#### 502455247 08/12/2013

#### PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
JAMES PLANTE	07/22/2008
RAMESH KASAVARAJU	10/24/2006
GREGORY MAURO	01/07/2009
ANDREW NICKERSON	05/03/2007

#### **RECEIVING PARTY DATA**

Name:	SmartDrive Systems, Inc.		
Street Address:	9276 Scranton Road		
Internal Address:	Suite 500		
City:	San Diego		
State/Country:	CALIFORNIA		
Postal Code:	92121		

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	11637754

## **CORRESPONDENCE DATA**

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Correspondent Name: PILLSBURY WINTHROP SHAW PITTMAN LLP

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ATTORNEY DOCKET NUMBER:	022412-0423186
NAME OF SUBMITTER:	Jeffrey T. Sheriff
	PATENT

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Signature:	/Jeffrey T. Sheriff/
Date:	08/12/2013

Total Attachments: 49 source=ASN11637754#page1.tif source=ASN11637754#page2.tif source=PlanteEmpAgreemt#page1.tif source=PlanteEmpAgreemt#page2.tif source=PlanteEmpAgreemt#page3.tif source=PlanteEmpAgreemt#page4.tif source=PlanteEmpAgreemt#page5.tif source=PlanteEmpAgreemt#page6.tif source=PlanteEmpAgreemt#page7.tif source=PlanteEmpAgreemt#page8.tif source=PlanteEmpAgreemt#page9.tif source=PlanteEmpAgreemt#page10.tif source=PlanteEmpAgreemt#page11.tif source=PlanteEmpAgreemt#page12.tif source=PlanteEmpAgreemt#page13.tif source=PlanteEmpAgreemt#page14.tif source=PlanteEmpAgreemt#page15.tif source=PlanteEmpAgreemt#page16.tif source=PlanteEmpAgreemt#page17.tif source=PlanteEmpAgreemt#page18.tif source=PlanteEmpAgreemt#page19.tif source=KasavarajuEmpAgreemt#page1.tif source=KasavarajuEmpAgreemt#page2.tif source=KasavarajuEmpAgreemt#page3.tif source=KasavarajuEmpAgreemt#page4.tif source=KasavarajuEmpAgreemt#page5.tif source=KasavarajuEmpAgreemt#page6.tif source=KasavarajuEmpAgreemt#page7.tif source=KasavarajuEmpAgreemt#page8.tif source=KasavarajuEmpAgreemt#page9.tif source=KasavarajuEmpAgreemt#page10.tif source=KasavarajuEmpAgreemt#page11.tif source=MauroTerminationAgreement#page1.tif source=MauroTerminationAgreement#page2.tif source=MauroTerminationAgreement#page3.tif source=MauroTerminationAgreement#page4.tif source=MauroTerminationAgreement#page5.tif source=MauroTerminationAgreement#page6.tif source=NickersonEmpAgreemt#page1.tif source=NickersonEmpAgreemt#page2.tif source=NickersonEmpAgreemt#page3.tif source=NickersonEmpAgreemt#page4.tif source=NickersonEmpAgreemt#page5.tif source=NickersonEmpAgreemt#page6.tif source=NickersonEmpAgreemt#page7.tif source=NickersonEmpAgreemt#page8.tif source=NickersonEmpAgreemt#page9.tif source=NickersonEmpAgreemt#page10.tif source=NickersonEmpAgreemt#page11.tif

PATENT

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# **ASSIGNMENT**

WHEREAS, **James Plante**, a citizen of the United States of America, residing at 1155 Camino Del Mar #506, Del Mar, CA 92014, **Ramesh Kasavaraju**, a citizen of the United States of America, residing at 10655 Roselle Street, #100, San Diego, CA, 92121, **Gregory Mauro**, a citizen of the United States of America, residing at 11682 El Camino Real, Suite 100, San Diego, CA, 92130, **Andrew Nickerson**, a citizen of the United States of America, residing at 10655 Roselle Street, #100, San Diego, CA, 92121 (hereinafter "Assignors"), have made an invention entitled

"DISCRETIZATION FACILITIES FOR VEHICLE EVENT DATA RECORDERS" described in United States Patent Application Serial No. 11/637,754, filed December 13, 2006, and

WHEREAS, SmartDrive Systems, Inc. (hereinafter "Assignee"), a corporation of California, having a place of business at 9276 Scranton Road, Suite 500, San Diego, CA 92121, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention, application and all Letters Patent of the United States or any foreign country, including continuations, continuations-in-part, reissues, reexaminations, extensions, substitutes, and divisions which may be granted therefor;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said Assignor, by these presents do sell, assign and transfer unto Assignee, its successors, legal representatives and assigns, the full and exclusive right in and to the said invention as described in the said application, and in and to any Letters Patent of the United States or any foreign country, including continuations, continuations-in-part, reissues, reexaminations, extensions, substitutes, and divisions which may be granted therefor and all rights to claim priority on the basis of said application; and I further grant to Assignee the sole right to sue and collect damages for past infringement;

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters Patent to said Assignee;

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AND I HEREBY warrant and covenant that I have the full right to convey the entire interest herein assigned and that I have not executed and will not execute any instrument or assignment in conflict herewith;

AND I HEREBY agree to communicate to said Assignee or its representatives any facts known to me respecting said invention, to execute all divisional, continuation, reissue, reexamination, extension, substitute, and foreign applications, sign all lawful documents and make all rightful oaths and declarations relating to said invention, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors, legal representatives or assigns, and to testify in any judicial or administrative proceeding and generally do everything possible to aid the said Assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said Assignee.

Signature of Inventor: Inventor's Name:	James Plante
Date of Execution:	
Signature of Inventor: Inventor's Name:	Ramesh Kasavaraju
Date of Execution:	
Signature of Inventor: Inventor's Name:	Gregory Mauro
Date of Execution:	
Signature of Inventor: Inventor's Name:	Andrew Nickerson
Date of Execution:	

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# SEPARATION AGREEMENT AND GENERAL RELEASE OF CLAIMS

This Separation Agreement and General Release of Claims (hereinafter "Agreement") is entered into by and between James Plante (hereinafter "Executive") and SmartDrive Systems, Inc. (hereinafter "SmartDrive" or "Company").

#### RECITALS

- A. Company is a corporation and is doing business in the State of California.
- B. Executive has been employed by Company as its Chief Technical Officer.
- C. On or about July 22, 2008, Executive's employment with Company terminated.
- D. Executive desires to settle and compromise any and all possible claims and disputes he has against Company arising out of their relationship to date, and to provide for a General Release of any and all such claims.

#### **AGREEMENT**

1. <u>Termination of Employment.</u>

Executive agrees that his employment with Company shall terminate as of July 22, 2008.

2. <u>Separation Pay/Consideration.</u>

Eight (8) days after Executive's execution of this Agreement, Executive shall become eligible to receive the following consideration:

- a. Payment for Release of All Claims Except ADEA Claims. In consideration of the covenants and releases of all claims other than Age Discrimination in Employment Act of 1967 ("ADEA") claims given herein, Executive will receive continuing payments of severance pay (less applicable withholding taxes) at a rate equal to his Base Salary, for a period of three (3) months from the date of such termination. Said payments will be furnished periodically in accordance with the Company's normal payroll policies, less applicable federal and California payroll tax deductions.
- b. <u>Payment for Release of All ADEA Claims</u>. In consideration of the covenants and releases of all ADEA claims given herein, Executive will receive continuing payments of severance pay (less applicable withholding taxes) at a rate equal to his Base Salary, for an additional period of three (3) months. Said payments will be furnished periodically in

PATENT REEL: 030992 FRAME: 0646 accordance with the Company's normal payroll policies, less applicable federal and California payroll tax deductions.

- c. The payments outlined in Sections 2.a and b. above, are equal to, but not greater than, Executive's Base Salary rate for a period of six (6) months.
- d. Executive shall also be entitled to receive any bonuses which Executive would have received from the Company during such 6-month period. Such bonuses will be calculated and paid quarterly during the six (6) month period as outlined in the Compensation Schedule, incorporated by reference and attached hereto as Exhibit A.

# 3. Release of All Claims Except ADEA Claims.

- Release of Claims. In consideration of the separation payment described in Section 2a of this Agreement, which Executive would otherwise not be entitled to except for signing this Agreement, Executive does hereby unconditionally, irrevocably and absolutely release and discharge Company, its owners, directors, officers, employees, agents, attorneys, stockholders, insurers, divisions, successors and assigns, and any related holding, parent, sister or subsidiary corporations from any and all loss, liability, claims, demands, causes of action or suits of any type, whether in law and/or in equity, related directly or indirectly, or in any way connected with any transaction, affairs or occurrences between them to date, including, but not limited to, Executive's employment with Company and the termination of said employment. This Agreement specifically applies, without limitation, to any and all contract or tort claims, claims for wrongful termination, and claims arising under Title VII of the Civil Rights Act of 1991, the Americans with Disabilities Act, the Equal Pay Act, the California Fair Employment and Housing Act, the Fair Labor Standards Act, the Family and Medical Leave Act, the California Family Rights Act, the California Labor Code, and any and all federal or state statutes or provisions governing discrimination in employment except the federal statute specifically This release specifically excludes Executive's right, if any, to excluded hereafter. indemnification pursuant to the Bylaws of the Company or applicable law as an officer, Director, employee or agent of the Company. This release specifically excludes any and all loss, liability, claims, demands, causes of action or suits of any type arising under the ADEA. Executive's release of ADEA claims will be addressed separately in Section 4 of this Agreement.
- b. <u>Section 1542 Waiver</u>. Executive does expressly waive all of the benefits and rights granted to him pursuant to California Civil Code section 1542, which reads as follows:

A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH THE CREDITOR DOES NOT KNOW OF OR SUSPECT TO EXIST IN HIS/HER FAVOR AT THE TIME OF EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM/HER MUST HAVE MATERIALLY AFFECTED HIS/HER SETTLEMENT WITH THE DEBTOR.

Executive does certify that he has read all of this Agreement, including the release provisions contained herein and the quoted Civil Code section, and that he fully understands all of the same. Executive hereby expressly agrees that this Agreement shall extend and apply to all

unknown, unsuspected and unanticipated injuries and damages, as well as those that are now disclosed.

c. <u>No Further Action</u>. Executive irrevocably and absolutely agrees that he will not prosecute nor allow to be prosecuted on his behalf, in any administrative agency, whether federal or state, or in any court, whether federal or state, any claim or demand of any type related to the matters released above, it being the intention of the parties that with the execution by Executive of this release, Company, its owners, directors, officers, employees, agents, attorneys, stockholders, insurers, divisions, successors and assigns, and any related holding, parent, sister or subsidiary corporations will be absolutely, unconditionally and forever discharged of and from all obligations to or on behalf of Executive related in any way to the matters discharged herein.

