

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Rolf A. Wyss</td> <td>08/08/2013</td> </tr> <tr> <td>Peter C. Sercel</td> <td>08/12/2013</td> </tr> </tbody> </table>		Name	Execution Date	Rolf A. Wyss	08/08/2013	Peter C. Sercel	08/12/2013				
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RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>HOYA Corporation USA</td> </tr> <tr> <td>Street Address:</td> <td>3285 Scott Boulevard</td> </tr> <tr> <td>City:</td> <td>Santa Clara</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>95054</td> </tr> </table>		Name:	HOYA Corporation USA	Street Address:	3285 Scott Boulevard	City:	Santa Clara	State/Country:	CALIFORNIA	Postal Code:	95054
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PROPERTY NUMBERS Total: 2											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>PCT Number:</td> <td>US2012041774</td> </tr> <tr> <td>PCT Number:</td> <td>US2012049650</td> </tr> </tbody> </table>		Property Type	Number	PCT Number:	US2012041774	PCT Number:	US2012049650				
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PCT Number:	US2012041774										
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CORRESPONDENCE DATA											
Fax Number:	8008536150										
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone:	5416869462										
Email:	dsalavi@northwestpatent.com										
Correspondent Name:	David S Alavi										
Address Line 1:	2852 Willamette #402										
Address Line 4:	Eugene, OREGON 97405										
ATTORNEY DOCKET NUMBER:	XPNT72/73PCT										
NAME OF SUBMITTER:	David S Alavi Reg No 40310										
Signature:	/David S Alavi - 40310/										
Date:	08/14/2013										

CH \$80.00 US2012041774

PATENT

Total Attachments: 6

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ASSIGNMENT

WHEREAS, WE, Rolf A. Wyss, a resident of Glendale, CA and **Peter C. Sercel**, a resident of Pasadena, CA (hereinafter referred to as "ASSIGNORS"), have invented certain new and useful invention(s) disclosed in an international patent application for Letters Patent entitled Submount for electronic, optoelectronic, optical, or photonic components that was filed on 08 JUNE 2012 and assigned International Application No. PCT/US2012/041774;

AND WHEREAS HOYA Corporation USA, a California corporation, having a place of business at 3285 Scott Boulevard, Santa Clara, California, 95054 (hereafter called "ASSIGNEE") wants to acquire the entire right, title and interest in and to said invention(s), said application, and any Letters Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS have sold, assigned, transferred and set over and do hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention(s), said international patent application, all national or regional phase applications thereof, and to any Letters Patent, application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention(s) disclosed in said international patent application, and to any continuation, division, renewal, substitute, reissue, reexamination, or extension thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention and any other applicable laws or treaties; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents or any legal equivalent thereof, to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment;


AND ASSIGNORS HEREBY covenant that ASSIGNORS have full right to convey the entire interest herein assigned, and that ASSIGNORS have not executed, and will not execute, any agreement in conflict herewith;

AND ASSIGNORS HEREBY further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention(s) and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or other legal proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention(s) and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

ASSIGNMENT

App. No. PCT/US2012/041774

WITNESS my hand at Monrovia, California, this 8th day of August, 2013.



ROLF A. WYSS

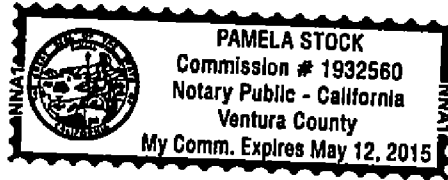
STATE OF CALIFORNIA)
COUNTY OF LOS ANGELES)

On 8-8-2013, before me PAMELA STOCK, a Notary Public in and for said County and State, personally appeared ROLF A. WYSS, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Pamela Stock



ASSIGNMENT

App. No. PCT/US2012/041774

WITNESS my hand at Monrovia, California, this 12 day of August, 2013



PETER C. SERCEL

STATE OF CALIFORNIA)
COUNTY OF LOS ANGELES)

On August 12, 2013, before me Tracy Gonzales, a Notary Public in and for said County and State, personally appeared PETER C. SERCEL, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature 



ASSIGNMENT

WHEREAS, WE, Rolf A. Wyss, a resident of Glendale, CA and **Peter C. Sercel**, a resident of Pasadena, CA (hereinafter referred to as "ASSIGNORS"), have invented certain new and useful invention(s) disclosed in an international patent application for Letters Patent entitled Submount for optoelectronic, optical, or photonic components that was filed on 03 AUGUST 2012 and assigned International Application No. PCT/US2012/049650;

AND WHEREAS HOYA Corporation USA, a California corporation, having a place of business at 3285 Scott Boulevard, Santa Clara, California, 95054 (hereafter called "ASSIGNEE") wants to acquire the entire right, title and interest in and to said invention(s), said application, and any Letters Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS have sold, assigned, transferred, and set over and do hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention(s), said international patent application, all national or regional phase applications thereof, and to any Letters Patent, application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention(s) disclosed in said international patent application, and to any continuation, division, renewal, substitute, reissue, reexamination, or extension thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention and any other applicable laws or treaties; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents or any legal equivalent thereof, to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment;


AND ASSIGNORS HEREBY covenant that ASSIGNORS have full right to convey the entire interest herein assigned, and that ASSIGNORS have not executed, and will not execute, any agreement in conflict herewith;

AND ASSIGNORS HEREBY further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention(s) and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or other legal proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention(s) and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

ASSIGNMENT

App. No. PCT/US2012/049650

WITNESS my hand at Monrovia, California, this 8th day of August, 2013.



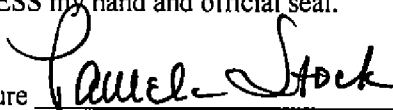
ROLF A. WYSS

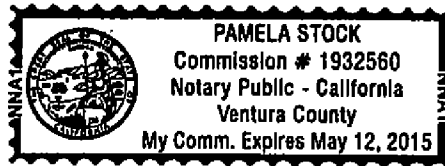
STATE OF CALIFORNIA)
COUNTY OF LOS ANGELES)

On 8-8-2013, before me PAMELA STOCK, a Notary Public in and for said County and State, personally appeared ROLF A. WYSS, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature 



ASSIGNMENT

App. No. PCT/US2012/049650

WITNESS my hand at Monrovia, California, this 12 day of AUGUST, 2013.



PETER C. SERCEL

STATE OF CALIFORNIA)
COUNTY OF LOS ANGELES)

On August 12, 2013, before me Tracy Gonzales, a Notary Public in and for said County and State, personally appeared PETER C. SERCEL, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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WITNESS my hand and official seal.

Signature Tracy Gonzales

