

## PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Tomoaki YAMASAKI</td> <td>07/23/2013</td> </tr> <tr> <td>Shinya OKAZAKI</td> <td>07/23/2013</td> </tr> <tr> <td>Hiroki OKAZAKI</td> <td>07/23/2013</td> </tr> <tr> <td>Shigeki HAMAMOTO</td> <td>07/23/2013</td> </tr> <tr> <td>Changming ZHAO</td> <td>07/23/2013</td> </tr> </tbody> </table>		Name	Execution Date	Tomoaki YAMASAKI	07/23/2013	Shinya OKAZAKI	07/23/2013	Hiroki OKAZAKI	07/23/2013	Shigeki HAMAMOTO	07/23/2013	Changming ZHAO	07/23/2013
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PROPERTY NUMBERS Total: 1													
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CORRESPONDENCE DATA													
Fax Number:													
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>													
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CH \$40.00 13994551

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ATTORNEY DOCKET NUMBER: 20162.0066USWO

NAME OF SUBMITTER: Douglas P. Mueller

Signature: /Douglas P. Mueller/

Date: 07/25/2013

Total Attachments: 4  
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source=Assignment\_0066#page4.tif

**ASSIGNMENT OF PATENT APPLICATION**

**WHEREAS,**

1. Tomoaki YAMASAKI residing at c/o Sumitomo Seika Chemicals Co., Ltd., 1, Irifune-cho, Shikama-ku, Himeji-shi, Hyogo 672-8076, Japan and a citizen of Japan
2. Shinya OKAZAKI residing at c/o Sumitomo Seika Chemicals Co., Ltd., 1, Irifune-cho, Shikama-ku, Himeji-shi, Hyogo 672-8076, Japan and a citizen of Japan
3. Hiroki OKAZAKI residing at c/o Sumitomo Seika Chemicals Co., Ltd., 1, Irifune-cho, Shikama-ku, Himeji-shi, Hyogo 672-8076, Japan and a citizen of Japan
4. Shigeki HAMAMOTO residing at c/o Sumitomo Seika Chemicals Co., Ltd., 1, Irifune-cho, Shikama-ku, Himeji-shi, Hyogo 672-8076, Japan and a citizen of Japan
5. Changming ZHAO residing at c/o Advanced Softmaterials Inc., 4-6, Kashiwanoha 5-chome, Kashiwa-shi, Chiba 277-0882, Japan and a citizen of China

(hereinafter called "THE UNDERSIGNED") have made an invention and have executed an application for Letters Patent of the United States for this invention which was filed on \_\_\_\_\_ entitled METHOD FOR PRODUCING PSEUDOPOLYROTAXANE AQUEOUS DISPERSION, and which has been given application serial number \_\_\_\_\_;

and

**WHEREAS,**

1. Sumitomo Seika Chemicals Co., Ltd. a corporation organized under the laws of Japan and having an office and place of business at 346-1, Miyanishi, Harima-cho, Kako-gun, Hyogo 675-0145, Japan

and

2. Advanced Softmaterials Inc. a corporation organized under the laws of Japan and having an office and place of business at 4-6, Kashiwanoha 5-chome, Kashiwa-shi, Chiba 277-0882, Japan

wishes to acquire the entire right, title and interest in and to said invention and patent application and any Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign, and transfer to the ASSIGNEE, its successors, legal representative and assigns, the entire right, title and interest for the United States in and to the invention disclosed in said application, and in and to said application, as well as to all divisions, continuations, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such

patents, and THE UNDERSIGNED hereby authorize and request the Commissioner of Patents of the United States to issue all Letters Patent for said invention to the ASSIGNEE of the entire right, title and interest in and to the same, in accordance with the terms of this instrument.

THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to the UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns, the entire right, title and interest in and to the said invention, patent applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by the UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

<u>Tomoaki Yamasaki</u>	<u>JUL. 23. 2013</u>
1. Tomoaki YAMASAKI	Date
<u>Shinya Okazaki</u>	<u>JUL. 23. 2013</u>
2. Shinya OKAZAKI	Date
<u>Hiroki Okazaki</u>	<u>JUL. 23. 2013</u>
3. Hiroki OKAZAKI	Date
<u>Shigeki Hamamoto</u>	<u>JUL. 23. 2013</u>
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<u>Changming ZHAO</u>	<u>        </u>
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<i>How Changming</i> _____ 5. Changming ZHAO	JUL. 23. 2013 _____ Date