

## PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT										
NATURE OF CONVEYANCE:	Corrective Assignment to correct the name of the Assignee from Goldman, Sachs and Co. to Goldman Sachs & Co. previously recorded on Reel 012127 Frame 0035. Assignor(s) hereby confirms the name of the Assignee is Goldman Sachs & Co.										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>David Lawrence</td> <td>08/20/2001</td> </tr> <tr> <td>Ajay Junnarkar</td> <td>08/20/2001</td> </tr> </tbody> </table>		Name	Execution Date	David Lawrence	08/20/2001	Ajay Junnarkar	08/20/2001				
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David Lawrence	08/20/2001										
Ajay Junnarkar	08/20/2001										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>Goldman Sachs &amp; Co.</td> </tr> <tr> <td>Street Address:</td> <td>One New York Plaza</td> </tr> <tr> <td>City:</td> <td>New York</td> </tr> <tr> <td>State/Country:</td> <td>NEW YORK</td> </tr> <tr> <td>Postal Code:</td> <td>10004</td> </tr> </table>		Name:	Goldman Sachs & Co.	Street Address:	One New York Plaza	City:	New York	State/Country:	NEW YORK	Postal Code:	10004
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PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>09812627</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	09812627						
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Application Number:	09812627										
CORRESPONDENCE DATA											
<p>Fax Number: 2063599000  <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 303-291-2300        Email: jnewmaker@perkinscoie.com        Correspondent Name: Janet L. Newmaker        Address Line 1: Perkins Coie LLP        Address Line 2: P.O. Box 1247        Address Line 4: Seattle, WASHINGTON 98111-1247</p>											
ATTORNEY DOCKET NUMBER:	081786-8454.US00										
NAME OF SUBMITTER:	Janet Newmaker										

502432625

PATENT  
 REEL: 030888 FRAME: 0808

OP \$40.00 09812627

Signature:	/Janet Newmaker/
Date:	07/24/2013
<b>Total Attachments: 6</b> source=81786-8454.US00 - Notice of Recordation#page1.tif source=81786-8454.US00 - Notice of Recordation#page2.tif source=81786-8454.US00 - Notice of Recordation#page3.tif source=81786-8454.US00 - Notice of Recordation#page4.tif source=81786-8454.US00 - Notice of Recordation#page5.tif source=81786-8454.US00 - Notice of Recordation#page6.tif	

09-06-2001



101834759

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

Date: August 22, 2001

Name: Carol Felenstein

Signature: *Carol Felenstein*  
Clifford Chance Rogers & Wells LLP

Commissioner of Patents  
**Box Assignment**  
Washington, D.C. 20231

Docket No. 3499-94

8.27.01

Please record the attached original document(s) or copy(ies) thereof:

Conveying party(ies):

David Lawrence 8/20/01  
Ajay Junnarkar 8/20/01

Receiving party:

Goldman, Sachs and Co.  
One New York Plaza  
New York, NY 10004

Conveyance Type:

☒ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
☐ Merger ☐ Other \_\_\_\_\_

Submission Type:

☒ New  
☐ Resubmission: Doc. ID# \_\_\_\_\_  
☐ Correction of PTO error Reel \_\_\_\_\_  
☒ Corrective Document Frame \_\_\_\_\_

Application or Patent Number(s):

Enter either Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for same property)

Patent Application Number(s)

09/812,627

Patent Number(s)

If this document is being filed with a new application, The application was signed by the first named executing inventor on: \_\_\_\_\_

Correspondence Address:

**Joseph P. Kincart**  
**CLIFFORD CHANCE**  
**ROGERS & WELLS LLP**  
**200 Park Avenue**  
**New York, New York 10166**  
**Telephone: (212) 878-3289**

Number of Properties:

Total number of properties involved: 1

Fee:

Total fee under 37 CFR 1.21(h) (\$40/per) **\$40**

☐ Enclosed

☒ Authorized to charge to Deposit Account Number:  
**50-0521**. A duplicate copy of this cover sheet is attached.

☒ Please apply any additional fee or any credits to Deposit Account 50-0521.

**Statement and signature:** To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Joseph P. Kincart, 43,716**

*Joseph P. Kincart*  
Signature

August 22, 2001

Date

09/05/2001 DBYRNE 00000100 500521 09812627  
01 FC:581 40.00 CH

ASSIGNMENT

David Lawrence, a citizen of the U.S.A. and residing at 85 Bond Street nymy; and hereafter called "the Assignor", has made certain inventions and discoveries which are described in the patent specification entitled:

**AUTOMATED GLOBAL RISK MANAGEMENT**

☐ executed by us on the date indicated on the accompanying Declaration, preparatory to applying for Letters Patent therefor

☒ for U.S. Patent Application Serial No. 09/812,627, filed March 20, 2001

Whereas, Goldman Sachs & Co., having offices and doing business at *One New York Plaza, New York, New York 10004* hereafter called "the Assignee", is desirous of acquiring the title, rights, benefits and privileges recited below, and of confirming the same or any part thereof heretofore acquired by Assignee.

