

## PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT						
NATURE OF CONVEYANCE:	ASSIGNMENT						
CONVEYING PARTY DATA							
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Sivette Lam</td> <td>04/30/2013</td> </tr> <tr> <td>Ketan P. Muni</td> <td>04/11/2013</td> </tr> </tbody> </table>		Name	Execution Date	Sivette Lam	04/30/2013	Ketan P. Muni	04/11/2013
Name	Execution Date						
Sivette Lam	04/30/2013						
Ketan P. Muni	04/11/2013						
RECEIVING PARTY DATA							
Name:	Acclarent, Inc.						
Street Address:	1525-B O'Brien Drive						
City:	Menlo Park						
State/Country:	CALIFORNIA						
Postal Code:	94025						
PROPERTY NUMBERS Total: 1							
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13795999</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13795999		
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Application Number:	13795999						
CORRESPONDENCE DATA							
Fax Number:	5136516981						
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>							
Phone:	513-651-6800						
Email:	dattix@fbtlaw.com						
Correspondent Name:	Andrew B. Ulmer						
Address Line 1:	3300 Great American Tower						
Address Line 2:	301 East Fourth Street						
Address Line 4:	Cincinnati, OHIO 45202						
ATTORNEY DOCKET NUMBER:	ACC5058USNP.0600446						
NAME OF SUBMITTER:	Andrew B. Ulmer						
Signature:	/Andrew B. Ulmer/						
Date:	07/25/2013						

OP \$40.00 13795999

**Total Attachments: 5**

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ASSIGNMENT

Serial No. 13/795,999  
Filed: March 12, 2013

WHEREAS, **Sivette Lam**, a citizen of the United States of America, residing at 239 Callan Street, Milpitas, CA 95035; and **Ketan P. Muni**, a citizen of the United States of America, residing at 300 Daisy Drive, San Jose, CA 95123 (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to:

**RESILIENT TUBE OVER DILATOR BALLOON**

for which they have this day executed an application for Letters Patent of the United States; and

WHEREAS, Acclarent, Inc., located at 1525-B O'Brien Drive, Menlo Park, CA 94025, a corporation formed under the laws of the State of Delaware, (hereinafter called "Assignee"), is desirous of acquiring Assignors' entire right, title, and interest therein:

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

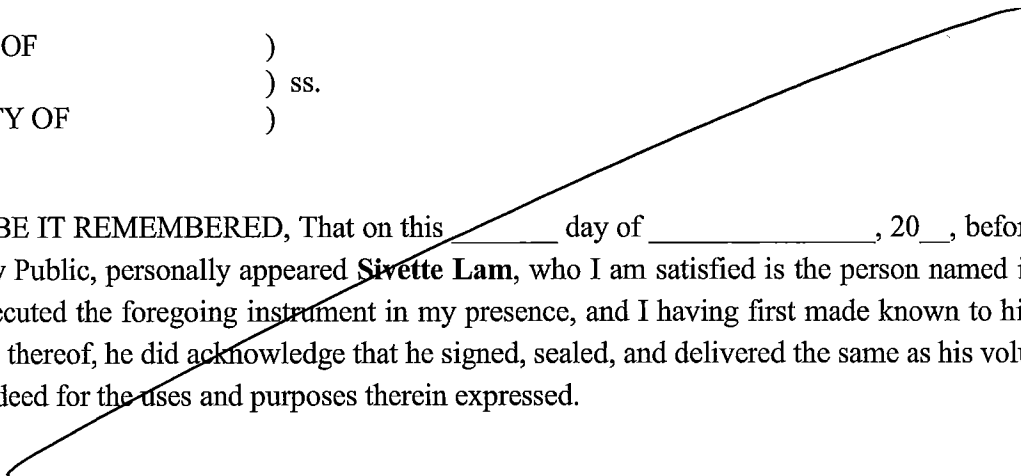
IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 30<sup>th</sup> day of April, 2013

(L.S.)

*Sivette Lam*  
**Sivette Lam**

STATE OF )  
  ) ss.  
COUNTY OF )

BE IT REMEMBERED, That on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_ , before me, a Notary Public, personally appeared **Sivette Lam**, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.



*see attached* \_\_\_\_\_  
Notary Public

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

**CIVIL CODE § 1189**

State of California

County of San Mateo }

On 4.30.13 before me, Stacy Hames  
Date Here Insert Name and Title of the Officer

personally appeared Sivette Lam  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature: [Signature]  
Signature of Notary Public

Place Notary Seal Above

**OPTIONAL**

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

**Description of Attached Document**

Title or Type of Document: \_\_\_\_\_

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: \_\_\_\_\_ Signer's Name: \_\_\_\_\_

Corporate Officer — Title(s): \_\_\_\_\_  Corporate Officer — Title(s): \_\_\_\_\_

Individual  Individual

Partner —  Limited  General  Partner —  Limited  General

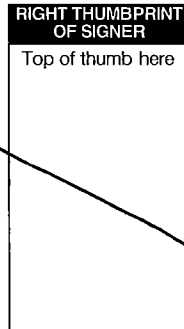
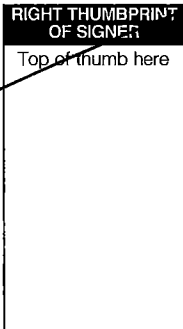
Attorney in Fact  Attorney in Fact

Trustee  Trustee

Guardian or Conservator  Guardian or Conservator

Other: \_\_\_\_\_  Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_ Signer Is Representing: \_\_\_\_\_



IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this April 11, 2013  
day of \_\_\_\_\_, 20\_\_.

(L.S.)

*Ketan P. Muni*

\_\_\_\_\_  
**Ketan P. Muni**

STATE OF                    )  
                                  ) ss.  
COUNTY OF                )

BE IT REMEMBERED, That on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_, before me,  
a Notary Public, personally appeared **Ketan P. Muni**, who I am satisfied is the person named in  
and who executed the foregoing instrument in my presence, and I having first made known to him  
the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his  
voluntary act and deed for the uses and purposes therein expressed.

\_\_\_\_\_  
*see attached* Notary Public

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

CIVIL CODE § 1189

State of California

County of San Mateo

On 4.11.13 before me, Stacy Hames

Date

Here Insert Name and Title of the Officer

personally appeared Ketan Muni

Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: [Signature]

Signature of Notary Public

Place Notary Seal Above

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Corporate Officer — Title(s): \_\_\_\_\_  Corporate Officer — Title(s): \_\_\_\_\_

Individual  Individual

Partner —  Limited  General  Partner —  Limited  General

Attorney in Fact  Attorney in Fact

Trustee  Trustee

Guardian or Conservator  Guardian or Conservator

Other: \_\_\_\_\_  Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_ Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT OF SIGNER

Top of thumb here

RIGHT THUMBPRINT OF SIGNER

Top of thumb here