#### 4. Release of All ADEA Claims.

- a. <u>ADEA Claims</u>. This section of the Agreement exclusively addresses Executive's release of claims arising under federal law involving discrimination on the basis of age in employment (age forty and above). This section is provided separately, in compliance with federal law, including but not limited to the Older Workers' Benefit Protection Act of 1990, to ensure that Executive clearly understands his rights so that any release of age discrimination claims under federal law (the Age Discrimination in Employment Act of 1967 ["ADEA"]) is knowing and voluntary on the part of Executive.
- b. Review Period. Executive represents, acknowledges and agrees that Company has advised him, in writing, to discuss this Agreement with an attorney, and to that extent, if any, that Executive has desired, Executive has done so; that Company has given Executive twenty-one (21) days from receipt of this Agreement to review and consider this Agreement before signing it, and Executive understands that he may use as much of this twenty-one (21) day period as he wishes prior to signing; and that no promise, representation, warranty or agreements not contained herein have been made by or with anyone to cause him to sign this Agreement; that he has read this Agreement in its entirety, and fully understands and is aware of its meaning, intent, content and legal effect; and that he is executing this release voluntarily and free of any duress or coercion.
- c. <u>Effective Date of Agreement</u>. The parties acknowledge that for a period of seven (7) days following the execution of this Agreement, Executive may revoke the Agreement, and the Agreement shall not become effective or enforceable until the revocation period has expired. This Agreement shall become effective eight (8) days after it has been signed by Executive and Company, and in the event the parties do not sign on the same date, then this Agreement shall become effective eight (8) days after the date it is signed by Executive.
- d. Release. In consideration of the separation payment made to Executive described in Section 2b of this Agreement, which Executive would otherwise not be entitled to except for signing this Agreement, Executive does hereby unconditionally, irrevocably and absolutely release and discharge Company, its owners, directors, officers, employees, agents, attorneys, stockholders, insurers, divisions, successors and assigns, and any related holding, parent, sister or subsidiary corporations from any and all loss, liability, claims, demands, causes

of action or suits of any type arising under the ADEA and related directly or indirectly to Executive's employment with Company and the termination of said employment. Nothing in this Agreement shall be deemed to preclude Executive from filing an Age Discrimination in Employment Act charge or complaint, including a challenge to the validity of the waiver agreement, with the federal Equal Employment Opportunity Commission, although he may have no right to relief by reason of the claims he has released herein, or from participating in any investigation or proceeding conducted by the Equal Employment Opportunity Commission.

e. <u>Section 1542 Waiver</u>. Executive does expressly waive all of the benefits and rights granted to him pursuant to California Civil Code section 1542, which reads as follows:

A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH THE CREDITOR DOES NOT KNOW OF OR SUSPECT TO EXIST IN HIS/HER FAVOR AT THE TIME OF EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM/HER MUST HAVE MATERIALLY AFFECTED HIS/HER SETTLEMENT WITH THE DEBTOR.

Executive does certify that he has read all of this Agreement, including the release provisions contained herein and the quoted Civil Code section, and that he fully understands all of the same. Executive hereby expressly agrees that this Agreement shall extend and apply to all unknown, unsuspected and unanticipated ADEA injuries and damages, as well as those ADEA injuries and damages that are now disclosed.

- 5. <u>Confidentiality/Non-Disparagement</u>. Executive agrees that all matters relative to this Agreement shall remain confidential. Accordingly, Executive hereby agrees that, with the exception of his spouse, counsel and tax advisors, he shall not discuss, disclose or reveal to any other persons, entities or organizations, whether within or outside of Company, the terms and conditions of this Agreement. Executive agrees not to make any derogatory or adverse statements, written or verbal, regarding Company or any of its present or former directors, officers or employees, to anyone.
- 6. <u>Future Employment</u>. Executive agrees that the Company will not be obligated to offer employment to him or to hire him for any reason, regardless of the circumstances, at any time on or after the date of this Agreement. Executive agrees that he will not apply for nor accept any such employment.
- 7. Trade Secret and Proprietary Information. Executive hereby reaffirms his obligations under any agreement regarding the Company's trade secrets and/or confidential or proprietary information that he may have previously executed, and which, if in existence, shall remain in effect, including, but not limited to, Company's Confidential Information Agreement, which, is incorporated by reference and attached hereto as Exhibit B. Executive further agrees that he shall not disclose to any person(s) or entity(ies) at any time or in any manner, directly or indirectly, any information relating to the operations of the Company which has not already been disclosed to the general public. Executive agrees that this provision includes, but is not limited to, the following information: proprietary information and/or trade secrets; computer programs; calculations; code; employee information; customer lists and/or names; product and service prices; customer charges; contracts; contract negotiations; client relations and employee relations

matters; technical data; know-how, including but not limited to research, product plans, products, services, customer lists and/or customer names (including but not limited to customers of Company on whom Executive called or with whom Executive became acquainted during the term of his employment), markets, software, developments, inventions, processes, formulas, technology, designs, drawings, engineering, hardware configuration information, marketing, finances or other business information disclosed to Executive by Company either directly or directly in writing, orally or by drawings or observations of parts or equipment. Executive understands and agrees that this list is not all-inclusive. This section applies to Executive regardless of whether he signs this Agreement.

- 8. Return of Property Belonging to the Company. Executive agrees to promptly return all property or information belonging to the Company, including all keys, computers, cellular telephones, and any document or property Executive generated during his employment at the Company, and agrees that no such property will be in his possession or control at the time he receives the consideration specified in Section 1 above. This includes all property or information that may have come into his possession as a result of his employment at the Company. Executive further acknowledges that he has not retained any copies of any such information.
- 9. <u>Non-Solicitation</u>. Executive agrees that for a period of twelve (12) months immediately following the execution of this Agreement, Executive shall not either directly or indirectly solicit, induce, recruit or encourage any of Company's employees to leave their employment, or to take away such employees, either for herself or for any other person or entity. Executive agrees that for a period of twelve (12) months immediately following the execution of this Agreement, Executive shall not use any confidential, proprietary or trade secret information of Company to either directly or indirectly solicit, induce, recruit or encourage any of Company's customers, potential customers, clients or vendors to cease or modify their and each of their relationships with Company, or take away such customers, potential customers, clients or vendors, either for herself or for any other person or entity.
- 10. <u>Entire Agreement</u>. The Parties further declare and represent that no promise, inducement or agreement not herein expressed has been made to them and that this Agreement contains the full and entire agreement between and among the Parties, and that the terms of this Agreement are contractual and not a mere recital. The parties understand they may seek legal advice regarding this Agreement and they have done so to the extent desired, if any.
- 11. <u>Knowing Agreement</u>. Executive has read the foregoing Agreement, knows its contents and fully understands it. Executive further acknowledges that he has been given the opportunity to consult with his own independent legal counsel with respect to the matters referenced in this Agreement. Executive acknowledges that he has fully discussed this Agreement with his attorney or has voluntarily chosen to sign this Agreement without consulting an attorney, fully understanding the consequences of this Agreement.
- 12. <u>Waiver of Breach</u>. No breach of any provision of this Agreement can be waived unless in writing. Waiver of any one breach shall not be deemed to be a waiver of any other breach of the same or any other provision of this Agreement.

- 13. <u>Applicable Law</u>. The validity, interpretation, and performance of this Agreement shall be construed and interpreted according to the laws of the State of California.
- 14. <u>Dispute Resolution</u>. Any and all disputes arising out of or related to this Agreement shall be resolved through binding arbitration through the JAMS/Endispute in San Diego, California, under the then-current applicable rules. Each party shall be responsible for its or his own costs and attorneys' fees in connection with the mediation and arbitration.
- 15. <u>Complete Defense</u>. This Agreement may be pleaded as a full and complete defense against any action, suit or proceeding which may be prosecuted, instituted or attempted by either party in breach thereof.
- 16. <u>Severability</u>. If any provision of this Agreement, or part thereof, is held invalid, void or voidable as against public policy or otherwise, the invalidity shall not affect other provisions, or parts thereof, which may be given effect without the invalid provision or part. To this extent, the provisions, and parts thereof, of this Agreement are declared to be severable.
- 17. <u>No Admission of Liability</u>. It is understood that this Agreement is not an admission of any liability by any person, firm, association or corporation but is in compromise of a disputed claim.
- 18. Authority to Sign. Each individual signing this Agreement directly and expressly warrants that he or he has been given and has received and accepted authority to sign and execute the documents on behalf of the party for whom it is indicated she or he has signed, and further has been expressly given and received and accepted authority to enter into a binding agreement or enter into a binding agreement on behalf of such party with respect to the matters contained herein and as stated herein.
- 19. <u>Successors and Assigns</u>. This Agreement shall be binding upon and inure to the benefit of the parties hereto and their respective heirs, legal representatives, successors and assigns.

IN WITNESS WHEREOF, the undersigned have executed this Agreement on the dates shown below.

Dated: 7-22-08	
	JAMES PLANTE
	SMARTDRIVE SYSTEMS, INC.
Dated: 7-22-09	By: Sall
	Title: PRESIDENT, CET
·	Title: PRESIDINT, CE

Jim Plante - Bonus Schedule

2009 PROJECT TOTAL	Q2 Q3 Q4	\$70,000 \$70,000 \$70,000				\$15,000			\$4,000 \$16,000			\$15,000		\$5.000
	Q <sub>1</sub>	\$70,000				\$5,000		\$4,000	-			\$5,000		
	04	\$70,000			\$10,000			\$4,000			\$10,000			
2008	03	\$70,000						\$4,000						
20	92	\$70,000	••											
	0,1	\$70,000												
		Base		Lightspeed A product:	- RTM	- FCS	Cellular	- RTM	- FCS	Driver ID Solution	- RTM	- FCS	Lightspeed B product:	- RTM

\$56,000

\$308,000

TOTAL

\$308,000

TOTAL

PATENT REEL: 030992 FRAME: 0652

#### EXHIBIT B

# SMARTDRIVE SYSTEMS, INC. AT WILL EMPLOYMENT, CONFIDENTIAL INFORMATION, INVENTION ASSIGNMENT, AND ARBITRATION AGREEMENT

As a condition of my employment with SmartDrive Systems, Inc., its subsidiaries, affiliates, successors or assigns (together, the "Company"), and in consideration of my employment with the Company and my receipt of the compensation now and hereafter paid to me by the Company, I agree to the following:

# 1. At-Will Employment.

I UNDERSTAND AND ACKNOWLEDGE THAT MY EMPLOYMENT WITH THE COMPANY IS FOR AN UNSPECIFIED DURATION AND CONSTITUTES "AT-WILL" EMPLOYMENT. I ALSO UNDERSTAND THAT ANY REPRESENTATION TO THE CONTRARY IS UNAUTHORIZED AND NOT VALID UNLESS OBTAINED IN WRITING AND SIGNED BY THE PRESIDENT OF THE COMPANY. I ACKNOWLEDGE THAT THIS EMPLOYMENT RELATIONSHIP MAY BE TERMINATED AT ANY TIME, WITH OR WITHOUT GOOD CAUSE OR FOR ANY OR NO CAUSE, AT THE OPTION EITHER OF THE COMPANY OR MYSELF, WITH OR WITHOUT NOTICE.

