

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>James Fonger</td> <td>04/26/1999</td> </tr> <tr> <td>James A Magovern</td> <td>04/26/1999</td> </tr> <tr> <td>Wayne P Griffin</td> <td>04/26/1999</td> </tr> <tr> <td>Richard E Davis</td> <td>04/26/1999</td> </tr> </tbody> </table>		Name	Execution Date	James Fonger	04/26/1999	James A Magovern	04/26/1999	Wayne P Griffin	04/26/1999	Richard E Davis	04/26/1999
Name	Execution Date										
James Fonger	04/26/1999										
James A Magovern	04/26/1999										
Wayne P Griffin	04/26/1999										
Richard E Davis	04/26/1999										
RECEIVING PARTY DATA											
Name:	Cardiac Assist Technologies, Inc.										
Street Address:	240 Alpha Drive										
City:	Pittsburgh										
State/Country:	PENNSYLVANIA										
Postal Code:	15238										
PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Patent Number:</td> <td>7267660</td> </tr> </tbody> </table>		Property Type	Number	Patent Number:	7267660						
Property Type	Number										
Patent Number:	7267660										
CORRESPONDENCE DATA											
Fax Number:	4129455933										
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone:	4124718815										
Email:	assignments@webblaw.com										
Correspondent Name:	The Webb Law Firm										
Address Line 1:	420 Ft. Duquesne Blvd., Suite 1200										
Address Line 4:	Pittsburgh, PENNSYLVANIA 15222										
ATTORNEY DOCKET NUMBER:	6333-110016										
NAME OF SUBMITTER:	Christian E. Schuster										
Signature:	/Christian E Schuster/										

Date:

07/24/2013

Total Attachments: 7

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**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)
James Fonger
James A. Magovern
Waync P. Griffin
Richard E. Davis
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Name: Cardiac Assist Technologies, Inc.
Internal Address: _____

3. Nature of conveyance/Execution Date(s):
Execution Date(s) April 26, 1999
 Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other _____

Street Address: 240 Alpha Drive

City: Pittsburgh
State: PA
Country: US Zip: 15238
Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s): This document is being filed together with a new application.
A. Patent Application No. (s)


B. Patent No.(s)
7,267,660
Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:
Name: _____
Internal Address: The Webb Law Firm

Street Address: One Gateway Center
420 Ft. Duquesne Blvd., Ste 1200
City: Pittsburgh
State: PA Zip: 15222
Phone Number: 412-471-8815
Fax Number: 412-945-5933
Email Address: assignments@webblaw.com

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
 Authorized to be charged by credit card
 Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Information
a. Credit Card Last 4 Numbers 1916
Expiration Date 05/2015
b. Deposit Account Number 23-0650
Authorized User Name _____

9. Signature: 

Signature
Christian E. Schuster
Name of Person Signing

July 24, 2013
Date
Total number of pages including cover sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Attorney's Docket No. CAT-7

PATENT **COPY**

Box Patent Application
Assistant Commissioner
Washington, D.C. 20231

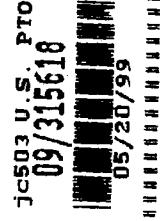
06-01-1999

COVER SHEET
N



101051344

T) ACCOMPANYING
FR 3.31)



IDENTIFICATION OF APPLICATION
(37 CFR 3.21 and 37 CFR 3.31(a)(4))

1. The patent application provisional patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:

- a. Type of application
 - Application of patent (37 C.F.R. 1.51(a)(1))
 - Provisional application (37 C.F.R. 1.51(a)(2))
 - International application (37 C.F.R. 1.431)
- b. Date of execution: May 20, 1999

09/315,618

NOTE: Leave this blank if assignment is for a provisional application.

- c. Name of each inventor:
 - 1: James Fonger
 - 2: James A. Magovern
 - 3: Wayne P. Griffin
 - 4: Richard E. Davis

d. Title of invention: METHOD AND APPARATUS FOR TREATING A PATIENT

NOTE: 37 C.F.R. § 3.21 states: "If an assignment of a patent application filed under § 1.53(b)(1) or § 1.62 is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended."

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet For Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date May 20, 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL262551030US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Tracey L. Milka
(type or print name of person mailing paper)
Tracey L. Milka
Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

05/28/1999 NTHA11 00000266 09315618
01 FC:581 40.00 OP

PATENT
REEL: 009979 FRAME: 0957

PATENT
REEL: 030866 FRAME: 0200

u 5-20-99

NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))

COPY

2. The party(ies) conveying this interest is (are):

Name 1: James Fonger
Name 2: James A. Magovern
Name 3: Wayne P. Griffin
Name 4: Richard E. Davis

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST
(37 CFR 3.31(a)(2))**

3. The rights are being conveyed to:

Name: Cardiac Assist Technologies, Inc.
Address: 240 Alpha Drive
Pittsburgh, PA 15238

Telephone No.: (412) 963-7770

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 CFR 3.31(a)(3))**

4. The accompanying document intends to accomplish:

- an assignment.
 a security agreement.
 a license.
 a merger.
 a change of name.
 a change of address.
 other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 CFR 3.31(a)(5))**

5. Please address correspondence to:

Name: Ansel M. Schwartz
Address: One Sterling Plaza
201 North Craig Street, Suite 304
Pittsburgh, PA 15213

Telephone No.: (412) 621-9222

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 2 of 4)

PATENT
REEL: 009979 FRAME: 0958

PATENT
REEL: 030866 FRAME: 0201

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on April 26, 1999:
date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26.

