

## PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

| SUBMISSION TYPE:  | NEW ASSIGNMENT           |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
|---|--------------------------|---------------|--------------------------|------------------------------|--------------|--------|-------------|-------------------------|------------|---------------------|------------|--------------------|------------|-----------------------|------------|
| NATURE OF CONVEYANCE:   | ASSIGNMENT               |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| CONVEYING PARTY DATA  |                          |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| <table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Shiva Prasad Kasiviswanathan</td> <td>07/15/2013</td> </tr> <tr> <td>Lei Wu</td> <td>07/09/2013</td> </tr> <tr> <td>Daniel Edward Marthaler</td> <td>07/15/2013</td> </tr> <tr> <td>Scott Charles Evans</td> <td>07/10/2013</td> </tr> <tr> <td>Varian Paul Powles</td> <td>07/10/2013</td> </tr> <tr> <td>Philip Paul Beauchamp</td> <td>07/10/2013</td> </tr> </tbody> </table> |                          | Name          | Execution Date           | Shiva Prasad Kasiviswanathan | 07/15/2013   | Lei Wu | 07/09/2013  | Daniel Edward Marthaler | 07/15/2013 | Scott Charles Evans | 07/10/2013 | Varian Paul Powles | 07/10/2013 | Philip Paul Beauchamp | 07/10/2013 |
| Name  | Execution Date           |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| Shiva Prasad Kasiviswanathan  | 07/15/2013               |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| Lei Wu  | 07/09/2013               |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| Daniel Edward Marthaler   | 07/15/2013               |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| Scott Charles Evans   | 07/10/2013               |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| Varian Paul Powles  | 07/10/2013               |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| Philip Paul Beauchamp   | 07/10/2013               |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| RECEIVING PARTY DATA  |                          |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| <table border="1"> <tr> <td>Name:</td> <td>General Electric Company</td> </tr> <tr> <td>Street Address:</td> <td>1 River Road</td> </tr> <tr> <td>City:</td> <td>Schenectady</td> </tr> <tr> <td>State/Country:</td> <td>NEW YORK</td> </tr> <tr> <td>Postal Code:</td> <td>12345</td> </tr> </table>   |                          | Name:         | General Electric Company | Street Address:              | 1 River Road | City:  | Schenectady | State/Country:          | NEW YORK   | Postal Code:        | 12345      |                    |            |                       |            |
| Name:   | General Electric Company |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| Street Address:   | 1 River Road             |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| City:   | Schenectady              |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| State/Country:  | NEW YORK                 |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| Postal Code:  | 12345                    |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| PROPERTY NUMBERS Total: 1   |                          |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| <table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13942318</td> </tr> </tbody> </table>   |                          | Property Type | Number                   | Application Number:          | 13942318     |        |             |                         |            |                     |            |                    |            |                       |            |
| Property Type   | Number                   |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| Application Number:   | 13942318                 |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| CORRESPONDENCE DATA   |                          |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| <p>Fax Number: 2819704503<br/> <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 281-970-4545<br/>       Email: manware@fyiplaw.com</p> <p>Correspondent Name: Fletcher Yoder, c/o Patrick S. Yoder<br/>       Address Line 1: 7915 FM 1960 West, Suite 330<br/>       Address Line 2: PO Box 692289, Houston, TX 77269-2289<br/>       Address Line 4: Houston, TEXAS 77070</p>   |                          |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |
| ATTORNEY DOCKET NUMBER:   | GELC:0083 (267351-1)     |               |                          |                              |              |        |             |                         |            |                     |            |                    |            |                       |            |

|   |  |
|---|--|
| NAME OF SUBMITTER:  | Robert A. Manware  |
| Signature:  | /Robert A. Manware/  |
| Date:   | 07/17/2013   |
|   | This document serves as an Oath/Declaration (37 CFR 1.63). |
| <b>Total Attachments: 8</b><br>source=267351-1_Combined_Declaration_and_Global_Assignment_17JUL2013#page1.tif<br>source=267351-1_Combined_Declaration_and_Global_Assignment_17JUL2013#page2.tif<br>source=267351-1_Combined_Declaration_and_Global_Assignment_17JUL2013#page3.tif<br>source=267351-1_Combined_Declaration_and_Global_Assignment_17JUL2013#page4.tif<br>source=267351-1_Combined_Declaration_and_Global_Assignment_17JUL2013#page5.tif<br>source=267351-1_Combined_Declaration_and_Global_Assignment_17JUL2013#page6.tif<br>source=267351-1_Combined_Declaration_and_Global_Assignment_17JUL2013#page7.tif<br>source=267351-1_Combined_Declaration_and_Global_Assignment_17JUL2013#page8.tif |  |

**COMBINED DECLARATION AND GLOBAL ASSIGNMENT  
FOR UTILITY PATENT APPLICATION**

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment is directed to:

**TITLE: SYSTEMS AND METHODS FOR DATA LOSS PREVENTION**

☐ the specification of which is attached hereto OR

☒ was filed on **July 15, 2013** as Application Serial No. **13/942,318** or PCT International Application Number  
and was amended on [ ] (if applicable).

