	

Please contact either Hull McKinnon at 303.592.5502 or Hap Ellis at 617.912.1420 for questions regarding this matter.

Sincerely,

ALTIRA TECHNOLOGY FUND V L.P.

By: Altira Management V LLC, general partner  
By: Altira Group, LLC, sole managing member

By:   
Name: Hull McKinnon  
Title: Managing Member

ROCKPORT CAPITAL PARTNERS II, L.P.

By: Rockport Capital II, L.L.C., general partner

By: \_\_\_\_\_  
Name: Alexander Ellis, III  
Title: Managing Member

Mail Stop Assignment Recordation Services  
July 3, 2013  
Page 2

11/976,201	
7/420,288	

Please contact either Hull McKinnon at 303.592.5502 or Hap Ellis at 617.912.1420 for questions regarding this matter.

Sincerely,

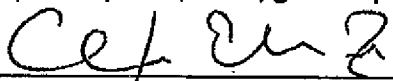
ALTIRA TECHNOLOGY FUND V L.P.

By: Altira Management V LLC, general partner  
By: Altira Group, LLC, sole managing member

By: \_\_\_\_\_  
Name: Hull McKinnon  
Title: Managing Member

ROCKPORT CAPITAL PARTNERS II, L.P.

By: Rockport Capital II, L.L.C., general partner

By:   
Name: Alexander Ellis, III  
Title: Managing Member

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Rockport Capital Partners II, L.P.

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) July 2, 2013

- Assignment
- Security Agreement
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other Release of Security Interest
- Merger
- Change of Name

**2. Name and address of receiving party(ies)**

Name: Xzeres Corp., a Nevada corporation

Internal Address: \_\_\_\_\_

Street Address: 9025 SW Hillman Court, Suite 3126

City: Wilsonville

State: Oregon

Country: USA Zip: 97070

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

See attached sheet

See attached sheet

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Rockport Capital Partners II, L.P.

Internal Address: \_\_\_\_\_

Street Address: 160 Federal Street, 18th Floor

City: Boston

State: MA Zip: 02110

Phone Number: 617-912-1492

Docket Number: \_\_\_\_\_

Email Address: hellis@rockportcap.com

**6. Total number of applications and patents involved:** 12**7. Total fee (37 CFR 1.21(h) & 3.41) \$** \_\_\_\_\_

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Signature

July 3, 2013

Date

Emily J. Charlesworth, Esq.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**Item 4. Application Numbers(s) or Patent Number(s)**

<b>Application Numbers</b>	<b>Patent Numbers</b>
	#5,746,576
	#6,703,718
	#7,420,288
	#8,018,081
	#7,573,146
12/497,096	
13/550,265	
61/650,959	
61/650,973	
61/650,975	
	#4,767,939
11/976,201	

00264011

July 3, 2013

Mall Stop Assignment Recordation Services  
Director of the U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

**Re: Notice of Release of Security Interest**

To Whom It May Concern:

This letter is to notify the United States Patent Office that Altira Technology Fund V L.P. and Rockport Capital Partners II, L.P. hereby release from their security interest the Patents and Applications referenced below.

Application Numbers	Patent Numbers
	#5,746,576
	#6,703,718
	#7,420,288
	#8,018,081
	#7,573,146
12/497,096	
13/550,265	
61/650,959	
61/650,973	
61/650,975	
	#4,767,939

00263870

**PATENT**  
**REEL: 030758 FRAME: 0741**

Mail Stop Assignment Recordation Services

July 3, 2013

Page 2

11/976,201	
7/420,288	

Please contact either Hull McKinnon at 303.592.5502 or Hap Ellis at 617.912.1420 for questions regarding this matter.

Sincerely,

ALTIRA TECHNOLOGY FUND V L.P.

By: Altira Management V LLC, general partner

By: Altira Group, LLC, sole managing member

By: 

Name: Hull McKinnon

Title: Managing Member

ROCKPORT CAPITAL PARTNERS II, L.P.

By: Rockport Capital II, L.L.C., general partner

By: \_\_\_\_\_

Name: Alexander Ellis, III

Title: Managing Member

Mail Stop Assignment Recordation Services  
July 3, 2013  
Page 2

11/976,201	
7/420,288	

Please contact either Hull McKinnon at 303.592.5502 or Hap Ellis at 617.912.1420 for questions regarding this matter.

Sincerely,

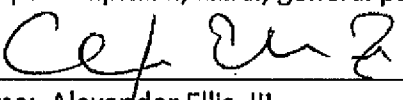
ALTIRA TECHNOLOGY FUND V L.P.

By: Altira Management V LLC, general partner  
By: Altira Group, LLC, sole managing member

By: \_\_\_\_\_  
Name: Hull McKinnon  
Title: Managing Member

ROCKPORT CAPITAL PARTNERS II, L.P.

By: Rockport Capital II, L.L.C., general partner

By:   
Name: Alexander Ellis, III  
Title: Managing Member