

07/23/2013

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

MPD 07-23-13 R  
To the Director of the U.S. Patent and T



103660449

ments or the new address(es) below.

**1. Name of conveying party(ies)**

Hitachi Global Storage Technologies Netherlands B.V.

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 7/23/12

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: HGST Netherlands B.V.

Internal Address: \_\_\_\_\_

Street Address: Herikerbergweg 238

City: Luna Arena

State: Amsterdam

Country: The Netherlands Zip: 1101 CM

Additional name(s) & address(es) attached?  Yes  No



**4. Application or patent number(s):**

A. Patent Application No.(s)

See Attachment

This document serves as an Oath/Declaration (37 CFR 1.63).

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: HGST Netherlands B.V.

Internal Address: \_\_\_\_\_

Street Address: 3403 Yerba Buena Road

City: San Jose

State: CA Zip: 95135

Phone Number: (408) 717-8405

Docket Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved: 2**

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80.00

Authorized to be charged to deposit account

The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-2587

None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 50-2587 502587 12964543

Authorized User Name Chelse Ferrero

**9. Signature:**

Chelse Ferrero  
Signature

7/17/13  
Date

Chelse Ferrero

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

13

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Docket family number    Application No.:

HJP920090056US1    12/964543

HJP920100001US1    12/787322



Baker & McKenzie Amsterdam N.V.  
Advocaten, Belastingadviseurs  
en Notarissen

Postbus 2720  
1000 CS Amsterdam  
The Netherlands

Tel: +31 20 551 7555  
www.bakermckenzie.nl

2011.000642.01.02/JPA/FEV

### STATUTENWIJZIGING

#### HITACHI GLOBAL STORAGE TECHNOLOGIES NETHERLANDS B.V.

*(nu statutenwijziging genaamd: HGST Netherlands B.V.)*

Heden, vier mei tweeduizend twaalf, verscheen voor mij, \_\_\_\_\_  
mr. Johannes Cornelis Christiaan Paans, notaris te Amsterdam (de "notaris"): \_\_\_\_\_  
Femke Jeannette Vos, geboren te Utrecht op tweeëntwintig september negentienhonderd-  
tweeëntachtig, te dezen woonplaats kiezend ten kantore van de notaris (Claude \_\_\_\_\_  
Debussylaan 54, 1082 MD Amsterdam). \_\_\_\_\_  
De verschijnende persoon verklaarde: \_\_\_\_\_  
De statuten van de te Amsterdam gevestigde besloten vennootschap met beperkte \_\_\_\_\_  
aansprakelijkheid Hitachi Global Storage Technologies Netherlands B.V. (de \_\_\_\_\_  
"vennootschap") werden laatstelijk gewijzigd bij akte op dertig juni tweeduizend tien \_\_\_\_\_  
voor mr. Dirk-Jan Jeroen Smit, notaris te Amsterdam, verleden. De statuten van de \_\_\_\_\_  
vennootschap luiden op heden niet anders dan per het moment van het verlijden van \_\_\_\_\_  
voormelde akte van statutenwijziging. \_\_\_\_\_  
Krachtens besluit buiten vergadering van de enig aandeelhouder van de vennootschap \_\_\_\_\_  
genomen op achttien april tweeduizend twaalf werd besloten de hierna te vermelden \_\_\_\_\_  
wijziging in de statuten van de vennootschap aan te brengen. Een kopie van gemeld \_\_\_\_\_  
besluit is aan deze akte gehecht. \_\_\_\_\_



**EXGEB. & MCKGENZID**

Bij voormeld besluit werd onder meer de verschijnende persoon gemachtigd de akte—  
houdende wijziging van de statuten van de vennootschap te passeren en te tekenen.—  
De verschijnende persoon verklaarde vervolgens naar aanleiding van voormeld besluit en-  
ter uitvoering daarvan de statuten van de vennootschap zodanig te wijzigen, dat artikel 1—  
van deze statuten met ingang van heden als volgt zal luiden:—

“Naam. Zetel.—

Artikel 1.—

De vennootschap draagt de naam: **HGST Netherlands B.V.**—

Zij is gevestigd te Amsterdam.”—

Slotverklaring—

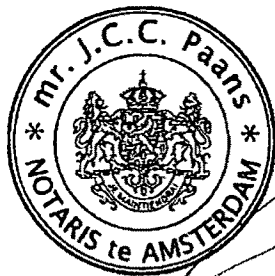
De verschijnende persoon is mij, notaris, bekend.—

WAARVAN AKTE.

verleden te Amsterdam op de datum in het hoofd van deze akte vermeld. De inhoud is aan  
de verschijnende persoon opgegeven en toegelicht. De verschijnende persoon heeft—  
verklaard op volledige voorlezing van de akte geen prijs te stellen, tijdig voor het—  
verlijden van de inhoud van deze akte te hebben kennis genomen en met de inhoud in te—  
stemmen. Deze akte is beperkt voorgelezen en onmiddellijk daarna ondertekend, eerst—  
door de verschijnende persoon en vervolgens door mij, notaris.—

(Volgt ondertekening)

UITGEGEVEN VOOR AFSCHRIFT



[Stamp: Royal Dutch  
Association of Notaries]

BAKER & MCKENZIE  
2011.000642.01.02/JPA/FEV

Baker & McKenzie Amsterdam N.V.  
Lawyers, tax advisers and notaries

P.O. Box 2720  
1000 CS Amsterdam  
The Netherlands

Tel: +31 20 551 7555  
www.bakermckenzie.nl

**AMENDMENT OF THE ARTICLES OF ASSOCIATION**  
**HITACHI GLOBAL STORAGE TECHNOLOGIES NETHERLANDS B.V.**  
*(name following amendment of the articles of association: HGST Netherlands B.V.)*

Today, the fourth of May two thousand and twelve, there appeared before me,  
Mr Johannes Cornelis Christiaan Paans, notary in Amsterdam (the “notary”):  
Femke Jeannette Vos, born in Utrecht on the twenty-second of September nineteen hundred  
and eighty-two, choosing domicile at the office of the notary (Claude Debussylaan 54, 1082  
MD Amsterdam).

