

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT														
NATURE OF CONVEYANCE:	ASSIGNMENT														
CONVEYING PARTY DATA															
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Kevin J. Duffy</td> <td>02/29/2008</td> </tr> <tr> <td>Antony N. Shaw</td> <td>02/29/2008</td> </tr> <tr> <td>William Henry Miller</td> <td>03/03/2008</td> </tr> <tr> <td>Andrea K. Myers</td> <td>03/03/2008</td> </tr> <tr> <td>Michael N. Zimmerman</td> <td>03/05/2008</td> </tr> <tr> <td>SmithKline Beecham Corporation</td> <td>10/27/2009</td> </tr> </tbody> </table>		Name	Execution Date	Kevin J. Duffy	02/29/2008	Antony N. Shaw	02/29/2008	William Henry Miller	03/03/2008	Andrea K. Myers	03/03/2008	Michael N. Zimmerman	03/05/2008	SmithKline Beecham Corporation	10/27/2009
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RECEIVING PARTY DATA															
<table border="1"> <tr> <td>Name:</td> <td>GlaxoSmithKline LLC</td> </tr> <tr> <td>Street Address:</td> <td>One Franklin Plaza</td> </tr> <tr> <td>Internal Address:</td> <td>200 North 16th Street</td> </tr> <tr> <td>City:</td> <td>Philadelphia</td> </tr> <tr> <td>State/Country:</td> <td>PENNSYLVANIA</td> </tr> <tr> <td>Postal Code:</td> <td>19102</td> </tr> </table>		Name:	GlaxoSmithKline LLC	Street Address:	One Franklin Plaza	Internal Address:	200 North 16th Street	City:	Philadelphia	State/Country:	PENNSYLVANIA	Postal Code:	19102		
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PROPERTY NUMBERS Total: 1															
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13281965</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13281965										
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Application Number:	13281965														
CORRESPONDENCE DATA															
Fax Number:	9194837977														
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>															
Phone:	(919) 483-2370														
Email:	usciprtp@gsk.com														
Correspondent Name:	GlaxoSmithKline														
Address Line 1:	Five Moore Drive, P.O. Box 13398														
Address Line 2:	Global Patents - C.2111.2F														
Address Line 4:	ResearchTrianglePark, NORTH CAROLINA 27709-3398														
ATTORNEY DOCKET NUMBER:	PU62292R (KLC/RFK)														

CH \$40.00 13281965

NAME OF SUBMITTER:	Kathryn L. Coulter
Signature:	/Kathryn L. Coulter/
Date:	07/22/2013
Total Attachments: 6 source=PU62292R_Assignment_Transmittal#page1.tif source=PU62292R_Assignment_Transmittal#page2.tif source=PU62292R_Assignment_Transmittal#page3.tif source=PU62292R_Assignment_Transmittal#page4.tif source=PU62292R_Assignment_Transmittal#page5.tif source=PU62292R_Assignment_Transmittal#page6.tif	

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Kevin J. DUFFY (February 29, 2008)
Antony N. SHAW (February 29, 2008)
William Henry MILLER (March 3, 2008)
Andrea K. MYERS (March 3, 2008)
Michael N. ZIMMERMAN (March 5, 2008)

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) (see above)

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: GlaxoSmithKline LLC

Internal Address:

Street Address: One Franklin Plaza

200 North 16th Street

City: Philadelphia

State: PA

Country: US Zip: 19102

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

13/281,965

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: GlaxoSmithKline

Internal Address: Global Patents

Street Address: Five Moore Drive

P.O. Box 13398

City: Research Triangle Park

State: NC Zip: 27709-3398

Phone Number: (919) 483-2370

Docket Number: PU62292R

Email Address: USCIPRTP@GSK.COM

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 07-1392

Authorized User Name Kathryn L. Coulter

9. Signature:

Kathryn L. Coulter

Signature

7/22/2013

Date

Kathryn L. Coulter (Reg. No. 45,889)

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-9140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

RECORDATION FORM COVER SHEET (cont'd)

1. Name of conveying party(ies)

SmithKline Beecham Corporation (October 27, 2009)

Assignment

WHEREAS, I/we, **Kevin J. DUFFY** of 1250 South Collegeville Road, Collegeville, PA 19426, a citizen of the United Kingdom; **Antony N. SHAW** of 1250 South Collegeville Road, Collegeville, PA 19426, a citizen of the United Kingdom; **William Henry MILLER** of 1250 South Collegeville Road, Collegeville, PA 19426, a citizen of the United States of America; **Andrea K. MYERS** of 1250 South Collegeville Road, Collegeville, PA 19426, a citizen of the United States of America; **Michael N. ZIMMERMAN** of 1250 South Collegeville Road, Collegeville, PA 19426, a citizen of the United States of America. has/have invented or discovered certain improvements in "N-Substituted Glycine Derivatives: Hydroxylase Inhibitors" hereinafter referred to as said invention and improvements for which a priority application 60/884710 was filed on 12 January 2007 in the United States Patent and Trademark Office and for which a PCT international application is now being filed designating the United States of America and naming assignor as inventor, and in the United States only applicant/inventor.