# 2. Confidential Information.

- Company Information. I agree at all times during the term of my employment and thereafter, to hold in strictest confidence, and not to use, except for the benefit of the Company, or to disclose to any person, firm or corporation without written authorization of the Board of Directors of the Company, any Confidential Information of the Company, except under a nondisclosure agreement duly authorized and executed by the Company. I understand that "Confidential Information" means any non-public information that relates to the actual or anticipated business or research and development of the Company, technical data, trade secrets or know-how, including, but not limited to, research, product plans or other information regarding Company's products or services and markets therefor, customer lists and customers (including, but not limited to, customers of the Company on whom I called or with whom I became acquainted during the term of my employment), software, developments, inventions, processes, formulas, technology, designs, drawings, engineering, hardware configuration information, marketing, finances or other business information. I further understand that Confidential Information does not include any of the foregoing items which have become publicly known and made generally available through no wrongful act of mine or of others who were under confidentiality obligations as to the item or items involved or improvements or new versions thereof.
- B. Former Employer Information. I agree that I will not, during my employment with the Company, improperly use or disclose any proprietary information or trade secrets of any former or concurrent employer or other person or entity and that I will not bring onto the premises of the Company any unpublished document or proprietary information belonging to any such employer, person or entity unless consented to in writing by such employer, person or entity.

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PATENT REEL: 030992 FRAME: 0653 C. Third Party Information. I recognize that the Company has received and in the future will receive from third parties their confidential or proprietary information subject to a duty on the Company's part to maintain the confidentiality of such information and to use it only for certain limited purposes. I agree to hold all such confidential or proprietary information in the strictest confidence and not to disclose it to any person, firm or corporation or to use it except as necessary in carrying out my work for the Company consistent with the Company's agreement with such third party.

#### 3. Inventions.

- A. Inventions Retained and Licensed. I have attached hereto, as Exhibit A, a list describing all inventions, original works of authorship, developments, improvements, and trade secrets which were made by me prior to my employment with the Company (collectively referred to as "Prior Inventions"), which belong to me, which relate to the Company's proposed business, products or research and development, and which are not assigned to the Company hereunder; or, if no such list is attached, I represent that there are no such Prior Inventions. If in the course of my employment with the Company, I incorporate into a Company product, process or service a Prior Invention owned by me or in which I have an interest, I hereby grant to the Company a nonexclusive, royalty-free, fully paid-up, irrevocable, perpetual, worldwide license to make, have made, modify, use and sell such Prior Invention as part of or in connection with such product, process or service, and to practice any method related thereto.
- Assignment of Inventions. I agree that I will promptly make full written В. disclosure to the Company, will hold in trust for the sole right and benefit of the Company, and hereby assign to the Company, or its designee, all my right, title, and interest in and to any and all inventions, original works of authorship, developments, concepts, improvements, designs, discoveries, ideas, trademarks or trade secrets, whether or not patentable or registrable under copyright or similar laws, which I may solely or jointly conceive or develop or reduce to practice, or cause to be conceived or developed or reduced to practice, during the period of time I am in the employ of the Company (collectively referred to as "Inventions"), except as provided in Section 3.F below. I further acknowledge that all original works of authorship which are made by me (solely or jointly with others) within the scope of and during the period of my employment with the Company and which are protectible by copyright are "works made for hire," as that term is defined in the United States Copyright Act. I understand and agree that the decision whether or not to commercialize or market any invention developed by me solely or jointly with others is within the Company's sole discretion and for the Company's sole benefit and that no royalty will be due to me as a result of the Company's efforts to commercialize or market any such invention.
- C. Inventions Assigned to the United States. I agree to assign to the United States government all my right, title, and interest in and to any and all Inventions whenever such full title is required to be in the United States by a contract between the Company and the United States or any of its agencies.
- D. Maintenance of Records. I agree to keep and maintain adequate and current written records of all Inventions made by me (solely or jointly with others) during the term of my employment with the Company. The records will be in the form of notes, sketches, drawings, and

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any other format that may be specified by the Company. The records will be available to and remain the sole property of the Company at all times.

- Patent and Copyright Registrations. I agree to assist the Company, or its E. designee, at the Company's expense, in every proper way to secure the Company's rights in the Inventions and any copyrights, patents, mask work rights or other intellectual property rights relating thereto in any and all countries, including the disclosure to the Company of all pertinent information and data with respect thereto, the execution of all applications, specifications, oaths, assignments and all other instruments which the Company shall deem necessary in order to apply for and obtain such rights and in order to assign and convey to the Company, its successors, assigns, and nominees the sole and exclusive rights, title and interest in and to such Inventions, and any copyrights, patents, mask work rights or other intellectual property rights relating thereto. I further agree that my obligation to execute or cause to be executed, when it is in my power to do so, any such instrument or papers shall continue after the termination of this Agreement. If the Company is unable because of my mental or physical incapacity or for any other reason to secure my signature to apply for or to pursue any application for any United States or foreign patents or copyright registrations covering Inventions or original works of authorship assigned to the Company as above, then I hereby irrevocably designate and appoint the Company and its duly authorized officers and agents as my agent and attorney in fact, to act for and in my behalf and stead to execute and file any such applications and to do all other lawfully permitted acts to further the prosecution and issuance of letters patent or copyright registrations thereon with the same legal force and effect as if executed by me.
- F. Exception to Assignments. I understand that the provisions of this Agreement requiring assignment of Inventions to the Company do not apply to any invention which qualifies fully under the provisions of California Labor Code Section 2870 (attached hereto as Exhibit B). I will advise the Company promptly in writing of any inventions that I believe meet the criteria in California Labor Code Section 2870 and not otherwise disclosed on Exhibit A.

# 4. Conflicting Employment.

I agree that, during the term of my employment with the Company, I will not engage in any other employment, occupation or consulting directly related to the business in which the Company is now involved or becomes involved during the term of my employment, nor will I engage in any other activities that conflict with my obligations to the Company.

5. Returning Company Documents. I agree that, at the time of leaving the employ of the Company, I will deliver to the Company (and will not keep in my possession, recreate or deliver to anyone else) any and all devices, records, data, notes, reports, proposals, lists, correspondence, specifications, drawings blueprints, sketches, materials, equipment, other documents or property, or reproductions of any aforementioned items developed by me pursuant to my employment with the Company or otherwise belonging to the Company, its successors or assigns, including, without limitation, those records maintained pursuant to **paragraph 3.D**. In the event of the termination of my employment, I agree to sign and deliver the "Termination Certification" attached hereto as Exhibit C.

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- 6. Notification of New Employer. In the event that I leave the employ of the Company, I hereby grant consent to notification by the Company to my new employer about my rights and obligations under this Agreement.
- 7. Solicitation of Employees. I agree that for a period of twelve (12) months immediately following the termination of my relationship with the Company for any reason, whether with or without cause, I shall not either directly or indirectly solicit, induce, recruit or encourage any of the Company's employees to leave their employment, or take away such employees, or attempt to solicit, induce, recruit, encourage or take away employees of the Company, either for myself or for any other person or entity.
- 8. *Conflict of Interest Guidelines*. I agree to diligently adhere to the Conflict of Interest Guidelines attached as Exhibit D hereto.
- 9. Representations. I agree to execute any proper oath or verify any proper document required to carry out the terms of this Agreement. I represent that my performance of all the terms of this Agreement will not breach any agreement to keep in confidence proprietary information acquired by me in confidence or in trust prior to my employment by the Company. I hereby represent and warrant that I have not entered into, and I will not enter into, any oral or written agreement in conflict herewith.

# 10. Arbitration and Equitable Relief.

Arbitration. IN CONSIDERATION OF MY EMPLOYMENT WITH THE COMPANY, ITS PROMISE TO ARBITRATE ALL EMPLOYMENT-RELATED DISPUTES AND MY RECEIPT OF THE COMPENSATION, PAY RAISES AND OTHER BENEFITS PAID TO ME BY THE COMPANY, AT PRESENT AND IN THE FUTURE, I AGREE THAT ANY AND ALL CONTROVERSIES, CLAIMS, OR DISPUTES WITH ANYONE (INCLUDING THE COMPANY AND ANY EMPLOYEE, OFFICER, DIRECTOR, SHAREHOLDER OR BENEFIT PLAN OF THE COMPANY IN THEIR CAPACITY AS SUCH OR OTHERWISE) ARISING OUT OF, RELATING TO, OR RESULTING FROM MY EMPLOYMENT WITH THE COMPANY OR THE TERMINATION OF MY EMPLOYMENT WITH THE COMPANY, INCLUDING ANY BREACH OF THIS AGREEMENT, SHALL BE SUBJECT TO BINDING ARBITRATION UNDER THE ARBITRATION RULES SET FORTH IN CALIFORNIA CODE OF CIVIL PROCEDURE SECTION 1280 THROUGH 1294.2, INCLUDING SECTION 1283.05 (THE "RULES") AND PURSUANT TO CALIFORNIA LAW. DISPUTES WHICH I AGREE TO ARBITRATE, AND THEREBY AGREE TO WAIVE ANY RIGHT TO A TRIAL BY JURY, INCLUDE ANY STATUTORY CLAIMS UNDER STATE OR FEDERAL LAW, INCLUDING, BUT NOT LIMITED TO, CLAIMS UNDER TITLE VII OF THE CIVIL RIGHTS ACT OF 1964, THE AMERICANS WITH DISABILITIES ACT OF 1990, THE AGE DISCRIMINATION IN EMPLOYMENT ACT OF 1967, THE OLDER WORKERS BENEFIT PROTECTION ACT, THE CALIFORNIA FAIR EMPLOYMENT AND HOUSING ACT, THE CALIFORNIA LABOR CODE, CLAIMS OF HARASSMENT, DISCRIMINATION OR WRONGFUL TERMINATION AND ANY STATUTORY CLAIMS. I FURTHER UNDERSTAND THAT THIS AGREEMENT TO ARBITRATE ALSO APPLIES TO ANY DISPUTES THAT THE COMPANY MAY HAVE WITH ME.

