PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Richard W. Hofstetter	08/05/2013
Reagan McGuire	07/31/2013
David Dunn	07/08/2013

RECEIVING PARTY DATA

Name:	ARIZONA BOARD OF REGENTS, acting for and on behalf of NORTHERN ARIZONA UNIVERSITY
Street Address:	P. O. Box 4087
City:	Flagstaff
State/Country:	ARIZONA
Postal Code:	86011-4087

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13992216

CORRESPONDENCE DATA

Fax Number: 6029165517

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 602-916-5107

Email: sshields@fclaw.com

Correspondent Name: Susan Stone Rosenfield

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Address Line 2: Fennemore Craig, P.C.

Address Line 4: Phoenix, ARIZONA 85016-3429

ATTORNEY DOCKET NUMBER:	26814.031
NAME OF SUBMITTER:	Susan Stone Rosenfield
Signature:	/Susan Stone Rosenfield/

502451812 REEL: 030972 FRAME: 0887

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Date:	08/08/2013
Total Attachments: 4 source=Executed Assignment#page1.tif source=Executed Assignment#page2.tif source=Executed Assignment#page3.tif source=Executed Assignment#page4.tif	

PATENT REEL: 030972 FRAME: 0888

ASSIGNMENT

This Assignment is made and executed by Richard W. Hofstetter, Reagan McGuire and David Dunn (hereinafter "Assignors"), to and in favor of ARIZONA BOARD OF REGENTS, acting for and on behalf of NORTHERN ARIZONA UNIVERSITY, having a business address of P.O. Box 4087, Flagstaff, Arizona 86011-4087 (hereinafter "Assignee").

Whereas each of the Assignors desires to assign his/her entire right, title and interest in and to the invention described in U.S. Non-Provisional Patent Application No. 13/992,216 filed on June 6, 2013 and titled "USE OF ACOUSTICS TO DISRUPT AND DETER WOOD-INFESTING INSECTS AND OTHER INVERTEBRATES FROM AND WITHIN TREES AND WOOD PRODUCTS" (hereinafter the "Patent Application") for valuable consideration; and whereas Assignee has provided such valuable consideration to the Assignors;

Accordingly, the Assignors warrant, covenant and agree as follows:

- 1. Each of the Assignors hereby sells, assigns and conveys to Assignee such Assignor's entire right, title and interest in and to the invention described in the Patent Application, including (i) the Patent Application itself and any substitute patent application based in whole or in part on the Patent Application, (ii) all national and regional patent applications claiming the priority of the Patent Application or resulting from or based on the Patent Application, whether pursued as a patent, an inventor's certificate, a utility model or the like, including all rights of priority based on the Patent Application, and all substitute, continuation, continuation-in-part and divisional applications based in whole or in part on any such national or regional applications, and (iii) all patents, inventor's certificates, utility models and the like resulting from any of the such applications, and any reissues and extensions thereof.
- 2. Each of the Assignors hereby sells, assigns and conveys to Assignee such Assignor's entire right, title and interest in and to (i) all counterparts to all or any part of the Patent Application that are not included in Paragraph 1 above and that have been or may be filed in any country or under the Patent Cooperation Treaty or other international treaty, whether pursued as a patent, an inventor's certificate, a utility model or the like, including all rights of priority based on the Patent Application or any counterpart, and all substitute, continuation, continuation-in-part and divisional applications based in whole or in part on any of the counterparts, and (iii) including all patents, inventor's certificates, utility models resulting from any of the counterparts, any reissues and extensions thereof.
- 3. Each of the Assignors hereby grants to Assignee the sole and exclusive right to prosecute the Patent Application and all related applications as described in Paragraphs 1 and 2 above, as well as any opposition proceedings, reissue applications, reexaminations, and nullity or invalidity proceedings. Each of the Assignors authorizes all governmental bodies having the power to issue patents, inventor's certificates or utility models to issue a patent, inventor's certificate or utility model based on the Patent Application or any related applications as described in Paragraphs 1 and 2 above in the name of Assignee.

