

Form PTO-1595 (Rev. 03-11)  
OMB No. 0651-0027 (exp. 03/31/2015)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies):**

Driss Lamine (06/26/2013), Philippe Letocart  
(04/10/2013), Jean-Christophe Giron  
(04/10/2013), and

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: Saint-Gobain Glass France

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

18 avenue d'Alsace, F-92400 Courbevoile, France

City: \_\_\_\_\_

State: \_\_\_\_\_

Country: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s): In parentheses after inventor name

Assignment  Merger  Change of Name

Security Agreement  Joint Research Agreement

Government Interest Assignment

Executive Order 9424, Confirmatory License

Other \_\_\_\_\_

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)

13/820,285

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Thomas M. Finetti  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.:

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: 908-654-5000

Fax Number: 908-654-7866

Email Address: mcormier@ldlkm.com

**6. Total number of applications and patents involved:**

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

Authorized to be charged to deposit account

Enclosed

None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 12-1095

Authorized User Name Thomas M. Finetti

**9. Signature:**

/Thomas M. Finetti/

Signature

July 15, 2013

Date

Thomas M. Finetti - 61,881

Name of Person Signing

TMF

Total number of pages including cover sheet, attachments, and documents:

6

CH \$40.00 121095 13820285

**RECORDATION FORM COVER SHEET (PTO-1595)  
(supplemental sheet)**

**Additional Conveying Party(ies)/Execution Date(s) (1. Continued):**

Thomas Bertin-Mouroi (07/14/2013)

**Additional Assignees (2. Continued):**

Assignee Name: \_\_\_\_\_

Internal Address:

Street Address:

City: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_ Zip: \_\_\_\_\_

Assignee Name: \_\_\_\_\_

Internal Address:

Street Address:

City: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_ Zip: \_\_\_\_\_

Assignee Name: \_\_\_\_\_

Internal Address:

Street Address:

City: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_ Zip: \_\_\_\_\_

**Additional Applications and/or Patents (4. Continued):**

Additional Patent Application Numbers  
4A. Continued:

Additional Patent Numbers  
4B. Continued:

Additional numbers attached?

Yes  No

ASSIGNMENT OF UTILITY APPLICATION

Docket Number (Optional)

SAGE 3.3-059

Whereas, I, Dina Lemine of 4 Rue Bouffie, F-75014 Paris, FRANCE, hereafter referred to as assignor, have with others invented certain new and useful improvements in ELECTROCHROMIC DEVICE

\* for which an application for a United States Letters Patent was filed on March 1, 2013

Application Number 13/929,285

for which an application for a United States Letters Patent was executed by me on

\* for which an International Application was filed on July 5, 2011

#B

PCT/EP2011/061407 designating the United States

And

Whereas, Saint-Gobain Glass France, a corporation of France herein referred to as 'assignee' whose mailing address is 18 avenue d'Alsace, F-92400 Courbevoie, France is desirous of acquiring the entire right title and interest in the same

NOW, THEREFORE in consideration of the sum of one dollar (\$ 1.00) the receipt whereof is acknowledged and other good and valuable consideration, I as assignor hereby sell assign and set over to said assignee my entire right title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including all claims for damages by reason of past infringement with the right to sue for and collect the same for its own use and the use of its successors, assigns and legal representatives, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein as assignee of the entire interest therein and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original divisional continuation, reissues and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interference or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee its successors, assigns and nominees to obtain patent protection for said improvements in all countries the expenses incident to said applications to be borne and paid by said assignee

I, as assignor, hereby assign to said assignee all of my right title and interest in United States Provisional Application No. 61/377 106, filed August 26, 2010, and French Patent Application No. 1002849, filed July 13, 2010, and in the invention disclosed therein in all countries of the world including the right to claim priority or benefit of said Application Nos. 61/377 106 and 1002849 pursuant to any law or treaty in the combined of the world

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known

06.26.2013  
Date

[Signature]  
Dina Lemine

\_\_\_\_\_  
Witness  
\_\_\_\_\_  
Typed Name of Witness

July 15<sup>th</sup>, 2013  
Date

Saint-Gobain Glass France  
Signature: [Signature]  
Name: Ronald Louis George  
Title: Patent Director of SAGF

[Signature]  
Witness  
HAFON Jean-Christophe  
Typed Name of Witness

\* Total of 4 forms are submitted

|  |   |
|--|---|
| <b>ASSIGNMENT OF UTILITY APPLICATION</b> | Docket Number (Optional)<br><b>SAGE 3.3-059</b> |
|--|---|

Whereas, I, Phillippe Letocart of Breille Weese 20: B-4730 Rearem, BELGIUM hereafter referred to as assignor, have with others invented certain new and useful improvements in ELECTROCHROMIC DEVICE

for which an application for a United States Letters Patent was filed on March 1, 2013

Application Number 13/820,285

for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_

for which an International Application was filed on July 6, 2011, 95

PCT/EP2011/081407, designating the United States.