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- D. Availability of Injunctive Relief. BOTH PARTIES AGREE THAT ANY PARTY MAY PETITION A COURT FOR INJUNCTIVE RELIEF AS PERMITTED BY THE RULES INCLUDING, BUT NOT LIMITED TO, WHERE EITHER PARTY ALLEGES OR CLAIMS A VIOLATION OF THE AT-WILL EMPLOYMENT, CONFIDENTIAL INFORMATION, INVENTION ASSIGNMENT AND ARBITRATION AGREEMENT BETWEEN ME AND THE COMPANY OR ANY OTHER AGREEMENT REGARDING TRADE SECRETS, CONFIDENTIAL INFORMATION, NONSOLICITATION OR LABOR CODE §2870. BOTH PARTIES UNDERSTAND THAT ANY BREACH OR THREATENED BREACH OF SUCH AN AGREEMENT WILL CAUSE IRREPARABLE INJURY AND THAT MONEY DAMAGES WILL NOT PROVIDE AN ADEQUATE REMEDY THEREFOR AND BOTH PARTIES HEREBY CONSENT TO THE ISSUANCE OF AN INJUNCTION. IN THE EVENT EITHER PARTY SEEKS INJUNCTIVE RELIEF, THE PREVAILING PARTY SHALL BE ENTITLED TO RECOVER REASONABLE COSTS AND ATTORNEYS FEES.

-5-

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# 11. General Provisions.

- A. Governing Law; Consent to Personal Jurisdiction. This Agreement will be governed by the laws of the State of California. I hereby expressly consent to the personal jurisdiction of the state and federal courts located in California for any lawsuit filed there against me by the Company arising from or relating to this Agreement.
- B. Entire Agreement. This Agreement sets forth the entire agreement and understanding between the Company and me relating to the subject matter herein and supersedes all prior discussions or representations between us including, but not limited to, any representations made during my interview(s) or relocation negotiations, whether written or oral. No modification of or amendment to this Agreement, nor any waiver of any rights under this Agreement, will be effective unless in writing signed by the President of the Company and me. Any subsequent change or changes in my duties, salary or compensation will not affect the validity or scope of this Agreement.
- C. Severability. If one or more of the provisions in this Agreement are deemed void by law, then the remaining provisions will continue in full force and effect.
- D. Successors and Assigns. This Agreement will be binding upon my heirs, executors, administrators and other legal representatives and will be for the benefit of the Company, its successors, and its assigns.

-6-

Date:	7-22-04	
_		Signature

James R. Plante

Name of Employee (typed or printed)

Witness:

Name (typed or printed)

# Exhibit A

# LIST OF PRIOR INVENTIONS AND ORIGINAL WORKS OF AUTHORSHIP

Tido	Date	Identifying Number or Brief Description
<u>Title</u>	Date	Description
Numerous Inventions unrelated to SmartDrive Business and Markets of Vehicle Diagnostic, Video or Safety devices or Services		
		·
		•
·		
No inventions or improveme	nts	
Additional Sheets Attached		
Signature of Employee:		
Print Name of Employee: Vames	Plante	

 $C. \verb|\smaller| agreements | lemployment agreement addendum PHA with abod appendices. DOC$ 

# Exhibit B

# CALIFORNIA LABOR CODE SECTION 2870 INVENTION ON OWN TIME-EXEMPTION FROM AGREEMENT

- "(a) Any provision in an employment agreement which provides that an employee shall assign, or offer to assign, any of his or her rights in an invention to his or her employer shall not apply to an invention that the employee developed entirely on his or her own time without using the employer's equipment, supplies, facilities, or trade secret information except for those inventions that either:
- (1) Relate at the time of conception or reduction to practice of the invention to the employer's business, or actual or demonstrably anticipated research or development of the employer; or
  - (2) Result from any work performed by the employee for the employer.
- (b) To the extent a provision in an employment agreement purports to require an employee to assign an invention otherwise excluded from being required to be assigned under subdivision (a), the provision is against the public policy of this state and is unenforceable."

# Exhibit C

# SMARTDRIVE SYSTEMS, INC.

#### TERMINATION CERTIFICATION

This is to certify that I do not have in my possession, nor have I failed to return, any devices, records, data, notes, reports, proposals, lists, correspondence, specifications, drawings, blueprints, sketches, materials, equipment, other documents or property, or reproductions of any aforementioned items belonging to SmartDrive Systems, Inc., its subsidiaries, affiliates, successors or assigns (together, the "Company").

I further certify that I have complied with all the terms of the Company's Employment, Confidential Information, Invention Assignment and Arbitration Agreement signed by me, including the reporting of any inventions and original works of authorship (as defined therein), conceived or made by me (solely or jointly with others) covered by that agreement.

I further agree that, in compliance with the Employment, Confidential Information, Invention Assignment, and Arbitration Agreement, I will preserve as confidential all trade secrets, confidential knowledge, data or other proprietary information relating to products, processes, know-how, designs, formulas, developmental or experimental work, computer programs, data bases, other original works of authorship, customer lists, business plans, financial information or other subject matter pertaining to any business of the Company or any of its employees, clients, consultants or licensees.

I further agree that for twelve (12) months from this date, I will not solicit, induce, recruit or encourage any of the Company's employees to leave their employment.

Date: 7-82-0 8

Mres / ZMWI

(Employee's Signature)

#### Exhibit D

#### SMARTDRIVE SYSTEMS, INC.

#### CONFLICT OF INTEREST GUIDELINES

It is the policy of SmartDrive Systems, Inc. to conduct its affairs in strict compliance with the letter and spirit of the law and to adhere to the highest principles of business ethics. Accordingly, all officers, employees and independent contractors must avoid activities which are in conflict, or give the appearance of being in conflict, with these principles and with the interests of the Company. The following are potentially compromising situations which must be avoided. Any exceptions must be reported to the President and written approval for continuation must be obtained.

- 1. Revealing confidential information to outsiders or misusing confidential information. Unauthorized divulging of information is a violation of this policy whether or not for personal gain and whether or not harm to the Company is intended. (The Employment, Confidential Information, Invention Assignment and Arbitration Agreement elaborates on this principle and is a binding agreement.)
- 2. Accepting or offering substantial gifts, excessive entertainment, favors or payments which may be deemed to constitute undue influence or otherwise be improper or embarrassing to the Company.
- 3. Participating in civic or professional organizations that might involve divulging confidential information of the Company.
- 4. Initiating or approving personnel actions affecting reward or punishment of employees or applicants where there is a family relationship or is or appears to be a personal or social involvement.
  - 5. Initiating or approving any form of personal or social harassment of employees.
- 6. Investing or holding outside directorship in suppliers, customers, or competing companies, including financial speculations, where such investment or directorship might influence in any manner a decision or course of action of the Company.
  - 7. Borrowing from or lending to employees, customers or suppliers.
  - 8. Acquiring real estate of interest to the Company.
- 9. Improperly using or disclosing to the Company any proprietary information or trade secrets of any former or concurrent employer or other person or entity with whom obligations of confidentiality exist.
- 10. Unlawfully discussing prices, costs, customers, sales or markets with competing companies or their employees.

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- 11. Making any unlawful agreement with distributors with respect to prices.
- 12. Improperly using or authorizing the use of any inventions which are the subject of patent claims of any other person or entity.
  - 13. Engaging in any conduct which is not in the best interest of the Company.

Each officer, employee and independent contractor must take every necessary action to ensure compliance with these guidelines and to bring problem areas to the attention of higher management for review. Violations of this conflict of interest policy may result in discharge without warning.

R. KASAVARAJU

# SMARTDRIVE SYSTEMS, INC. AT WILL EMPLOYMENT, CONFIDENTIAL INFORMATION, INVENTION ASSIGNMENT, AND ARBITRATION AGREEMENT

As a condition of my employment with SmartDrive Systems, Inc., its subsidiaries, affiliates, successors or assigns (together, the "Company"), and in consideration of my employment with the Company and my receipt of the compensation now and hereafter paid to me by the Company, I agree to the following:

# 1. At-Will Employment.

I UNDERSTAND AND ACKNOWLEDGE THAT MY EMPLOYMENT WITH THE COMPANY IS FOR AN UNSPECIFIED DURATION AND CONSTITUTES "AT-WILL" EMPLOYMENT. I ALSO UNDERSTAND THAT ANY REPRESENTATION TO THE CONTRARY IS UNAUTHORIZED AND NOT VALID UNLESS OBTAINED IN WRITING AND SIGNED BY THE PRESIDENT OF THE COMPANY. I ACKNOWLEDGE THAT THIS EMPLOYMENT RELATIONSHIP MAY BE TERMINATED AT ANY TIME, WITH OR WITHOUT GOOD CAUSE OR FOR ANY OR NO CAUSE, AT THE OPTION EITHER OF THE COMPANY OR MYSELF, WITH OR WITHOUT NOTICE.

# 2. Confidential Information.

- Company Information. I agree at all times during the term of my employment and thereafter, to hold in strictest confidence, and not to use, except for the benefit of the Company, or to disclose to any person, firm or corporation without written authorization of the Board of Directors of the Company, any Confidential Information of the Company, except under a nondisclosure agreement duly authorized and executed by the Company. I understand that "Confidential Information" means any non-public information that relates to the actual or anticipated business or research and development of the Company, technical data, trade secrets or know-how, including, but not limited to, research, product plans or other information regarding Company's products or services and markets therefor, customer lists and customers (including, but not limited to, customers of the Company on whom I called or with whom I became acquainted during the term of my employment), software, developments, inventions, processes, formulas, technology, designs, drawings, engineering, hardware configuration information, marketing, finances or other business information. I further understand that Confidential Information does not include any of the foregoing items which have become publicly known and made generally available through no wrongful act of mine or of others who were under confidentiality obligations as to the item or items involved or improvements or new versions thereof.
- B. Former Employer Information. I agree that I will not, during my employment with the Company, improperly use or disclose any proprietary information or trade secrets of any former or concurrent employer or other person or entity and that I will not bring onto the premises of the Company any unpublished document or proprietary information belonging to any such employer, person or entity unless consented to in writing by such employer, person or entity.

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C. Third Party Information. I recognize that the Company has received and in the future will receive from third parties their confidential or proprietary information subject to a duty on the Company's part to maintain the confidentiality of such information and to use it only for certain limited purposes. I agree to hold all such confidential or proprietary information in the strictest confidence and not to disclose it to any person, firm or corporation or to use it except as necessary in carrying out my work for the Company consistent with the Company's agreement with such third party.

