

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Nikesh V. VALAPPIL	07/12/2013
RECEIVING PARTY DATA	
Name:	Research Foundation of The City University of New York
Street Address:	230 West 41st Street
City:	New York
State/Country:	NEW YORK
Postal Code:	10036
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13144152
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NAME OF SUBMITTER:	Betsy Kingsbury Dowd
Signature:	/betsy kingsbury dowd/
Date:	07/23/2013
Total Attachments: 3 source=executed_assignment#page1.tif source=executed_assignment#page2.tif source=executed_assignment#page3.tif	

CH \$40.00 13144152

Attorney's Docket No.: 1038-114 PCT/US

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☒ U.S. Provisional Application;
☒ U.S. Patent; or
☒ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Nikesh V. VALAPPIL

Type or print name of ASSIGNOR)

**c/o K Mukundan,
Kakkamani House,
Punnacherry, PO Cherukunnu
Kannur 670301, Kerala, INDIA**

Address

INDIA

Citizenship

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

**RESEARCH FOUNDATION OF THE CITY
UNIVERSITY OF NEW YORK**

(Type or print name of ASSIGNEE)

230 West 41st Street

New York, NY 10036

Address

UNITED STATES

Citizenship

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

FLEXIBLE MICROCAVITIES THROUGH SPIN COATING

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), and/or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
☐ Express Mail label No.: _____, mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. **13/144,152** filed on **20 Sep 2011**
(e) ☐ International application No. _____
(f) ☐ U.S. Patent No. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the **same** as the date of execution of the application if item (a) was checked above.

Date: x 07/12/2013

Date of signing

x



Nikesh V. VALAPPIL

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.