

## PATENT ASSIGNMENT

Electronic Version v1.1

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Notice of Attorney's Lien
CONVEYING PARTY DATA	
Name	Execution Date
Zorion Medical, Inc.	07/11/2013
RECEIVING PARTY DATA	
Name:	Goodwin Procter LLP
Street Address:	53 State Street
City:	Boston
State/Country:	MASSACHUSETTS
Postal Code:	02109
PROPERTY NUMBERS Total: 3	
Property Type	Number
Application Number:	13165247
PCT Number:	US2011041258
Application Number:	13309271
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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Correspondent Name:	Goodwin Procter LLP
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ATTORNEY DOCKET NUMBER:	ZOR-001, 002, AND 001PC
NAME OF SUBMITTER:	Natasha C. Us
Signature:	/Natasha C. Us/
Date:	07/11/2013
Total Attachments: 2	
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## NOTICE OF ATTORNEY'S LIEN

Goodwin Procter LLP ("Goodwin") possesses, and hereby asserts, an attorney's lien on (a) the patent applications set forth in Schedule A (the "Patents") and (b) any proceeds of such Patents:

1. Under section 50 of chapter 221 of the Massachusetts General Laws ("Lien Statute") from a lawyer's appearance in any proceeding before any federal department, board, or commission, the lawyer has a lien for its reasonable fees and expenses upon its clients' claims, upon judgments, decrees, or other orders in its clients' favor entered or made in such proceeding, and upon the proceeds derived therefrom.
2. Goodwin handled patent prosecution and maintenance of the Patents on behalf of Zorion Medical, Inc. In particular, Goodwin appeared before the United States Patent and Trademark Office ("USPTO") to file and/or prosecute the U.S. Patents.
3. The USPTO is a federal department, board, or commission. *See Ropes & Gray LLP v. Jalbert*, 910 N.E.2d 330, 336 (Mass. 2009) (stating "[w]hen an attorney files a patent application for a client with the USPTO, that action constitutes appearing in a proceeding before a Federal department").
4. A patent application is a claim in a proceeding before the USPTO. *See id.* (stating "[w]hen an attorney files a patent application for a client with the USPTO, that action constitutes appearing in a proceeding before a Federal department."); *Hedman Gibson & Costigan, P.C. v. Tri-Tech Sys. Int'l, Inc.*, 1994 WL 18536 (S.D.N.Y. 1994) (holding that an attorney can place a lien upon patents and patent applications under a statute similar to the Lien Statute).
5. A patent allowed under 35 U.S.C. § 151 and issued under 35 U.S.C. § 153 is a judgment, decree, or other order. *See Jalbert*, 910 N.E.2d 330, 339 (stating "[a] patent attorney who successfully secures a patent for his client in proceedings before the USPTO is entitled to the same protection under [M.]G.L. c. 221, § 50, as an attorney who obtains a favorable judgment for his client in court.").
6. Proceeds of the sale, licensing, or other disposition of the Patents are proceeds derived from such claims, judgment, decrees, or other orders. *See Jalbert*, 910 N.E.2d 330, 338 (holding "the clear intent of the Legislature in enacting [M.]G.L. c. 221, § 50, was to provide protection to the unpaid attorney, and in the context of patent prosecution work, this intent would be wholly frustrated if the lien did not attach to the proceeds derived from the sale of the patent or patent application.").
7. Goodwin asserts that its fees and expenses are reasonable.
8. Goodwin is authorized to acquire a lien granted by law to secure its fees and expenses under 37 C.F.R. § 10.64.

**Schedule A**  
**Zorion Medical, Inc.**

<b>Docket #</b>	<b>Application #</b>	<b>Country</b>	<b>Filing Date</b>	<b>Publication #</b>	<b>Publication Date</b>
ZOR-001	13/165,247	US	21-Jun-11	2011/0319977	29-Dec-11
ZOR-002	13/309,271	US	1-Dec-11	2012/0143227	7-Jun-12
ZOR-001CA	2802753	CA	21-Jun-11	N/A	N/A
ZOR-001EP	11743676.6	EP	21-Jun-11	2582408	24-Apr-13
ZOR-001JP	2013-516690	JP	21-Jun-11	N/A	N/A
ZOR-001PC	US2011/041258	PCT	21-Jun-11	WO2011/163236	29-Dec-11
ZOR-002PC	US2011/062922	PCT	1-Dec-11	WO2012/075311	7-Jun-12