#### 3. Inventions.

- A. Inventions Retained and Licensed. I have attached hereto, as Exhibit A, a list describing all inventions, original works of authorship, developments, improvements, and trade secrets which were made by me prior to my employment with the Company (collectively referred to as "Prior Inventions"), which belong to me, which relate to the Company's proposed business, products or research and development, and which are not assigned to the Company hereunder; or, if no such list is attached, I represent that there are no such Prior Inventions. If in the course of my employment with the Company, I incorporate into a Company product, process or service a Prior Invention owned by me or in which I have an interest, I hereby grant to the Company a nonexclusive, royalty-free, fully paid-up, irrevocable, perpetual, worldwide license to make, have made, modify, use and sell such Prior Invention as part of or in connection with such product, process or service, and to practice any method related thereto.
- Assignment of Inventions. I agree that I will promptly make full written В. disclosure to the Company, will hold in trust for the sole right and benefit of the Company, and hereby assign to the Company, or its designee, all my right, title, and interest in and to any and all inventions, original works of authorship, developments, concepts, improvements, designs, discoveries, ideas, trademarks or trade secrets, whether or not patentable or registrable under copyright or similar laws, which I may solely or jointly conceive or develop or reduce to practice, or cause to be conceived or developed or reduced to practice, during the period of time I am in the employ of the Company (collectively referred to as "Inventions"), except as provided in Section 3.F below. I further acknowledge that all original works of authorship which are made by me (solely or jointly with others) within the scope of and during the period of my employment with the Company and which are protectible by copyright are "works made for hire," as that term is defined in the United States Copyright Act. I understand and agree that the decision whether or not to commercialize or market any invention developed by me solely or jointly with others is within the Company's sole discretion and for the Company's sole benefit and that no royalty will be due to me as a result of the Company's efforts to commercialize or market any such invention.
- C. Inventions Assigned to the United States. I agree to assign to the United States government all my right, title, and interest in and to any and all Inventions whenever such full title is required to be in the United States by a contract between the Company and the United States or any of its agencies.
- D. Maintenance of Records. I agree to keep and maintain adequate and current written records of all Inventions made by me (solely or jointly with others) during the term of my employment with the Company. The records will be in the form of notes, sketches, drawings, and

-2-

any other format that may be specified by the Company. The records will be available to and remain the sole property of the Company at all times.

- Patent and Copyright Registrations. I agree to assist the Company, or its designee, at the Company's expense, in every proper way to secure the Company's rights in the Inventions and any copyrights, patents, mask work rights or other intellectual property rights relating thereto in any and all countries, including the disclosure to the Company of all pertinent information and data with respect thereto, the execution of all applications, specifications, oaths, assignments and all other instruments which the Company shall deem necessary in order to apply for and obtain such rights and in order to assign and convey to the Company, its successors, assigns, and nominees the sole and exclusive rights, title and interest in and to such Inventions, and any copyrights, patents, mask work rights or other intellectual property rights relating thereto. I further agree that my obligation to execute or cause to be executed, when it is in my power to do so, any such instrument or papers shall continue after the termination of this Agreement. If the Company is unable because of my mental or physical incapacity or for any other reason to secure my signature to apply for or to pursue any application for any United States or foreign patents or copyright registrations covering Inventions or original works of authorship assigned to the Company as above, then I hereby irrevocably designate and appoint the Company and its duly authorized officers and agents as my agent and attorney in fact, to act for and in my behalf and stead to execute and file any such applications and to do all other lawfully permitted acts to further the prosecution and issuance of letters patent or copyright registrations thereon with the same legal force and effect as if executed by me.
- F. Exception to Assignments. I understand that the provisions of this Agreement requiring assignment of Inventions to the Company do not apply to any invention which qualifies fully under the provisions of California Labor Code Section 2870 (attached hereto as Exhibit B). I will advise the Company promptly in writing of any inventions that I believe meet the criteria in California Labor Code Section 2870 and not otherwise disclosed on Exhibit A.

# 4. Conflicting Employment.

I agree that, during the term of my employment with the Company, I will not engage in any other employment, occupation or consulting directly related to the business in which the Company is now involved or becomes involved during the term of my employment, nor will I engage in any other activities that conflict with my obligations to the Company.

5. Returning Company Documents. I agree that, at the time of leaving the employ of the Company, I will deliver to the Company (and will not keep in my possession, recreate or deliver to anyone else) any and all devices, records, data, notes, reports, proposals, lists, correspondence, specifications, drawings blueprints, sketches, materials, equipment, other documents or property, or reproductions of any aforementioned items developed by me pursuant to my employment with the Company or otherwise belonging to the Company, its successors or assigns, including, without limitation, those records maintained pursuant to **paragraph 3.D**. In the event of the termination of my employment, I agree to sign and deliver the "Termination Certification" attached hereto as Exhibit C.

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- 8. Conflict of Interest Guidelines. I agree to diligently adhere to the Conflict of Interest Guidelines attached as Exhibit D hereto.
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- A. Governing Law; Consent to Personal Jurisdiction. This Agreement will be governed by the laws of the State of California. I hereby expressly consent to the personal jurisdiction of the state and federal courts located in California for any lawsuit filed there against me by the Company arising from or relating to this Agreement.
- B. Entire Agreement. This Agreement sets forth the entire agreement and understanding between the Company and me relating to the subject matter herein and supersedes all prior discussions or representations between us including, but not limited to, any representations made during my interview(s) or relocation negotiations, whether written or oral. No modification of or amendment to this Agreement, nor any waiver of any rights under this Agreement, will be effective unless in writing signed by the President of the Company and me. Any subsequent change or changes in my duties, salary or compensation will not affect the validity or scope of this Agreement.
- C. Severability. If one or more of the provisions in this Agreement are deemed void by law, then the remaining provisions will continue in full force and effect.
- D. Successors and Assigns. This Agreement will be binding upon my heirs, executors, administrators and other legal representatives and will be for the benefit of the Company, its successors, and its assigns.

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Date: $\frac{10/24/2006}{}$	Ros
	Signature
	RAMESH KASAVARATV  Name of Employee (typed or printed)
Witness:	
Signature	
Name (typed or printed)	

# Exhibit B

# CALIFORNIA LABOR CODE SECTION 2870 INVENTION ON OWN TIME-EXEMPTION FROM AGREEMENT

- "(a) Any provision in an employment agreement which provides that an employee shall assign, or offer to assign, any of his or her rights in an invention to his or her employer shall not apply to an invention that the employee developed entirely on his or her own time without using the employer's equipment, supplies, facilities, or trade secret information except for those inventions that either:
- (1) Relate at the time of conception or reduction to practice of the invention to the employer's business, or actual or demonstrably anticipated research or development of the employer; or
  - (2) Result from any work performed by the employee for the employer.
- (b) To the extent a provision in an employment agreement purports to require an employee to assign an invention otherwise excluded from being required to be assigned under subdivision (a), the provision is against the public policy of this state and is unenforceable."

# Exhibit A

# LIST OF PRIOR INVENTIONS AND ORIGINAL WORKS OF AUTHORSHIP

Date

Title

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Additional Sheets Attached				
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Signature of Employee:	an			
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Print Name of Employee:	AMESH KAS	AVARATU.		
Date: 10/24/2006				

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PATENT REEL: 030992 FRAME: 0673

Identifying Number or Brief Description

## Exhibit D

## SMARTDRIVE SYSTEMS, INC.

#### CONFLICT OF INTEREST GUIDELINES

It is the policy of SmartDrive Systems, Inc. to conduct its affairs in strict compliance with the letter and spirit of the law and to adhere to the highest principles of business ethics. Accordingly, all officers, employees and independent contractors must avoid activities which are in conflict, or give the appearance of being in conflict, with these principles and with the interests of the Company. The following are potentially compromising situations which must be avoided. Any exceptions must be reported to the President and written approval for continuation must be obtained.

- 1. Revealing confidential information to outsiders or misusing confidential information. Unauthorized divulging of information is a violation of this policy whether or not for personal gain and whether or not harm to the Company is intended. (The Employment, Confidential Information, Invention Assignment and Arbitration Agreement elaborates on this principle and is a binding agreement.)
- 2. Accepting or offering substantial gifts, excessive entertainment, favors or payments which may be deemed to constitute undue influence or otherwise be improper or embarrassing to the Company.
- 3. Participating in civic or professional organizations that might involve divulging confidential information of the Company.
- 4. Initiating or approving personnel actions affecting reward or punishment of employees or applicants where there is a family relationship or is or appears to be a personal or social involvement.
  - 5. Initiating or approving any form of personal or social harassment of employees.
- 6. Investing or holding outside directorship in suppliers, customers, or competing companies, including financial speculations, where such investment or directorship might influence in any manner a decision or course of action of the Company.
  - 7. Borrowing from or lending to employees, customers or suppliers.
  - 8. Acquiring real estate of interest to the Company.
- Improperly using or disclosing to the Company any proprietary information or trade secrets of any former or concurrent employer or other person or entity with whom obligations of confidentiality exist.
- 10. Unlawfully discussing prices, costs, customers, sales or markets with competing companies or their employees.

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- 11. Making any unlawful agreement with distributors with respect to prices.
- 12. Improperly using or authorizing the use of any inventions which are the subject of patent claims of any other person or entity.
  - 13. Engaging in any conduct which is not in the best interest of the Company.

Each officer, employee and independent contractor must take every necessary action to ensure compliance with these guidelines and to bring problem areas to the attention of higher management for review. Violations of this conflict of interest policy may result in discharge without warning.

#### TERMINATION AGREEMENT

This Termination Agreement (this "<u>Agreement</u>") is entered into as of January 7, 2009 (the "<u>Effective Date</u>"), by and between SmartDrive Systems, Inc., a Delaware corporation (the "<u>Company</u>"), Greg Mauro ("<u>Mauro</u>"), Revolution Advisors LLC, dba Revolution Ventures ("<u>Revolution Ventures</u>"), Revolution Capital, LLC ("<u>Revolution</u>") and Revolution Capital II, LLC ("<u>Revolution II</u>", and together with Mauro, Revolution Ventures and Revolution, "<u>Terminating Party</u>").

- A. Terminating Party, whether by Mauro, or through Revolution Ventures, Revolution or Revolution II, has previously provided various services to the Company;
- B. The Company and Mauro contemplated entering into, but never executed, a Consulting Agreement relating to the provision of such services to the Company by Mauro;
- C. Mauro is a stockholder of the Company and a member of the Company's Board of Directors (the "Board"), but is not and never has been an employee of the Company;
  - D. Revolution and Revolution II are both stockholders of the Company;
- E. The parties now desire to terminate the provision of any services by the Terminating Party to the Company, other than Mauro's services as a member of the Board ("Board Services"), pursuant to the terms and conditions of this Agreement.

NOW, THEREFORE, for good and valuable consideration, including the covenants contained herein, the receipt and sufficiency of which is hereby acknowledged, the undersigned agree as follows:

#### 1. Termination of Services.

- 1.1 <u>Termination</u>. Any consulting relationship or other similar relationship pursuant to which Terminating Party provides services to the Company, other than Board Services, is terminated, and shall have no further force or effect, as of the Effective Date. The Company shall have no further obligations or liabilities to the Terminating Party relating to or arising out of any services provided by the Terminating Party to the Company, whether prior to or after the Effective Date, other than Board Services (if any such obligations or liabilities are owed to members of the Board).
- 1.2 <u>Consideration</u>. In consideration for the releases provided below by Terminating Party, the Company shall pay Mauro an amount equal to \$175,000 upon the execution of this Agreement (the "<u>Payment</u>") as complete and final settlement of all Claims (as defined below) released pursuant to Section 2 below. The parties agree that the Payment represents the total compensation and payments to Terminating Party for all services rendered and that the Terminating Party is not entitled to any further consideration or compensation, whether in the form of cash, in kind, securities or other consideration.

