

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Barry Dixon</td> <td>01/15/2013</td> </tr> <tr> <td>Paul Randall Stoddart</td> <td>01/15/2013</td> </tr> </tbody> </table>		Name	Execution Date	Barry Dixon	01/15/2013	Paul Randall Stoddart	01/15/2013				
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RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>St. Vincent's Hospital (Melbourne) Limited</td> </tr> <tr> <td>Street Address:</td> <td>41 Victoria Parade</td> </tr> <tr> <td>City:</td> <td>Fitzroy</td> </tr> <tr> <td>State/Country:</td> <td>AUSTRALIA</td> </tr> <tr> <td>Postal Code:</td> <td>3065</td> </tr> </table>		Name:	St. Vincent's Hospital (Melbourne) Limited	Street Address:	41 Victoria Parade	City:	Fitzroy	State/Country:	AUSTRALIA	Postal Code:	3065
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<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13807540</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13807540						
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CORRESPONDENCE DATA											
<p>Fax Number: 6502127562 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> Phone: (650) 212-1700 Email: info@shayglenn.com Correspondent Name: Shay Glenn LLP Address Line 1: 2755 Campus Drive, Suite 210 Address Line 4: San Mateo, CALIFORNIA 94403</p>											
ATTORNEY DOCKET NUMBER:	11628-702.US0										
NAME OF SUBMITTER:	Mary Buggie										
Signature:	/Richard D. Shoop, Reg. No. 45,763/										
Date:	08/12/2013										
Total Attachments: 3 source=11628-702-US0_Assignment#page1.tif source=11628-702-US0_Assignment#page2.tif source=11628-702-US0_Assignment#page3.tif											

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RECORDATION FORM COVER SHEET
PATENTS ONLY

PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

- (1) **Barry Dixon**
(2) **Paul Randall Stoddart**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **St. Vincent's Hospital (Melbourne)**
Limited
41 Victoria Parade
Fitzroy, Victoria, 3065
Australia

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

EXECUTION DATES: (1) 01/15/2013,
(2) 01/15/2013

Name and address of receiving party(ies):

Name:

Street Address:

City: State:

Zip:

Country:

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **13/807,540**

Title: **NON-INVASIVE MEASUREMENT OF BLOOD**
OXYGEN SATURATION

B. Patent No.(s)

Additional numbers attached?



Yes



No

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard D. Shoop
Shay Glenn LLP
2755 Campus Drive, Suite 210
San Mateo, CA 94403

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00



A check is enclosed that includes the total fee.

Charge the \$40 fee to **Deposit Account 50-4050**.

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard D. Shoop, Reg. No. 45,763

Name of Person Signing



Signature

August 12, 2013

Date

Total number of pages including cover sheet, attachments, and documents: **3**

ASSIGNMENT

WHEREAS, I/WE

Barry DIXON, an Australian citizen of 50 Young Street, Kew, Victoria, 3101, Australia;

Paul Randall STODDART, an Australian citizen of 51 Alto Avenue, Croydon, Victoria, 3122,
Australia

hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in "Non-invasive measurement of blood oxygen saturation" the specification of which:

- (a) ☐ was executed on even date herewith;
(b) ☒ was filed on December 28, 2012 as United States Patent Application No 13/807540
or
☐ Express Mail No., as Application No. not yet known
_____ and was amended on _____
_____(if applicable); or
(c) ☒ was described and claimed in PCT International Application
No. PCT/AU2011/000868 filed on July 8, 2011 and as amended under PCT
Article 19 on _____ (if any) and/or under PCT Article 34 on _____ (if
any).

AND WHEREAS,

St. Vincent's Hospital (Melbourne) Limited, of 41 Victoria Parade, Fitzroy, Victoria, 3065, Australia
(hereinafter referred to as Assignee) desires to acquire the entire rights, title, and interest in and to the
said improvements with respect to the United States of America, its territories and possessions.

NOW, THEREFOR, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby acknowledges that it has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to and under said improvements, and any Patent Applications in the United States of America and all divisions, renewals and continuations thereof, and all Patents of the United States of America which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions; and Assignor hereby authorizes and requests the Commissioner of Patents of the United States of America to issue all Patents for said improvements to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors, legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.


This 15 day of January, 2013


Signature of Barry DIXON

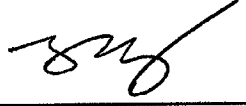


Witness

This 15th day of JANUARY, 2013



Signature of Paul Randall STODDART



Witness