And

Whereas, Saint-Gobain Glass France, a corporation of France herein referred to as "assignee" whose mailing address is 15 avenue d'Alsace, F-92400 Courbevoie, France is desirous of acquiring the entire right, title and interest in the same

NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00 ), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or release applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including all claims for damages by reason of past infringement with the right to sue for and collect the same for its own use and the use of its successors, assigns and legal representatives, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, release and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee

I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. 61/377,106, filed August 26, 2010, and French Patent Application No. 10/02949, filed July 13, 2010, and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application Nos. 61/377,106 and 10/02949 pursuant to any law or treaty in the countries of the world.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

04.10.13

Date  
Katharine M. Riewe

Witness  
Katharine M. Riewe  
Typed Name of Witness

Phillippe Letocart

Phillippe Letocart

July 15<sup>th</sup>, 2013  
Date

[Signature]  
Witness  
LAFON Jean-Christophe  
Typed Name of Witness

Saint-Gobain Glass France

Signature: [Signature]  
Name: Phillippe Letocart  
Title: Patent Director of S.G.F.

\*Total of 4 forms are submitted.

|  |   |
|--|---|
| <b>ASSIGNMENT OF UTILITY APPLICATION</b> | Docket Number (Optional)<br><b>SAGE 3.3-059</b> |
|--|---|

Whereas, I, Jean-Christophe Giron of 5525 Warden Avenue, Edina, Minnesota 55436 hereafter referred to as assignor, have with others invented certain new and useful improvements in ELECTROCHROMIC DEVICE

- for which an application for a United States Letters Patent was filed on March 1, 2013  
Application Number 13/020,285
- for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_
- for which an International Application was filed on July 6, 2011, as  
PCT/EP2011/061407 \_\_\_\_\_, designating the United States.

And

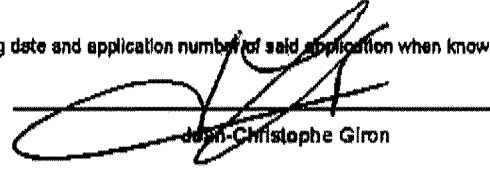
Whereas, Saint-Gobain Glass France, a corporation of France herein referred to as "assignee" whose mailing address is 18 avenue d'Alsace, F-92400 Courbevoie, France is desirous of acquiring the entire right, title and interest in the same

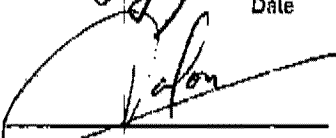
NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00 ), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including all claims for damages by reason of past infringement with the right to sue for and collect the same for its own use and the use of its successors, assigns and legal representatives, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

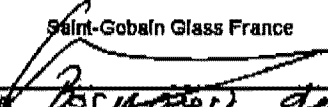
I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. 61/377,108, filed August 28, 2010, and French Patent Application No. 10/02949, filed July 13, 2010, and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application Nos. 61/377,108 and 10/02949 pursuant to any law or treaty in the countries of the world.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

04.10.13  
Date  
Katharine M. Kiewe  
Witness  
Katharine M. Kiewe  
Typed Name of Witness

  
Jean-Christophe Giron

Jerry W. Laffon  
Date  
  
Witness  
LAFON Jean-Christophe  
Typed Name of Witness

Saint-Gobain Glass France  
Signature:   
Name: Patrice Gervais  
Title: Patent Director of SAGF

\*Total of 4 forms are submitted.

|  |   |
|--|---|
| <b>ASSIGNMENT OF UTILITY APPLICATION</b> | Docket Number (Optional)<br><b>SAGE 3.3-059</b> |
|--|---|

Whereas, I, Thomas Bertin-Mourot, of 80 rue Vanneau, F-75007 Paris, FRANCE, hereafter referred to as assignor, have with others invented certain new and useful improvements in ELECTROCHROMIC DEVICE

for which an application for a United States Letters Patent was filed on March 1, 2013

Application Number 13/820,265

for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_

for which an International Application was filed on \_\_\_\_\_, 85

designating the United States

And

Whereas, Saint-Gobain Glass France, a corporation of France herein referred to as "assignee" whose mailing address is 18 avenue d'Alsace, F-92400 Courbevoie, France is desirous of acquiring the entire right, title and interest in the same

NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00 ), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including all claims for damages by reason of past infringement with the right to sue for and collect the same for its own use and the use of its successors, assigns and legal representatives, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. 61/377,108, filed August 28, 2010, and French Patent Application No. 10/02949, filed July 13, 2010, and in the invention disclosed therein in all countries of the world including the right to claim priority or benefit of said Application Nos. 61/377,108 and 10/02949 pursuant to any law or treaty in the countries of the world.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known

7/14/2013  
Date

[Signature]  
Thomas Bertin-Mourot

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Typed Name of Witness

July 15<sup>th</sup>, 2013  
Date

Saint-Gobain Glass France  
Signature: [Signature]  
Name: Paul-Edouard Gagne  
Title: Patent Director of SAGE

[Signature]  
Witness

LAFON Jean-Christophe  
Typed Name of Witness

\*Total of 4 forms are submitted.

PATENT