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## 2. Release and Waiver.

- Release of Claims. Each of Mauro, Revolution Ventures, Revolution and 2.1 Revolution II, on behalf of itself and its agents, affiliates, successors, heirs, and assigns (collectively, the "Releasing Parties"), hereby fully, unconditionally and irrevocably releases, waives and forever discharges the Company and its officers, directors, employees, stockholders, attorneys, agents, affiliates, insurers, successors and assigns (collectively "Released Parties"), and each of them, from any and all claims, demands, liens, actions, agreements, suits, causes of action, obligations, controversies, debts, costs, attorneys' fees, expenses, damages, judgments, orders and liabilities of whatever kind or nature in law, equity or otherwise, whether now known or suspected which have existed or may have existed, or which do exist or which hereafter can, shall or may exist, whether vested or contingent, accrued or unaccrued, which any of the Releasing Parties had, now has, or may hereafter have against the Released Parties, or any of them, directly or indirectly arising out of, proximately caused by or resulting from, connected with, or based upon or in any manner related to, in the past, or at present, any transactions, relationships, affairs or occurrences between Terminating Party and the Company to date, including, but not limited to any services provided by Terminating Party to the Company, any Claim related to any services provided by Terminating Party to the Company or the termination thereof (collectively, "Claims"); provided, however, that this release shall not release any Claims arising under this Agreement or any Claims arising under or related to Terminating Party's status as a stockholder or purchaser of the Company's stock.
- 2.2 <u>Waiver of Other Claims</u>. Each Releasing Party acknowledges that there is a possibility that subsequent to the execution of this Agreement, a Releasing Party will discover facts or incur or suffer claims that were unknown or unsuspected at the time this Agreement was executed, and which if known by a Releasing Party at that time may have materially affected such Releasing Party's decision to execute this Agreement. Each Releasing Party acknowledges and agrees that by reason of this Agreement, and the releases contained in Section 2.1, each Releasing Party is assuming any risk of such unknown facts and such unknown and unsuspected claims.

Each Releasing Party has been advised of the existence of Section 1542 of the California Civil Code ("Section 1542"), which provides:

A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH THE CREDITOR DOES NOT KNOW OR SUSPECT TO EXIST IN HIS FAVOR AT THE TIME OF EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM MUST HAVE MATERIALLY AFFECTED HIS SETTLEMENT WITH THE DEBTOR.

Notwithstanding such provisions, this release shall constitute a full release in accordance with its terms. Each Releasing Party hereby knowingly and voluntarily waives the provisions of Section 1542, as well as any other statute, law or rule of similar effect.

2.3 No Further Action. Each Releasing Party irrevocably and absolutely agrees that they will not prosecute nor allow to be prosecuted on his/its behalf, in any

PATENT REEL: 030992 FRAME: 0677 administrative agency, whether federal or state, or in any court, whether federal or state, any claim or demand of any type related to the matters released above, it being the intention of the parties that with the execution by the Releasing Parties of this release, each Released Party, its owners, directors, officers, employees, agents, attorneys, stockholders, insurers, divisions, successors and assigns, and any related holding, parent, sister or subsidiary corporations will be absolutely, unconditionally and forever discharged of and from all obligations to or on behalf of the Releasing Party related in any way to the matters discharged herein.

#### 3. Assignment of Intellectual Property.

- 3.1 Assignment. Subject to the terms of this Agreement, Terminating Party hereby assigns to Company all Terminating Party's right, title and interest with respect to the Company's filed patent applications (as well as any foreign equivalents thereof and patents issuing thereon), mask work rights and other intellectual property rights with respect to any of the following of the Company: copyrightable material, notes, records, drawings, designs, inventions, improvements, developments, discoveries and trade secrets that were conceived, discovered, developed or reduced to practice by Mauro, solely or in collaboration with others, in the provision of services to the Company that relate to the business of the Company (the "Inventions"). For purposes of clarity (and notwithstanding anything else in this Agreement), the Inventions (and anything else) assigned hereby are limited to intellectual property created by Mauro in the process of Mauro providing services to the Company and Terminating Party does not have any obligations (and is not granting any rights or powers of attorney) under this Section 3.1 with respect to anything else. Terminating Party shall use its reasonable efforts to assist the Company, at the Company's expense, to secure the Company's copyrights, patents, mask work rights or other intellectual property rights with respect to the Inventions assigned hereunder, in any and all countries, including by disclosing to the Company all pertinent information and data with respect such Inventions, the execution of all applications, specifications, oaths and assignments that the Company may reasonably deem necessary for Terminating Party to execute in order for the Company to perfect such intellectual property rights in such Inventions. To the extent that Terminating Party is unable, because of Terminating Party's unavailability, dissolution, mental or physical incapacity, or for any other reason, to secure Terminating Party's signature for the purpose of applying for or pursuing any application for any United States or foreign patents, mask work rights, or copyright registrations with respect to the Inventions assigned to the Company hereunder, Terminating Party hereby irrevocably designates and appoints the Company and its duly authorized officers and agents as Terminating Party's attorney in fact, to act for and on behalf of Terminating Party to execute and file any such applications and to do all other lawfully permitted acts to further the prosecution and issuance of patents, copyrights, and mask work registrations with respect to such Inventions with the same legal force and effect as if executed by Terminating Party.
- 3.2 <u>License</u>. If Terminating Party has embodied any intellectual property rights owned by Terminating Party (and not assigned hereby) in anything created by Terminating Party for the Company as part of the services (the "<u>Work Product</u>"), Terminating Party hereby grants Company and its successors a perpetual, irrevocable, worldwide, royalty-free, nonexclusive, sublicensable right and license to fully exploit and exercise all such intellectual property rights as part of Company's exercise or exploitation of such Work Product.

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#### 4. <u>Additional and Miscellaneous Provisions.</u>

- A.1 Not an Agent. The Terminating Party acknowledges that Terminating Party has no authority to bind the Company in any way to any person and/or entity without the approval of the Company's Board of Directors and Terminating Party shall not (i) sign any agreements or contracts on the Company's behalf which bind or obligate the Company in any way, or (ii) hold himself or itself out to any third-party as having any relationship with the Company other than as a director and/or stockholder, as applicable, without the prior approval of the Company's Board of Directors.
- 4.2 <u>Enforceability</u>. Each of the parties represents and warrants that (i) such party has the full legal capacity to enter into this Agreement and to consummate the transactions contemplated hereby, (ii) this Agreement has been duly and validly executed and delivered by such party and constitutes the valid and legally binding obligation of such party, enforceable in accordance with its terms, except where enforceability may be limited by applicable law, (iii) the execution by such party of this Agreement, and the incurring by such party of its obligations hereunder, have been duly authorized by all necessary action on the part of such party.
- 4.3 <u>Confidentiality</u>. Each party agrees that all matters relative to this Agreement shall remain confidential. Accordingly, each party hereby agrees that, with the exception of spouses, counsel and tax advisors, each party shall not discuss, disclose or reveal to any other persons, entities or organizations, whether within or outside of the Company, the terms and conditions of this Agreement except to the extent such disclosure is otherwise required by federal or state law, including pursuant to any discovery procedures authorized by such laws, and then only to such persons and/or agencies authorized to receive such information.
- 4.4 <u>Integration</u>. This document constitutes the entire agreement and understanding between the parties concerning the subject matter hereof, and supersedes and replaces all prior negotiations, proposed agreements and agreements, written and oral, relating thereto, including, but not limited to, any drafts of a consulting agreement.
- 4.5 <u>Governing Law</u>. This Agreement shall be governed by the laws of California without application of its conflicts or choice of law rules.
- 4.6 <u>Venue</u>; <u>Submission to Jurisdiction</u>. Each of the parties submits to the jurisdiction of any state or federal court sitting in San Diego County, California, in any action or proceeding arising out of or relating to this Agreement, agrees that all claims in respect of the action or proceeding may be heard and determined in any such court, and agrees not to bring any action or proceeding arising out of or relating to this Agreement in any other court. Each of the parties waives any defense of inconvenient forum to the maintenance of any action or proceeding so brought and waives any bond, surety, or other security that might be required of any other party with respect thereto.

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- 4.7 <u>Execution in Counterparts</u>. This Agreement may be executed in any number of counterparts, which together shall constitute one instrument.
- 4.8 <u>Successors and Assigns</u>. This Agreement shall bind and inure to the benefit of the parties hereto and their respective successors, assigns, heirs, administrators, executors and conservators.
- 4.9 <u>Unenforceable Terms</u>. If any provision of this Agreement is adjudicated to be unenforceable or invalid for any reason, that part will be severed from the balance of this Agreement, and the validity and enforceability of the remainder of this Agreement will in no way be affected or impaired unless the severed portion was essential to the intended purpose of this Agreement.
- 4.10 <u>Waiver of Terms</u>. A waiver of any term or condition of this Agreement will not be deemed to be, and may not be construed as, a waiver of any other term or condition hereof.
- 4.11 <u>Neutral Construction</u>. This Agreement will be construed neutrally, and will not be applied more strictly against one party than another.
- 4.12 <u>Representation</u>. Each of the parties hereto warrants and represents that in executing this Agreement, it has relied on legal advice from the attorney of its choice, that the terms of this Agreement and its consequences have been completely read and explained to each of the parties by said attorney, and that each of the parties fully understands the terms of this Agreement.
- 4.13 Attorneys' Fees. If either party hereto commences an action against the other party to enforce any of the terms hereof or because of the breach by such other party of any of the terms hereof, the prevailing party shall be entitled, in addition to any other relief granted, to all actual out-of-pocket costs and expenses incurred by such prevailing party in connection with such action, including, without limitation, all reasonable attorneys' fees, and a right to such costs and expenses shall be deemed to have accrued upon the commencement of such action and shall be enforceable whether or not such action is prosecuted to judgment.
- 4.14 <u>Complete Defense</u>. This Agreement may be pleaded as a full and complete defense and may be used as the basis for an junction against any action, suit or proceeding which may be prosecuted, instituted or attempted by either party in breach thereof.

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PATENT REEL: 030992 FRAME: 0680 IN WITNESS WHEREOF, the undersigned have executed this Agreement as of the date first written above.

GREG MAURO:

COMPANY:

SmartDrive Systems, Inc.

