## 502425293 07/18/2013

# PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name    | Execution Date |
|---------|----------------|
| STC.UNM | 07/17/2013     |

### **RECEIVING PARTY DATA**

| Name:           | Sandia Corporation |
|-----------------|--------------------|
| Street Address: | P.O. Box 5800      |
| City:           | Albuquerque        |
| State/Country:  | NEW MEXICO         |
| Postal Code:    | 87185              |

### PROPERTY NUMBERS Total: 1

| Property Type | Number       |
|---------------|--------------|
| PCT Number:   | US2012035529 |

#### **CORRESPONDENCE DATA**

**Fax Number**: 5052727300

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Email: lstanich@stc.unm.edu
Correspondent Name: Lindsay Stanich

Address Line 1: 801 University Blvd. Suite 101
Address Line 4: Albuquerque, NEW MEXICO 87106

| NAME OF SUBMITTER: | Lindsay Stanich   |  |
|--------------------|-------------------|--|
| Signature:         | /Lindsay Stanich/ |  |
| Date:              | 07/18/2013        |  |

# Total Attachments: 3

source=2011-098-02 Assignment STC to Sandia#page1.tif source=2011-098-02 Assignment STC to Sandia#page2.tif

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PATENT REEL: 030829 FRAME: 0523 OF \$40.00 USZ01Z0355Z

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## ASSIGNMENT

WHEREAS, the undersigned, to wit: STC.UNM, a nonprofit corporation having an address at 801 University Blvd. SE, Suite 101, Albuquerque, NM 87106 ("STC.UNM"), is the lawful owner of an invention entitled "Porous Nanoparticle-Supported Lipid Bilayers (Protocells) for Targeted Delivery and Methods of Using Same" for which an international patent application was filed on April 27, 2012 and now having PCT Application No. PCT/US12/35529:

WHEREAS, Sandia Corporation, a Delaware Corporation, having its principal offices located in Albuquerque, NM ("SANDIA"), and STC.UNM (collectively "ASSIGNEES") desire to jointly acquire the entire right, title, and interest therein;

WHEREAS, to the extent applicable, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to SANDIA;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) or other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, STC.UNM does hereby sell, assign and transfer, and hereby set over unto ASSIGNEES, their successors and assigns, the full and exclusive right, title and interest to the invention in the United States of America and all foreign countries, including, without limitation, the invention as described in the aforesaid application/patent and related foreign and U.S. applications/patents including, but not limited to, divisions, continuations, reissues and reexaminations thereof, to be held and enjoyed by ASSIGNEES, their successors and assigns, as fully and entirely as the same would have been held and enjoyed by STC.UNM had this assignment and sale not been made.

STC.UNM furthermore agrees upon request of ASSIGNEES, their successors and assigns, and without further remuneration, to execute any and all papers desired by ASSIGNEES, their successors and assigns, for the filing and granting of the applications/patents hereunder and the perfecting of title thereto in ASSIGNEES, their successors and assigns.

| Signed at Albuquerque, New      | Mexico.    |                      |  |
|---------------------------------|------------|----------------------|--|
| STC.UNM                         |            |                      |  |
| Yelyy I law<br>Name: Elizabeth. | tal        | 7-                   | 17-13  |
| Name: Elizabeth,                | Kuuttila   | Date                 |  |
| Title: President                | e, CEO     |                      |  |
| STATE OF NEW MEXICO             | )<br>) ss: |                      |  |
| COUNTY OF BERNALILLO            | )          |                      |  |
| The foregoing instrument was s  | www.       |                      |  |
|                                 | by the afo | Notary Public        | Stamich  |
| My commission expires:          |            | Seal:                |  |
| <u> </u>                        |            | (( <b>(26)</b> )) Li | FFICIAL SEAL  ndsay Stanich  NOTARY PUBLIC ATE OF NEW MEXICO |

| Signed at Albuquerque, New Mexico.                   |  |
|--|--|
| SANDIA   |  |
|  | 7-3-73   |
| Name: Proper Adams                                   | Date   |
| Title: So. Manager Industrial Parlacky               |  |
| STATE OF NEW MEXICO )                                |  |
| ) ss:<br>COUNTY OF BERNALILLO )                      |  |
| The foregoing instrument was subscribed and acknowle | dged before me, this 21th day of                               |
| $\int u dy$ . $\int 20/3$ by the afore               |  |
|  | Notary Public P  |
| My commission expires:                               | Seal:  |
| 11-27-16   | OFFICIAL SEAL Christina A. Vallejos                            |
|  | NOTARY PUBLIC<br>STATE OF NEW MEXICO<br>My Commission Expires: |