Now therefore let it be known that, in consideration of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me by the Assignee, I hereby do assign the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, and any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or discoveries or upon said applications, and any and all Letters Patent, utility models, design registrations, reissues and extensions of any Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

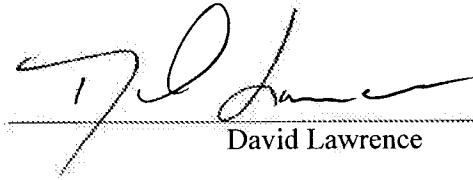
I hereby authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

I authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as the Assignee may direct;

I warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;

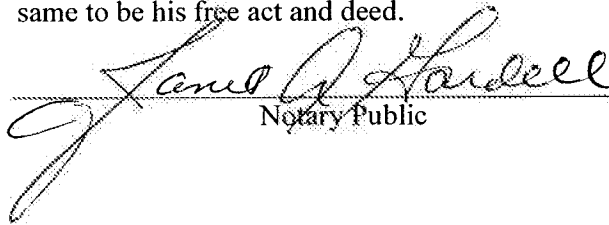
I hereby covenant and agree and bind my respective heirs, legal representatives and assigns to sign all papers and drawings, take all rightful oaths, execute all rightful affidavits, and do all acts necessary or required to be done for the procurement and maintenance of Letters Patent or other form of protection for said invention in the United States and foreign countries, and for further investing or confirming the right and title thereto in the Assignee, its successors, assigns or nominees, without charge to or additional consideration from said Assignee, its successors, assigns or nominees.

The undersigned declares under penalty of perjury under the laws of the United States of America that he has signed this document as his own free act and that all of the foregoing is true and correct.

  
David Lawrence

State of New York )  
County of New York ) ss

On this 20 day of August, 2001 before me appeared David Lawrence, to me known and to me to be the person described in and who executed the foregoing instrument and he acknowledged the same to be his free act and deed.

  
Notary Public

(OFFICIAL SEAL)

Janet A. Gardell  
Notary Public State of New York  
No. 01GA5041080 Qualified in Richmond County  
Certificate Filed in New York County  
Commission Expires 3/27/03

ASSIGNMENT

Ajay Junnarkar, a citizen of the Canada and residing at 85 Broad St New York New York; and hereafter called "the Assignor", has made certain inventions and discoveries which are described in the patent specification entitled:

**AUTOMATED GLOBAL RISK MANAGEMENT**

☐ executed by us on the date indicated on the accompanying Declaration, preparatory to applying for Letters Patent therefor

☒ for U.S. Patent Application Serial No. 09/812,627, filed March 20, 2001

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Now therefore let it be known that, in consideration of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me by the Assignee, I hereby do assign the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, and any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or discoveries or upon said applications, and any and all Letters Patent, utility models, design registrations, reissues and extensions of any Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

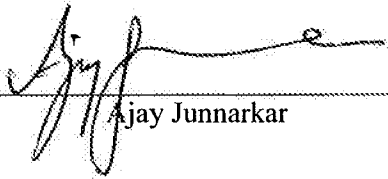
I hereby authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

I authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as the Assignee may direct;

I warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;

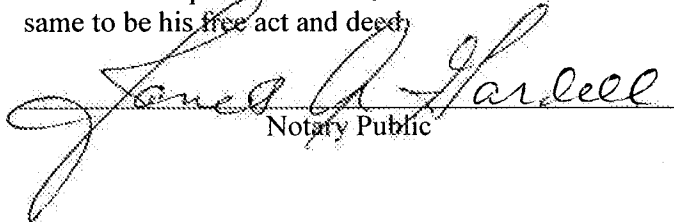
I hereby covenant and agree and bind my respective heirs, legal representatives and assigns to sign all papers and drawings, take all rightful oaths, execute all rightful affidavits, and do all acts necessary or required to be done for the procurement and maintenance of Letters Patent or other form of protection for said invention in the United States and foreign countries, and for further investing or confirming the right and title thereto in the Assignee, its successors, assigns or nominees, without charge to or additional consideration from said Assignee, its successors, assigns or nominees.

The undersigned declares under penalty of perjury under the laws of the United States of America that he has signed this document as his own free act and that all of the foregoing is true and correct.

  
Ajay Junnarkar

State of New York )  
County of New York ) :ss

On this 20 day of August, 2001 before me appeared Ajay Junnarkar, to me known and to me to be the person described in and who executed the foregoing instrument and he acknowledged the same to be his free act and deed.

  
Notary Public

(OFFICIAL SEAL)

Janet A. Gardell  
Notary Public State of New York  
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