PCT/US2008/050833 filed 11 January 2008

WHEREAS, **SMITHKLINE BEECHAM CORPORATION**, a company incorporated in the Commonwealth of Pennsylvania, in the United States of America, whose registered office is at One Franklin Plaza, PO Box 7929, Philadelphia, Pennsylvania 19101, is desirous of acquiring the whole right, title and interest in and to said invention and improvements, and in and to any applications for said invention and improvements and any Letters Patent to be obtained therefor, in all countries, including the United States, its territories and possessions;

NOW, THEREFORE, to all whom it may concern, be it known that I/we, **Kevin J. DUFFY, Antony N. SHAW, William Henry MILLER, Andrea K. MYERS, Michael N. ZIMMERMAN** for good and valuable consideration unto me/us moving, the receipt whereof is hereby acknowledged, have sold, assigned and transferred, and by these presents do sell, assign and transfer my/our whole right, title and interest in and to said invention and improvements to said **SMITHKLINE BEECHAM CORPORATION**, throughout the United States of America, its territories and possessions, and in and to said application and any extensions, reissues, continuations, continuations-in-part, and any divisions thereof, and in and to any and all Letters Patent of the United States of America;

AND, my/our whole right, title and interest in and to said invention and improvements to **SMITHKLINE BEECHAM CORPORATION**, in all other countries throughout the world, and in and to any applications in said other countries, and continuations-in-part, patents of addition, revalidation patents, patents of importation, registrations, and any renewals, extensions and divisions thereof, and in and to any and all Letters Patent of said all other countries which may be granted on said invention and improvements including any priority rights under the International Convention.

AND, I/we do hereby authorize and request the issue of any Letters Patent in the respective areas referred to, to said SMITHKLINE BEECHAM CORPORATION, as assignees of my/our whole right, title and interest in and to the same for the sole use and behalf of the said assignees, their successors and assigns as their interests appear herein;

AND, I/we warrant that I/we have not knowingly conveyed to others any right in said invention, improvements, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing said invention and improvements and that I/we have good right to assign the same to SMITHKLINE BEECHAM CORPORATION;

AND, I/we, the undersigned, Kevin J. DUFFY, Antony N. SHAW, William Henry MILLER, Andrea K. MYERS, Michael N. ZIMMERMAN for the consideration aforesaid, do hereby agree that I/we or my/our executors or legal representatives, will provide information and make, execute and deliver any and all other instruments in writing, and any and all further acts, application papers, affidavits, assignments and other documents which may be necessary or desirable to more effectually secure to and vest in said SMITHKLINE BEECHAM CORPORATION, their successors and assigns, the whole right, title and interest in and to the said invention and improvements, applications, Letters Patent, rights, title and interest hereby sold, assigned and conveyed, or intended so to be. *This assignment should be deemed effective as of 12 January 2007.*

IN WITNESS WHEREOF, I/we have hereunto set my/our hand(s) and affixed my/our seal(s) on the date(s) indicated below.

Kevin J. Duffy February 29th 2008
Inventor: Kevin J. DUFFY Date

Antony N. Shaw 29th February 2008
Inventor: Antony N. SHAW Date

William Henry Miller MARCH 3, 2008
Inventor: William Henry MILLER Date

Andrea K. Myers March 3, 2008
Inventor: Andrea K. MYERS Date

Michael N. Zimmerman March 5, 2008
Inventor: Michael N. ZIMMERMAN Date

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "SMITHKLINE BEECHAM CORPORATION" TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "SMITHKLINE BEECHAM CORPORATION" TO "GLAXOSMITHKLINE LLC", FILED IN THIS OFFICE ON THE TWENTY-SEVENTH DAY OF OCTOBER, A.D. 2009, AT 12:07 O'CLOCK P.M.

4746253 8100V

090966589

You may verify this certificate online
at corp.delaware.gov/authver.shtml




AUTHENTICATION: 7605680

DATE: 10-27-09

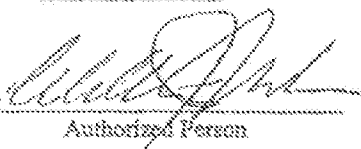
PATENT
REEL: 030847 FRAME: 0449

State of Delaware
Secretary of State
Division of Corporations
Delivered 12:06 PM 10/27/2009
FILED 12:07 PM 10/27/2009
SRV 030966589 - 4746253 FILE

STATE OF DELAWARE
CERTIFICATE OF CONVERSION
FROM A CORPORATION TO A
LIMITED LIABILITY COMPANY PURSUANT TO
SECTION 18-214 OF THE LIMITED LIABILITY ACT

- 1.) The jurisdiction where the Corporation first formed is Pennsylvania
- 2.) The jurisdiction immediately prior to filing this Certificate is Delaware
- 3.) The date the corporation first formed is June 29, 1929
- 4.) The name of the Corporation immediately prior to filing this Certificate is
SmithKline Beecham Corporation
- 5.) The name of the Limited Liability Company as set forth in the Certificate of
Formation is GlaxoSmithKline LLC
- 6.) The effective time and date of this certificate of conversion shall
be 9.01 A.M. on October 21st of 2009

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the
21st day of October, A.D. 2009

By: 
Authorized Person

Name: William J. Misher, VP & Secretary
Print or Type