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Title: "USE OF ACOUSTICS TO DISRUPT AND DETER WOOD-INFESTING INSECTS AND OTHER INVERTEBRATES FROM AND WITHIN TREES AND WOOD PRODUCTS" U.S. Application No. 13/992,216

- Each of the Assignors agrees upon request and without further consideration, but at Assignee's expense: (a) to assist Assignee in prosecuting the Patent Application and all related applications as described in Paragraphs 1 and 2 above, as well as opposition proceedings, reissue applications, reexaminations, and nullity or invalidity proceedings; (b) to provide Assignee with information concerning the Patent Application and the related applications described in Paragraphs 1 and 2 above to enable Assignee to obtain, secure and protect all of the rights, title and interest sold, assigned, conveyed and granted under this Assignment (the "Assigned Assets"); (c) to sign papers, take oaths and testify in legal proceedings to enable Assignee to obtain, secure and protect the Assigned Assets; and (d) to perform all acts reasonably necessary to enable Assignee to obtain, secure and protect the Assigned Assets, including assistance in any proceedings pertaining to the Patent Application and the related applications described in Paragraphs 1 and 2 above or to their enforcement.
- Each of the Assignors warrants and covenants that such Assignor has the full right to sell, assign and convey the Assigned Assets, and that to his/her knowledge all of the Assigned Assets are free and clear of all mortgages, liens, security interests, charges, claims, royalty obligations and encumbrances of any nature whatsoever and that the Assignor has not executed and will not execute any agreement in conflict with this Assignment.
- Assignee may assign the Assigned Assets in whole or in part. All references herein to Assignee include any successors or assigns of Assignee.
- 7. Each of the Assignors hereby authorizes any member of the firm of Fennemore Craig, P.C. to insert or complete any information, including but not limited to the patent application serial number, needed to effect this document's recordation with any appropriate governmental or other body, organization or office that provides for the recordation of assignments of assets such as those included in the Assigned Assets.

State of AZ

On this 5 day of Hugus 7, 2013, before me personally appeared Richard W. Hofstetter known to me to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the foregoing instrument for the purposes therein contained.

My commission expires: CINDY L. JUDGE NOTARY PUBLIC - STATE OF ARIZONA COCONINO COUNTY My commission expires Aug. 15, 2016.

SROSENFI/8237288.1/026814.0031

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ASSIGNMENT	Attorney Docket No. 26814-031
Title: "USE OF ACOUSTICS TO DISRUPT AND DETER'S	WOOD-INFESTING INSECTS AND OTHER INVERTEBRATES FROM
AND WITHIN TREES AND WOOD PRODUCTS"	
U.S. Application No. 13/992,216	
,	
<i>j</i> /	
113/12013	
Date	Reagan McGuire
	Trongan McGune
State of $\frac{1}{2}$	
) cc	
County of Colonivio)	
On this 31 day of 31 , 20	13, before me personally appeared Reagan McGuire known to
me to be the person whose name is subscribed to the v	within instrument, and acknowledged to me that he/she executed
the foregoing instrument for the purposes therein cont	ained.
My commission expires: $8/15/16$	
	NOTARY PUBLIC
OFFICIAL SEAL	NOTARY POBLIC \(\int \(\text{O} \)
CINDY L. JUDGE	•
NOTARY PUBLIC - STATE OF ARIZONA	
COCONINO COUNTY	
My commission expires Aug. 15, 2016.	
Date	David Dunn
State of)	
) ss.	
County of)	

SROSENFI/8237288.1/026814.0031

My commission expires:

the foregoing instrument for the purposes therein contained.

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On this ____ day of ______, 2013, before me personally appeared David Dunn known to me to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed

NOTARY PUBLIC

ASSIGNMENT

Attorney Docket No. 26814-031

Title: "USE OF ACOUSTICS TO DISRUPT AND DETER WOOD-INFESTING INSECTS AND OTHER INVERTEBRATES FROM AND WITHIN TREES AND WOOD PRODUCTS"

U.S. Application No. 13/992,216

Date	Reagan McGuire
State of)) ss. County of)	
) ss. (County of)	
On this day of me to be the person whose name is sul the foregoing instrument for the purpo	, 2013, before me personally appeared Reagan McGuire known to bscribed to the within instrument, and acknowledged to me that he/she execute oses therein contained.
My commission expires:	•
	NOTARY PUBLIC
7 8 13 Date	David Dunn
State of New YOUL) State of New YOUL) State of New YOUL) Country of Dutcher)	
On this & day of July	, 2013, before me personally appeared David Dunn known to me ibed to the within instrument, and acknowledged to me that he/she executed ses therein contained.
My commission expires:	Limberty dellegander
	KIMBERLY A. ALEXANDER Notary Public, State of New York Qualified in Dutchess County Reg. #01AL5041323 Commission Expires 3 27 2015

SROSENFI/8237288.1/026814.0031

RECORDED: 08/08/2013

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