

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Oak Ridge Associated Universities	07/12/2013
RECEIVING PARTY DATA	
Name:	UT-Battelle, LLC
Street Address:	One Bethel Valley Road
Internal Address:	4500N, MS-6258
City:	Oak Ridge
State/Country:	TENNESSEE
Postal Code:	37831-6258
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13654152
CORRESPONDENCE DATA	
Fax Number:	8655740381
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	(865) 574-0393
Email:	warfield@ornl.gov
Correspondent Name:	Edna I. Gergel
Address Line 1:	One Bethel Valley Road
Address Line 2:	4500N, MS-6258
Address Line 4:	Oak Ridge, TENNESSEE 37831-6258
ATTORNEY DOCKET NUMBER:	2672.1 ORAU TO UTB
NAME OF SUBMITTER:	Elizabeth Warfield
Signature:	/Elizabeth Warfield/
Date:	07/24/2013

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Total Attachments: 4

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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Oak Ridge Associated Universities

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 07/12/2013

- | | |
|---|---|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Joint Research Agreement | |
| <input type="checkbox"/> Government Interest Assignment | |
| <input type="checkbox"/> Executive Order 9424, Confirmatory License | |
| <input type="checkbox"/> Other _____ | |

2. Name and address of receiving party(ies)

Name: UT-Battelle, LLC

Internal Address: 4500N, MS-6258

Street Address: One Bethel Valley Road

City: Oak Ridge

State: TN

Country: USA Zip: 37831-6258

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

13/654,152

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Edna I. Gergel

Internal Address: 4500N, MS-6258

Street Address: One Bethel Valley Road

City: Oak Ridge

State: TN Zip: 37831-6258

Phone Number: 865-574-0393

Docket Number: 0683.0

Email Address: gergelei@ornl.gov

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 13-1958

Authorized User Name Elizabeth Warfield

9. Signature:

/Edna I. Gergel/
Signature

07/24/2013
Date

Edna I. Gergel
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**ASSIGNMENT AGREEMENT BETWEEN
UT-BATTELLE, LLC AND ORAU**

THIS AGREEMENT is by and between UT-Battelle, LLC a limited liability company organized and existing under the laws of the State of Tennessee (hereinafter "UT-BATTELLE") in accordance with its prime contract No. DE-AC05-00OR22725 (hereinafter "UT-Battelle's Prime Contract") with the U.S. Department of Energy (hereinafter DOE) and Oak Ridge Associated Universities (hereinafter "ORAU"), the not-for-profit operating contractor of the DOE's Oak Ridge Institute for Science and Education (hereinafter "ORISE") under its prime contract No. DE-AC05-06OR23100 (hereinafter "ORAU's Prime Contract") with the DOE, both being hereinafter jointly referred to as the "Parties" and singularly as a "Party."

WHEREAS:

- A. The Parties have developed technology identified in the invention disclosures, patent applications, and/or patents listed in Exhibit A (hereinafter Proprietary Rights); and
- B. The Parties desire that ORAU assign its undivided right, title, and interest to the Proprietary Rights to UT-BATTELLE to place ownership of all Proprietary Rights in a single Party, thereby promoting effective and successful commercialization of Proprietary Rights.

NOW THEREFORE THE PARTIES AGREE AS FOLLOWS:

1. In consideration of good and valuable consideration--including, but not limited to the promises contained in the Memorandum of Agreement between UT-BATTELLE and ORAU executed September 20, 2001--the receipt and sufficiency of such consideration being hereby acknowledged, ORAU by these presents does hereby sell, assign, transfer and set over unto UT-BATTELLE, its successors, legal representatives and assigns, its entire right, title, and interest (if any) in, to, and under the Proprietary Rights.
2. UT-BATTELLE shall share with ORAU portions of royalties UT-BATTELLE receives from licensees, if any, of the Proprietary Rights in accordance with the aforesaid Memorandum of Agreement.
3. UT-BATTELLE shall obligate any licensees of the Proprietary Rights to indemnify ORAU for any liability arising from the making, using, importing or selling of a product, process or service by or on behalf of the Licensee, its assignees or licensees which was derived from the Proprietary Rights, except for any negligent acts or omissions of ORAU.
4. UT-BATTELLE shall obligate any licensees of the Proprietary Rights as listed in Exhibit A to comply with all U. S. export control laws and regulations applicable to the export of the Proprietary Rights.
5. ORAU makes no warranties or representations of any kind regarding the Proprietary Rights.
6. The effective date of this agreement is June 29, 2013 and it shall run to and terminate upon the end of the life of the last-to-expire Proprietary Rights of Exhibit A.

In witness whereof the Parties have executed this agreement below:

UT-BATTELLE, LLC

By: Judson R. Hightower
Name: Judson R. Hightower
Title: IP Managing Attorney
Date: 7/3/2013

OAK RIDGE ASSOCIATED UNIVERSITIES

By: Ivan A. Boatner
Name: Ivan A. Boatner
Title: General Counsel
Date: 7/12/13

EXHIBIT A, PROPRIETARY RIGHTS

UT-B Docket No.	Title (Patent Appl. No., Patent No.)	Contract Type & No.	Inventors		
			UT-Battelle	ORAU	Others
2672.1	Scenario Driven Data Modelling: A Method for Integrating Diverse Sources of Data and Data Streams Patent Appl. No.: 13/654,152	DE-AC05-00OR22725, DE-AC05-06OR23100	Thomas S. Brettin Robert W. Cottingham	Shelton D. Griffith Daniel J. Quest	