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ARL 11-51

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Randy A. Mrozek
Joseph J. Lenhart
Robert H. Lambeth
Jan W. Andzelm

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 5/23/2013, 5/28/2013

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: The United States of America as represented by the

Internal Address: Secretary of the Army

Street Address: 101 Army Pentagon

City: Washington

State: DC

Country: USA Zip: 20310

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

13/891,477

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: U.S. Army Research Laboratory

Internal Address: Attn: RDRL-LOC-I/Alan I. Kalb

Street Address: 2800 Powder Mill Road

City: Adelphi

State: Maryland Zip: 20783

Phone Number: 301-394-1640

Fax Number: 301-394-3972

Email Address: nadine.loman.civ@mail.mil

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 19-2201

Authorized User Name Alan I. Kalb

9. Signature:

Alan I. Kalb
Signature

June 10, 2013
Date

Alan I. Kalb, Reg. No. 52,486
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 192201 13891477

ASSIGNMENT AND AGREEMENT

Title of Invention: **BRANCHED ADDITIVES FOR POLYMER TOUGHENING**

Inventor(s): Randy A. Mrozek, Joseph L. Lenhart, Robert H. Lambeth, Jan W. Andzelm

Date Declaration Executed: 5/9/2013, 5/10/2013

*Application Serial No.: 13/891,477 *Filing Date: 5/10/2013 Docket No.: ARL 11-51




*(*I (We) herby authorize the application serial number and filing date of said application be added when known.)*

I, the undersigned inventor, in consideration of the rights of the Government of the United States acquired by virtue of circumstances under which the above-identified invention was made, hereby:

1. Assign to the Government of the United States, as represented by the Secretary of the Army, my entire right, title, and interest throughout the United States, its Territories, Possessions, and Puerto Rico, in and to the above-entitled invention and application for patent and all Letters Patent issuing thereon, and any continuation or division of said application and any reissue or extension of said Letters Patent.

2. Agree to assign to the Government upon its request, title and interest in the invention in those foreign countries in which the Government, within eight months of the filing of the United States application for patent, determines to cause an application to be filed; provided that if the Government determines not to cause an application to be filed in any particular foreign country or fails to make such a determination, within the said eight months, all right, title and interest in the invention in such foreign country shall remain in me, subject to a nonexclusive, irrevocable, royalty-free license to the Government in any patent in which may issue on the invention in such foreign country, including the power to issue sublicenses for use in behalf of the Government and/or furtherance of the foreign policies of the Government.

3. Agree to provide any further information within my knowledge and to execute any further documents necessary to the prosecution of patent applications on the invention, the prosecution and settlement of interferences and recording of title to patent applications and patents issuing thereon.

Signature of Inventor:  (First name)  (Middle Initial)  (Last Name)

Duty Address: Aberdeen Proving Ground, Aberdeen Harford Maryland
(Locality) (County) (State)

Date: 5/23/2013 Typed name of Inventor: **Randy A. Mrozek**

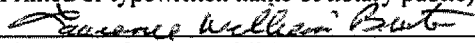
******(notarizing preferred)******

State of: MARYLAND) SS.

County of: HARFORD) [place where acknowledgement is taken]

On the above date Randy A. Mrozek known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed. In witness whereof I hereunto set my hand and official seal.

(SEAL)

LAWRENCE WILLIAM BURTON
(Printed or typewritten name of notary public)

(Signature of notary public)

My Commission expires on 2/6/13

Signature of Inventor: Joseph L Lenhart
(First name) (Middle Initial) (Last Name)

Duty Address: Aberdeen Proving Ground, Aberdeen Harford Maryland
(Locality) (County) (State)

Date: 5/23/2013 Typed name of Inventor: Joseph L. Lenhart

***** (notarizing preferred) *****

State of: MARYLAND) SS.

County of: HARFORD) [place where acknowledgement is taken]

On the above date Joseph L. Lenhart known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed. In witness whereof I hereunto set my hand and official seal.

(SEAL)

LAWRENCE WILLIAM BURTON
(Printed or typewritten name of notary public)
Lawrence William Burton
(Signature of notary public)

My Commission expires on 5/6/13

Signature of Inventor: Robert H Lambeth
(First name) (Middle Initial) (Last Name)

Duty Address: Aberdeen Proving Ground, Aberdeen Harford Maryland
(Locality) (County) (State)

Date: 5/23/2013 Typed name of Inventor: Robert H. Lambeth

***** (notarizing preferred) *****

State of: MARYLAND) SS.

County of: HARFORD) [place where acknowledgement is taken]

On the above date Robert H. Lambeth known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed. In witness whereof I hereunto set my hand and official seal.

(SEAL)

LAWRENCE WILLIAM BURTON
(Printed or typewritten name of notary public)
Lawrence William Burton
(Signature of notary public)

My Commission expires on 5/6/13

Signature of Inventor: Jan W Andzelm
(First name) (Middle Initial) (Last Name)

Duty Address: Aberdeen Proving Ground, Aberdeen Harford Maryland
(Locality) (County) (State)

Date: 5/28/2013 Typed name of Inventor: Jan W. Andzelm

***** (notarizing preferred) *****

State of: MARYLAND) SS.

County of: HARFORD) [place where acknowledgement is taken]

On the above date Jan W. Andzelm known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed. In witness whereof I hereunto set my hand and official seal.

Lawrence William Burton
(Printed or typewritten name of notary public)
LAWRENCE WILLIAM BURTON
(Signature of notary public)

My Commission expires on 8/6/13

(SEAL)