

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Claire Gu	07/01/2013
RECEIVING PARTY DATA	
Name:	The Regents of the University of California
Street Address:	1111 Franklin Street
Internal Address:	Twelfth Floor
City:	Oakland
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	61691036
Application Number:	13901448
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ATTORNEY DOCKET NUMBER:	SC2013-394/IL-12697
NAME OF SUBMITTER:	Mark H. Lough
Signature:	/Mark H. Lough/
Date:	08/16/2013
Total Attachments: 1 source=IL-12637_UCSC13-394_Assign_Gu_070613#page1.tif	

CH \$80.00 61691036

ASSIGNMENT

U.C. Case No. SC2013-394

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR,

J. Claire Gu

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: NANOSCALE STRUCTURES ON OPTICAL FIBER FOR SURFACE ENHANCED RAMAN SCATTERING AND METHODS RELATED THERETO

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 13/901,448, filed on MAY 23, 2013 claiming priority of US Patent Provisional Application: 61/691,036 filed on August 20, 2012.
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

7 day of July, 2013

Signature of Inventor

Claire Gu
Claire Gu