The person appearing declared:

The articles of association of the private company with limited liability **Hitachi Global  
Storage Technologies Netherlands B.V.** (the “company”), with its registered office in  
Amsterdam, were last amended by way of a deed executed on the thirtieth of June two  
thousand and ten before Mr Dirk-Jan Jeroen Smit, notary in Amsterdam. The articles of  
association of the company are today no different than at the moment when the  
abovementioned deed of amendment of the articles of association was executed.

The resolution outside the meeting passed by the sole shareholder of the company on the  
eighteenth of April two thousand and twelve resolved to amend the articles of association of  
the company as detailed below. A copy of the abovementioned resolution is attached to this  
deed.

The abovementioned resolution served, inter alia, to authorize the person appearing to  
execute and to sign the deed containing the amendment of the articles of association of the  
company.

[Stamp: Royal Dutch  
Association of Notaries]

BAKER & MCKENZIE

The person appearing then declared that the articles of association of the company shall be amended, on the basis of the abovementioned resolution and for the purpose of implementing the said resolution, in such a way that, as of today, Article 1 of the said articles of association shall read as follows:

**“Name. Registered office.**

**Article 1.**

The company bears the name: **HGST Netherlands B.V.**

Its registered office is in Amsterdam.”

Closing statement

The person appearing is known to me, the notary.

IN WITNESS WHEREOF THIS DEED

was executed in Amsterdam on the date stated at the top of this deed. The content has been related and explained to the person appearing. The person appearing declared that she did not require a full reading of the deed, that she had taken note of the content of this deed in good time before its execution and that she agrees with the content. This deed was read aloud in excerpt and signed immediately thereafter, first by the person appearing and then by me, the notary.

(Signature follows)

CERTIFIED TRUE COPY

[Stamp: Mr J. C. C. Paans  
Notary in Amsterdam]

[Signature]

[Stamp: Royal Dutch  
Association of Notaries]

Translated by: H. Crowley  
H. Crowley

BAKER & MCKENZIE  
2011.000642.01.02/JPA/FEV

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Translated by: H. Crowley  
H. Crowley

[Stamp: Royal Dutch  
Association of Notaries]

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(Signature follows)

CERTIFIED TRUE COPY

[Stamp: Mr J. C. C. Paans  
Notary in Amsterdam]

[Signature]



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Mail Stop Assignment and Recordation Services  
Director of the United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CHANGE OF NAME IN RECORDED ASSIGNMENTS**

**1. Particulars of Assignments**

A list of assignments recorded against patent applications and/or patents is set forth on the attached page.

**2. Old Name of Assignee**

The old name for the assignee as shown for the assignments on the attached page is:

Hitachi Global Storage Technologies Netherlands B.V.  
(type or print old name of Assignee)

**3. New name of Assignee**

The New name of the assignee is:

HGST, Netherlands B.V.  
(type or print new name of Assignee)

**4. Proof of Name Change**

Proof of assignee's change of name is established by the attached

     Certificate of the Secretary of State of \_\_\_\_\_  
(type name of state)

  X   Certificate of name change from:

The Chamber of Commerce for Amsterdam  
(type or print name of authority)

(check, if applicable)

  X   Because the certificate or the certified copy of the name change is not in the English language, it is accompanied by a verified translation signed by the translator.

**5. Change of Address for patent maintenance fees**

(complete, if applicable)

     A change of address to which correspondence is to be sent regarding patent maintenance fees for each patent listed is being sent separately.

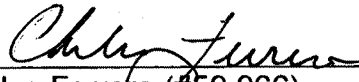
**6. Payment of Fee:**

Please refer to the "ASSIGNMENT (DOCUMENT) COVER SHEET" to which this paper is attached.

Respectfully submitted,

Date: 7/17/13

By:



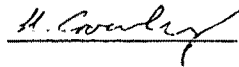
Chelse Ferrero (#59,966)  
Authorized Representative  
HGST Netherlands B.V.  
3403 Yerba Buena Road  
San Jose, CA 95135  
(408) 717-8405

UNITED STATES PATENT AND TRADEMARK OFFICE

I, Hannah Kate CROWLEY BA (Hons),

declare:

1. That I am a citizen of the United Kingdom of Great Britain and Northern Ireland and a translator to RWS Group Ltd, of Europa House, Chiltern Park, Chiltern Hill, Chalfont St Peter, Buckinghamshire, United Kingdom.
2. That I am well acquainted with the Dutch and English languages.
3. That the attached is, to the best of my knowledge and belief, a true translation into the English language of the accompanying document.



For and on behalf of RWS Group Ltd

The 23rd day of July 2012