The attached document:

- is in the English language.
 is not in the English language. A verified English translation signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

- the original document.
 a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE (37 CFR 3.31 (a)(6))

8. A. This cover sheet identifies only one application:

B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.

- Attached is a check for \$40.00.
 Please charge Account _____ \$40.00.

A duplicate of this cover sheet is attached.

(Cover Sheet For Assignment Accompanying New Patent Application ~~[4-2.3]~~—page 3 of 4)

PATENT
REEL: 009979 FRAME: 0959

PATENT
REEL: 030866 FRAME: 0202

**STATEMENT (37 CFR 3.31(a)(9))
AND SIGNATURE (37 CFR 3.31 (a)(10))**

COPY

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 5/20/99

Ansel M. Schwartz
Name of party submitting document
Ansel Schwartz
Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)

Reg. No. 30,587

Ansel Schwartz
Signature of Attorney
Ansel M. Schwartz
One Sterling Plaza
(type or print name of Attorney)
201 North Craig Street, Suite 304
P.O. Address
Pittsburgh, PA 15213

Tel. No. (412) 621-9222

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

6
Total number of pages submitted

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 4 of 4)

**PATENT
REEL: 009979 FRAME: 0960**

**PATENT
REEL: 030866 FRAME: 0203**

ASSIGNMENT FOR UNFILED APPLICATION FOR UNITED STATES
PATENT

(Sole or Joint Inventors)

FULL NAME(S) AND
POST OFFICE ADDRESS(S)
OF INVENTOR(S) (including
country)

WHEREAS:
James Fonger
7610 Carroll Avenue
Suite 440
Tacoma Park, MD 20912
United States

James A. Magovern
603 Twin Pine Road
Pittsburgh, PA 15215
United States

Wayne P. Griffin
206 Lakevue Drive
Cranberry, PA 16066
United States

Richard E. Davis
3282 Hidden Hills Road
Grand Rapids, MI 49546
United States

(hereinafter referred to as ASSIGNOR), have invented and
own a certain invention entitled:

METHOD AND APPARATUS FOR TREATING A PATIENT

TITLE OF
INVENTION

for which application for Letters Patent of the United States
has been executed on even date herewith,

WHEREAS: Cardiac Assist Technologies, Inc.
240 Alpha Drive
Pittsburgh, PA 15238
United States

FULL NAME AND
ADDRESS (including country)
OF ASSIGNEE

(hereinafter referred to as ASSIGNEE), is desirous of acquir-
ing the entire interest in, to and under said invention and in,
to and under Letters Patent or similar legal protection to be
obtained therefor in the United States and in any and all
foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:
Be it known that in consideration of the payment by AS-
SIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the
receipt of which is hereby acknowledged, and for other good
and valuable consideration, ASSIGNOR hereby sells, as-
signs and transfers to ASSIGNEE the full and exclusive right,
title and interest to said invention in the United States and
its territorial possessions and in all foreign countries and to
all Letters Patent or similar legal protection in the United
States and its territorial possessions and in any and all
foreign countries to be obtained for said invention by said
application or any continuation, division, renewal, substitute
or reissue thereof or any legal equivalent thereof in a foreign
country for the full term or terms for which the same may
be granted.

(Assignment for Unified Application for United States Patent [16-1]—page 1 of 2)

PATENT
REEL: 009979 FRAME: 0961

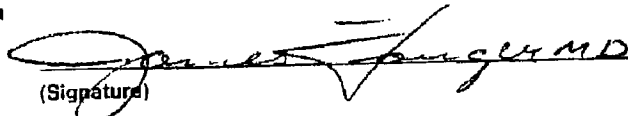
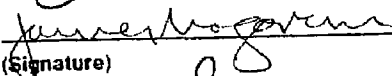
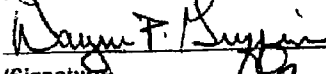
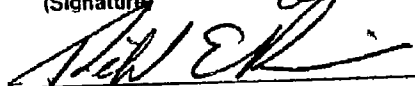
PATENT
REEL: 030866 FRAME: 0204

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

Warning
DATE OF SIGNING:
This must be the same as the date of signing of the declaration and power of the patent or design application.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 4/26/99
(Date of Signing)


(Signature)

(Signature)

(Signature)

(Signature)

SIGNATURE(S) The signature(s) must correspond with the name(s) of the inventor(s) above.

Instruction sheet for assignment-Signing

All information, names of inventor(s) and assignee, title of invention and particulars of application should be completed. No witnessing or legalization is necessary. However, if this assignment is legalized then it will only be prime facie evidence of the execution of the assignment.

(Assignment for Unified Application for United States Patent [16-1]—page 2 of 2)

RECORDED: 05/20/1999

PATENT
REEL: 009979 FRAME: 0962

RECORDED: 07/24/2013

PATENT
REEL: 030866 FRAME: 0205