By:
Name: Gregory Drew
Title: President

REVOLUTION ADVISORS LLC

By:
Manie: Greg Mauro
Its:
Manie: Greg Manie: Greg

Andy Nickerson

# SMARTDRIVE SYSTEMS, INC. AT WILL EMPLOYMENT, CONFIDENTIAL INFORMATION, INVENTION ASSIGNMENT, AND ARBITRATION AGREEMENT

As a condition of my employment with SmartDrive Systems, Inc., its subsidiaries, affiliates, successors or assigns (together, the "Company"), and in consideration of my employment with the Company and my receipt of the compensation now and hereafter paid to me by the Company, I agree to the following:

#### 1. At-Will Employment.

I UNDERSTAND AND ACKNOWLEDGE THAT MY EMPLOYMENT WITH THE COMPANY IS FOR AN UNSPECIFIED DURATION AND CONSTITUTES "AT-WILL" EMPLOYMENT. I ALSO UNDERSTAND THAT ANY REPRESENTATION TO THE CONTRARY IS UNAUTHORIZED AND NOT VALID UNLESS OBTAINED IN WRITING AND SIGNED BY THE PRESIDENT OF THE COMPANY. I ACKNOWLEDGE THAT THIS EMPLOYMENT RELATIONSHIP MAY BE TERMINATED AT ANY TIME, WITH OR WITHOUT GOOD CAUSE OR FOR ANY OR NO CAUSE, AT THE OPTION EITHER OF THE COMPANY OR MYSELF, WITH OR WITHOUT NOTICE.

#### 2. Confidential Information.

- Company Information. I agree at all times during the term of my employment and thereafter, to hold in strictest confidence, and not to use, except for the benefit of the Company, or to disclose to any person, firm or corporation without written authorization of the Board of Directors of the Company, any Confidential Information of the Company, except under a nondisclosure agreement duly authorized and executed by the Company. I understand that "Confidential Information" means any non-public information that relates to the actual or anticipated business or research and development of the Company, technical data, trade secrets or know-how, including, but not limited to, research, product plans or other information regarding Company's products or services and markets therefor, customer lists and customers (including, but not limited to, customers of the Company on whom I called or with whom I became acquainted during the term of my employment), software, developments, inventions, processes, formulas, technology, designs. drawings, engineering, hardware configuration information, marketing, finances or other business information. I further understand that Confidential Information does not include any of the foregoing items which have become publicly known and made generally available through no wrongful act of mine or of others who were under confidentiality obligations as to the item or items involved or improvements or new versions thereof.
- B. Former Employer Information. I agree that I will not, during my employment with the Company, improperly use or disclose any proprietary information or trade secrets of any former or concurrent employer or other person or entity and that I will not bring onto the premises of the Company any unpublished document or proprietary information belonging to any such employer, person or entity unless consented to in writing by such employer, person or entity.

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C. Third Party Information. I recognize that the Company has received and in the future will receive from third parties their confidential or proprietary information subject to a duty on the Company's part to maintain the confidentiality of such information and to use it only for certain limited purposes. I agree to hold all such confidential or proprietary information in the strictest confidence and not to disclose it to any person, firm or corporation or to use it except as necessary in carrying out my work for the Company consistent with the Company's agreement with such third party.

#### 3. *Inventions*.

- A. Inventions Retained and Licensed. I have attached hereto, as Exhibit A, a list describing all inventions, original works of authorship, developments, improvements, and trade secrets which were made by me prior to my employment with the Company (collectively referred to as "Prior Inventions"), which belong to me, which relate to the Company's proposed business, products or research and development, and which are not assigned to the Company hereunder; or, if no such list is attached, I represent that there are no such Prior Inventions. If in the course of my employment with the Company, I incorporate into a Company product, process or service a Prior Invention owned by me or in which I have an interest, I hereby grant to the Company a nonexclusive, royalty-free, fully paid-up, irrevocable, perpetual, worldwide license to make, have made, modify, use and sell such Prior Invention as part of or in connection with such product, process or service, and to practice any method related thereto.
- B. Assignment of Inventions. I agree that I will promptly make full written disclosure to the Company, will hold in trust for the sole right and benefit of the Company, and hereby assign to the Company, or its designee, all my right, title, and interest in and to any and all inventions, original works of authorship, developments, concepts, improvements, designs, discoveries, ideas, trademarks or trade secrets, whether or not patentable or registrable under copyright or similar laws, which I may solely or jointly conceive or develop or reduce to practice, or cause to be conceived or developed or reduced to practice, during the period of time I am in the employ of the Company (collectively referred to as "Inventions"), except as provided in Section 3.F below. I further acknowledge that all original works of authorship which are made by me (solely or jointly with others) within the scope of and during the period of my employment with the Company and which are protectible by copyright are "works made for hire," as that term is defined in the United States Copyright Act. I understand and agree that the decision whether or not to commercialize or market any invention developed by me solely or jointly with others is within the Company's sole discretion and for the Company's sole benefit and that no royalty will be due to me as a result of the Company's efforts to commercialize or market any such invention.
- C. Inventions Assigned to the United States. I agree to assign to the United States government all my right, title, and interest in and to any and all Inventions whenever such full title is required to be in the United States by a contract between the Company and the United States or any of its agencies.
- D. Maintenance of Records. I agree to keep and maintain adequate and current written records of all Inventions made by me (solely or jointly with others) during the term of my employment with the Company. The records will be in the form of notes, sketches, drawings, and

any other format that may be specified by the Company. The records will be available to and remain the sole property of the Company at all times.

- Patent and Copyright Registrations. I agree to assist the Company, or its E. designee, at the Company's expense, in every proper way to secure the Company's rights in the Inventions and any copyrights, patents, mask work rights or other intellectual property rights relating thereto in any and all countries, including the disclosure to the Company of all pertinent information and data with respect thereto, the execution of all applications, specifications, oaths, assignments and all other instruments which the Company shall deem necessary in order to apply for and obtain such rights and in order to assign and convey to the Company, its successors, assigns, and nominees the sole and exclusive rights, title and interest in and to such Inventions, and any copyrights, patents, mask work rights or other intellectual property rights relating thereto. I further agree that my obligation to execute or cause to be executed, when it is in my power to do so, any such instrument or papers shall continue after the termination of this Agreement. If the Company is unable because of my mental or physical incapacity or for any other reason to secure my signature to apply for or to pursue any application for any United States or foreign patents or copyright registrations covering Inventions or original works of authorship assigned to the Company as above, then I hereby irrevocably designate and appoint the Company and its duly authorized officers and agents as my agent and attorney in fact, to act for and in my behalf and stead to execute and file any such applications and to do all other lawfully permitted acts to further the prosecution and issuance of letters patent or copyright registrations thereon with the same legal force and effect as if executed by me.
- F. Exception to Assignments. I understand that the provisions of this Agreement requiring assignment of Inventions to the Company do not apply to any invention which qualifies fully under the provisions of California Labor Code Section 2870 (attached hereto as Exhibit B). I will advise the Company promptly in writing of any inventions that I believe meet the criteria in California Labor Code Section 2870 and not otherwise disclosed on Exhibit A.

#### 4. Conflicting Employment.

I agree that, during the term of my employment with the Company, I will not engage in any other employment, occupation or consulting directly related to the business in which the Company is now involved or becomes involved during the term of my employment, nor will I engage in any other activities that conflict with my obligations to the Company.

5. Returning Company Documents. I agree that, at the time of leaving the employ of the Company, I will deliver to the Company (and will not keep in my possession, recreate or deliver to anyone else) any and all devices, records, data, notes, reports, proposals, lists, correspondence, specifications, drawings blueprints, sketches, materials, equipment, other documents or property, or reproductions of any aforementioned items developed by me pursuant to my employment with the Company or otherwise belonging to the Company, its successors or assigns, including, without limitation, those records maintained pursuant to **paragraph 3.D**. In the event of the termination of my employment, I agree to sign and deliver the "Termination Certification" attached hereto as Exhibit C.

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- 6. Notification of New Employer. In the event that I leave the employ of the Company, I hereby grant consent to notification by the Company to my new employer about my rights and obligations under this Agreement.
- 7. Solicitation of Employees. I agree that for a period of twelve (12) months immediately following the termination of my relationship with the Company for any reason, whether with or without cause, I shall not either directly or indirectly solicit, induce, recruit or encourage any of the Company's employees to leave their employment, or take away such employees, or attempt to solicit, induce, recruit, encourage or take away employees of the Company, either for myself or for any other person or entity.
- 8. Conflict of Interest Guidelines. I agree to diligently adhere to the Conflict of Interest Guidelines attached as Exhibit D hereto.
- 9. Representations. I agree to execute any proper oath or verify any proper document required to carry out the terms of this Agreement. I represent that my performance of all the terms of this Agreement will not breach any agreement to keep in confidence proprietary information acquired by me in confidence or in trust prior to my employment by the Company. I hereby represent and warrant that I have not entered into, and I will not enter into, any oral or written agreement in conflict herewith.

#### 10. Arbitration and Equitable Relief.

Arbitration. IN CONSIDERATION OF MY EMPLOYMENT WITH THE COMPANY, ITS PROMISE TO ARBITRATE ALL EMPLOYMENT-RELATED DISPUTES AND MY RECEIPT OF THE COMPENSATION, PAY RAISES AND OTHER BENEFITS PAID TO ME BY THE COMPANY, AT PRESENT AND IN THE FUTURE, I AGREE THAT ANY AND ALL CONTROVERSIES, CLAIMS, OR DISPUTES WITH ANYONE (INCLUDING THE COMPANY AND ANY EMPLOYEE, OFFICER, DIRECTOR, SHAREHOLDER OR BENEFIT PLAN OF THE COMPANY IN THEIR CAPACITY AS SUCH OR OTHERWISE) ARISING OUT OF, RELATING TO, OR RESULTING FROM MY EMPLOYMENT WITH THE COMPANY OR THE TERMINATION OF MY EMPLOYMENT WITH THE COMPANY, INCLUDING ANY BREACH OF THIS AGREEMENT, SHALL BE SUBJECT TO BINDING ARBITRATION UNDER THE ARBITRATION RULES SET FORTH IN CALIFORNIA CODE OF CIVIL PROCEDURE SECTION 1280 THROUGH 1294.2, INCLUDING SECTION 1283.05 (THE "RULES") AND PURSUANT TO CALIFORNIA LAW. DISPUTES WHICH I AGREE TO ARBITRATE, AND THEREBY AGREE TO WAIVE ANY RIGHT TO A TRIAL BY JURY, INCLUDE ANY STATUTORY CLAIMS UNDER STATE OR FEDERAL LAW, INCLUDING, BUT NOT LIMITED TO, CLAIMS UNDER TITLE VII OF THE CIVIL RIGHTS ACT OF 1964, THE AMERICANS WITH DISABILITIES ACT OF 1990, THE AGE DISCRIMINATION IN EMPLOYMENT ACT OF 1967, THE OLDER WORKERS BENEFIT PROTECTION ACT, THE CALIFORNIA FAIR EMPLOYMENT AND HOUSING ACT, THE CALIFORNIA LABOR CODE, CLAIMS OF HARASSMENT, DISCRIMINATION OR WRONGFUL TERMINATION AND ANY STATUTORY CLAIMS. I FURTHER UNDERSTAND THAT THIS AGREEMENT TO ARBITRATE ALSO APPLIES TO ANY DISPUTES THAT THE COMPANY MAY HAVE WITH ME.