(I/We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by me/us.

I/We believe that we are original joint inventor(s) of a claimed invention in the application.

I/We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

I/We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with my/our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York Corporation, and my/our employers), and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

|                                     |    |   |
|-------------------------------------|----|---|
| <b>Shiva Prasad Kasiviswanathan</b> | of | <b>San Ramon, California, United States</b> |
| <b>Lei Wu</b>                       | of | <b>San Ramon, California, United States</b> |
| <b>Daniel Edward Marthaler</b>      | of | <b>Oakland, California, United States</b>   |
| <b>Scott Charles Evans</b>          | of | <b>Burnt Hills, New York, United States</b> |
| <b>Varian Paul Powles</b>           | of | <b>Niskayuna, New York, United States</b>   |
| <b>Philip Paul Beauchamp</b>        | of | <b>Rexford, New York, United States</b>     |

hereby sell and assign to **GENERAL ELECTRIC COMPANY, a New York Corporation**, (hereinafter referred to as Company) having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my/our entire right(s), title(s), and interest(s) in and to the invention and improvements made or conceived by me/us and described in the application(s) listed above and in the following table:

| Country of Filing | Type of Filing | Application Number | Filing Date | Title |
|-------------------|----------------|--------------------|-------------|-------|
|                   |                |                    |             |       |

(I/We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

Please direct all telephone calls to:

**Jean K. Testa, (518) 387-5115**

**INVENTOR 1**

Signature: Shiva P

Date: 07/15/2013

Name: **Shiva Prasad Kasiviswanathan**

Witnessed by: Alexander T. Graf  
Signature

Date: 07/15/2013

Alexander T. Graf

Printed Name of Witness

Witnessed by: Brinda Thomas  
Signature

Date: 7/15/2013

Brinda Thomas

Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

\_\_\_\_\_  
Date: \_\_\_\_\_

Name: **Shiva Prasad Kasiviswanathan**

STATE OF

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named **Shiva Prasad Kasiviswanathan**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

INVENTOR 2

Signature: \_\_\_\_\_

Name: Lei Wu

Date: 07/09/2013

Witnessed by: \_\_\_\_\_

Date: 07/09/2013

Signature  
TZANBAO YANG

Printed Name of Witness

Witnessed by: \_\_\_\_\_

Date: 07/09/2013

Signature  
Franklin Chen

Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

\_\_\_\_\_  
Date: \_\_\_\_\_

Name: Lei Wu

STATE OF

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named Lei Wu, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

**INVENTOR 3**

Signature: *Daniel E Marthaler*

Date: 15 JULY 2013

Name: **Daniel Edward Marthaler**

Witnessed by: *[Signature]*  
Signature

Date: 15 July 2013

Fuxiao Xin  
Printed Name of Witness

Witnessed by: *[Signature]*  
Signature

Date: 15 July 2013

ABHAY HARPALE  
Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

\_\_\_\_\_  
Date: \_\_\_\_\_

Name: **Daniel Edward Marthaler**

STATE OF

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named **Daniel Edward Marthaler**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

**INVENTOR 4**

Signature: Scott Charles Evans

Date: 7/10/2013

Name: **Scott Charles Evans**

Witnessed by: Dongrul Wu

Date: 7/10/2013

Signature

DONGRUL WU

Printed Name of Witness

Witnessed by: Mohammad Shokoochi-Yektu

Date: 7/10/13

Signature

Mohammad Shokoochi-Yektu

Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

\_\_\_\_\_  
Date: \_\_\_\_\_

Name: **Scott Charles Evans**

STATE OF

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named **Scott Charles Evans**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)



**INVENTOR 5**

Signature: [Signature]

Name: **Varian Paul Powles**

Date: 7/10/2013

Witnessed by: [Signature]

Date: 7/10/2013

Signature

Mohammad Shokoochi-Yekta

Printed Name of Witness

Witnessed by: [Signature]

Date: 7/10/2013

Signature

DONGRUI WU

Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

Date: \_\_\_\_\_

Name: **Varian Paul Powles**

STATE OF

ss.

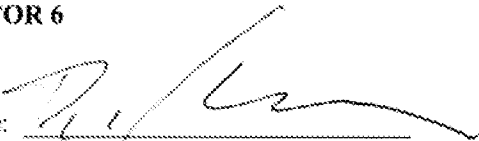
COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named **Varian Paul Powles**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

**INVENTOR 6**

Signature: 

Date: 8/10/13

Name: **Philip Paul Beauchamp**

Witnessed by:   
Signature

Date: 7/10/13

PETER SERPENTINE  
Printed Name of Witness

Witnessed by: Carole M. Trechel Donahue  
Signature

Date: 7-10-13

Carole M. Trechel Donahue  
Printed Name of Witness

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**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

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\_\_\_\_\_  
Date: \_\_\_\_\_

Name: **Philip Paul Beauchamp**

STATE OF \_\_\_\_\_

ss. \_\_\_\_\_

COUNTY OF \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named **Philip Paul Beauchamp**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal \_\_\_\_\_

\_\_\_\_\_  
(Notary Public)