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- Procedure. I AGREE THAT ANY ARBITRATION WILL BE В. ADMINISTERED BY THE AMERICAN ARBITRATION ASSOCIATION ("AAA") AND THAT THE NEUTRAL ARBITRATOR WILL BE SELECTED IN A MANNER CONSISTENT WITH ITS NATIONAL RULES FOR THE RESOLUTION OF EMPLOYMENT DISPUTES. I AGREE THAT THE ARBITRATOR SHALL HAVE THE POWER TO DECIDE ANY MOTIONS BROUGHT BY ANY PARTY TO THE ARBITRATION, INCLUDING MOTIONS FOR SUMMARY JUDGMENT AND/OR ADJUDICATION AND MOTIONS TO DISMISS AND DEMURRERS, PRIOR TO ANY ARBITRATION HEARING. I ALSO AGREE THAT THE ARBITRATOR SHALL HAVE THE POWER TO AWARD ANY REMEDIES, INCLUDING ATTORNEYS' FEES AND COSTS, AVAILABLE UNDER APPLICABLE LAW. I UNDERSTAND THE COMPANY WILL PAY FOR ANY ADMINISTRATIVE OR HEARING FEES CHARGED BY THE ARBITRATOR OR AAA EXCEPT THAT I SHALL PAY THE FIRST \$125.00 OF ANY FILING FEES ASSOCIATED WITH ANY ARBITRATION I INITIATE. I AGREE THAT THE ARBITRATOR SHALL ADMINISTER AND CONDUCT ANY ARBITRATION IN A MANNER CONSISTENT WITH THE RULES AND THAT TO THE EXTENT THAT THE AAA'S NATIONAL RULES FOR THE RESOLUTION OF EMPLOYMENT DISPUTES CONFLICT WITH THE RULES, THE RULES SHALL TAKE PRECEDENCE. I AGREE THAT THE DECISION OF THE ARBITRATOR SHALL BE IN WRITING.
- C. Remedy. EXCEPT AS PROVIDED BY THE RULES AND THIS AGREEMENT, ARBITRATION SHALL BE THE SOLE, EXCLUSIVE AND FINAL REMEDY FOR ANY DISPUTE BETWEEN ME AND THE COMPANY. ACCORDINGLY, EXCEPT AS PROVIDED FOR BY THE RULES AND THIS AGREEMENT, NEITHER I NOR THE COMPANY WILL BE PERMITTED TO PURSUE COURT ACTION REGARDING CLAIMS THAT ARE SUBJECT TO ARBITRATION. NOTWITHSTANDING, THE ARBITRATOR WILL NOT HAVE THE AUTHORITY TO DISREGARD OR REFUSE TO ENFORCE ANY LAWFUL COMPANY POLICY, AND THE ARBITRATOR SHALL NOT ORDER OR REQUIRE THE COMPANY TO ADOPT A POLICY NOT OTHERWISE REQUIRED BY LAW WHICH THE COMPANY HAS NOT ADOPTED.
- D. Availability of Injunctive Relief. BOTH PARTIES AGREE THAT ANY PARTY MAY PETITION A COURT FOR INJUNCTIVE RELIEF AS PERMITTED BY THE RULES INCLUDING, BUT NOT LIMITED TO, WHERE EITHER PARTY ALLEGES OR CLAIMS A VIOLATION OF THE AT-WILL EMPLOYMENT, CONFIDENTIAL INFORMATION, INVENTION ASSIGNMENT AND ARBITRATION AGREEMENT BETWEEN ME AND THE COMPANY OR ANY OTHER AGREEMENT REGARDING TRADE SECRETS, CONFIDENTIAL INFORMATION, NONSOLICITATION OR LABOR CODE §2870. BOTH PARTIES UNDERSTAND THAT ANY BREACH OR THREATENED BREACH OF SUCH AN AGREEMENT WILL CAUSE IRREPARABLE INJURY AND THAT MONEY DAMAGES WILL NOT PROVIDE AN ADEQUATE REMEDY THEREFOR AND BOTH PARTIES HEREBY CONSENT TO THE ISSUANCE OF AN INJUNCTION. IN THE EVENT EITHER PARTY SEEKS INJUNCTIVE RELIEF, THE PREVAILING PARTY SHALL BE ENTITLED TO RECOVER REASONABLE COSTS AND ATTORNEYS FEES.

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- E. Administrative Relief. I UNDERSTAND THAT THIS AGREEMENT DOES NOT PROHIBIT ME FROM PURSUING AN ADMINISTRATIVE CLAIM WITH A LOCAL, STATE OR FEDERAL ADMINISTRATIVE BODY SUCH AS THE DEPARTMENT OF FAIR EMPLOYMENT AND HOUSING, THE EQUAL EMPLOYMENT OPPORTUNITY COMMISSION OR THE WORKERS' COMPENSATION BOARD. THIS AGREEMENT DOES, HOWEVER, PRECLUDE ME FROM PURSUING COURT ACTION REGARDING ANY SUCH CLAIM.
- F. Voluntary Nature of Agreement. I ACKNOWLEDGE AND AGREE THAT I AM EXECUTING THIS AGREEMENT VOLUNTARILY AND WITHOUT ANY DURESS OR UNDUE INFLUENCE BY THE COMPANY OR ANYONE ELSE. I FURTHER ACKNOWLEDGE AND AGREE THAT I HAVE CAREFULLY READ THIS AGREEMENT AND THAT I HAVE ASKED ANY QUESTIONS NEEDED FOR ME TO UNDERSTAND THE TERMS, CONSEQUENCES AND BINDING EFFECT OF THIS AGREEMENT AND FULLY UNDERSTAND IT, INCLUDING THAT I AM WAIVING MY RIGHT TO A JURY TRIAL. FINALLY, I AGREE THAT I HAVE BEEN PROVIDED AN OPPORTUNITY TO SEEK THE ADVICE OF AN ATTORNEY OF MY CHOICE BEFORE SIGNING THIS AGREEMENT.

#### 11. General Provisions.

- A. Governing Law; Consent to Personal Jurisdiction. This Agreement will be governed by the laws of the State of California. I hereby expressly consent to the personal jurisdiction of the state and federal courts located in California for any lawsuit filed there against me by the Company arising from or relating to this Agreement.
- B. Entire Agreement. This Agreement sets forth the entire agreement and understanding between the Company and me relating to the subject matter herein and supersedes all prior discussions or representations between us including, but not limited to, any representations made during my interview(s) or relocation negotiations, whether written or oral. No modification of or amendment to this Agreement, nor any waiver of any rights under this Agreement, will be effective unless in writing signed by the President of the Company and me. Any subsequent change or changes in my duties, salary or compensation will not affect the validity or scope of this Agreement.
- C. Severability. If one or more of the provisions in this Agreement are deemed void by law, then the remaining provisions will continue in full force and effect.
- D. Successors and Assigns. This Agreement will be binding upon my heirs, executors, administrators and other legal representatives and will be for the benefit of the Company, its successors, and its assigns.

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Date: 5.3.07	Signature
	Name of Employee (typed or printed)
Witness:	
	<del></del>
Signature	
Name (typed or printed)	<del></del>

## Exhibit A

### LIST OF PRIOR INVENTIONS AND ORIGINAL WORKS OF AUTHORSHIP

Date

		•	
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•			
	•		
No inventions or improvements			
Additional Sheets Attached			
A	•	/	
Signature of Employee:			
Print Name of Employee: ANDREW M. A	11CK ERSON	/	
Date: 5-3-07			
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Title

Identifying Number or Brief Description

#### Exhibit B

# CALIFORNIA LABOR CODE SECTION 2870 INVENTION ON OWN TIME-EXEMPTION FROM AGREEMENT

- "(a) Any provision in an employment agreement which provides that an employee shall assign, or offer to assign, any of his or her rights in an invention to his or her employer shall not apply to an invention that the employee developed entirely on his or her own time without using the employer's equipment, supplies, facilities, or trade secret information except for those inventions that either:
- (1) Relate at the time of conception or reduction to practice of the invention to the employer's business, or actual or demonstrably anticipated research or development of the employer; or
  - (2) Result from any work performed by the employee for the employer.
- (b) To the extent a provision in an employment agreement purports to require an employee to assign an invention otherwise excluded from being required to be assigned under subdivision (a), the provision is against the public policy of this state and is unenforceable."

#### Exhibit D

#### SMARTDRIVE SYSTEMS, INC.

#### CONFLICT OF INTEREST GUIDELINES

It is the policy of SmartDrive Systems, Inc. to conduct its affairs in strict compliance with the letter and spirit of the law and to adhere to the highest principles of business ethics. Accordingly, all officers, employees and independent contractors must avoid activities which are in conflict, or give the appearance of being in conflict, with these principles and with the interests of the Company. The following are potentially compromising situations which must be avoided. Any exceptions must be reported to the President and written approval for continuation must be obtained.

- 1. Revealing confidential information to outsiders or misusing confidential information. Unauthorized divulging of information is a violation of this policy whether or not for personal gain and whether or not harm to the Company is intended. (The Employment, Confidential Information, Invention Assignment and Arbitration Agreement elaborates on this principle and is a binding agreement.)
- 2. Accepting or offering substantial gifts, excessive entertainment, favors or payments which may be deemed to constitute undue influence or otherwise be improper or embarrassing to the Company.
- 3. Participating in civic or professional organizations that might involve divulging confidential information of the Company.
- 4. Initiating or approving personnel actions affecting reward or punishment of employees or applicants where there is a family relationship or is or appears to be a personal or social involvement.
  - 5. Initiating or approving any form of personal or social harassment of employees.
- 6. Investing or holding outside directorship in suppliers, customers, or competing companies, including financial speculations, where such investment or directorship might influence in any manner a decision or course of action of the Company.
  - 7. Borrowing from or lending to employees, customers or suppliers.
  - 8. Acquiring real estate of interest to the Company.
- 9. Improperly using or disclosing to the Company any proprietary information or trade secrets of any former or concurrent employer or other person or entity with whom obligations of confidentiality exist.
- 10. Unlawfully discussing prices, costs, customers, sales or markets with competing companies or their employees.

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- 11. Making any unlawful agreement with distributors with respect to prices.
- 12. Improperly using or authorizing the use of any inventions which are the subject of patent claims of any other person or entity.
  - 13. Engaging in any conduct which is not in the best interest of the Company.

Each officer, employee and independent contractor must take every necessary action to ensure compliance with these guidelines and to bring problem areas to the attention of higher management for review. Violations of this conflict of interest policy may result in discharge without warning.

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