

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT																				
NATURE OF CONVEYANCE:	ASSIGNMENT																				
CONVEYING PARTY DATA																					
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Gregory P. Schmitz</td> <td>09/24/2012</td> </tr> <tr> <td>Michael P. Wallace</td> <td>09/20/2012</td> </tr> <tr> <td>Winnie Chung</td> <td>09/20/2012</td> </tr> <tr> <td>Amie R. Borgstrom</td> <td>09/20/2012</td> </tr> <tr> <td>Jeffery L. Bleich</td> <td>09/25/2012</td> </tr> <tr> <td>Gregory B. Arcenio</td> <td>09/26/2012</td> </tr> <tr> <td>Ronald Leguidleguid</td> <td>09/25/2012</td> </tr> <tr> <td>Roy Leguidleguid</td> <td>09/24/2012</td> </tr> <tr> <td>Jefferey Blean</td> <td>10/10/2012</td> </tr> </tbody> </table>		Name	Execution Date	Gregory P. Schmitz	09/24/2012	Michael P. Wallace	09/20/2012	Winnie Chung	09/20/2012	Amie R. Borgstrom	09/20/2012	Jeffery L. Bleich	09/25/2012	Gregory B. Arcenio	09/26/2012	Ronald Leguidleguid	09/25/2012	Roy Leguidleguid	09/24/2012	Jefferey Blean	10/10/2012
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<table border="1"> <tr> <td>Name:</td> <td>Baxano, Inc.</td> </tr> <tr> <td>Street Address:</td> <td>655 River Oaks Parkway</td> </tr> <tr> <td>City:</td> <td>San Jose</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>95134</td> </tr> </table>		Name:	Baxano, Inc.	Street Address:	655 River Oaks Parkway	City:	San Jose	State/Country:	CALIFORNIA	Postal Code:	95134										
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CORRESPONDENCE DATA																					
<p>Fax Number: 6502127562 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: (650) 212-1700 Email: info@shayglenn.com Correspondent Name: Shay Glenn LLP Address Line 1: 2755 Campus Drive, Suite 210 Address Line 4: San Mateo, CALIFORNIA 94403</p>																					

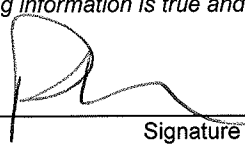
CH \$40.00 13588969

ATTORNEY DOCKET NUMBER:	10376-706.502
NAME OF SUBMITTER:	Mary Buggie
Signature:	/Richard D. Shoop, Reg. No. 45,763/
Date:	08/20/2013
<p>Total Attachments: 8 source=10376-706-502_Assignment_Inventors_Baxano_Inc#page1.tif source=10376-706-502_Assignment_Inventors_Baxano_Inc#page2.tif source=10376-706-502_Assignment_Inventors_Baxano_Inc#page3.tif source=10376-706-502_Assignment_Inventors_Baxano_Inc#page4.tif source=10376-706-502_Assignment_Inventors_Baxano_Inc#page5.tif source=10376-706-502_Assignment_Inventors_Baxano_Inc#page6.tif source=10376-706-502_Assignment_Inventors_Baxano_Inc#page7.tif source=10376-706-502_Assignment_Inventors_Baxano_Inc#page8.tif</p>	

RECORDATION FORM COVER SHEET
PATENTS ONLY

PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

<p>1. Name of conveying party(ies):</p> <p>(1) Gregory P. Schmitz (09/24/2012) (2) Michael P. Wallace (09/20/2012) (3) Winnie Chung (09/20/2012) (4) Amie R. Borgstrom (09/20/2012) (5) Jeffery L. Bleich (09/25/2012) (6) Gregory B. Arcenio (09/26/2012) (7) Ronald Leguidleguid (09/25/2012) (8) Roy Leguidleguid (09/24/2012) (9) Jefferey Bleam (10/10/2012)</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: Baxano, Inc. 655 River Oaks Parkway San Jose, CA 95134</p>
<p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Other</p>	<p>Name and address of receiving party(ies):</p> <p>Name:</p> <p>Street Address:</p> <p>City: State: Zip:</p> <p>Country:</p> <p>Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>4. Application number(s) or patent number(s):</p> <p>If this document is being filed together with a new application, the execution date of the application is:</p>	
<p>A. Patent Application No.(s) – 13/588,969</p> <p>Title: ACCESS AND TISSUE MODIFICATION SYSTEMS AND METHODS</p>	<p>B. Patent No.(s)</p>
<p align="center">Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Richard D. Shoop Shay Glenn LLP 2755 Campus Drive, Suite 210 San Mateo, CA 94403</p>	<p>6. Total number of applications and patents involved: <u>1</u></p>
	<p>7. Total fee (37 CFR 3.41): \$40.00</p> <p><input type="checkbox"/> A check is enclosed that includes the total fee.</p> <p><input checked="" type="checkbox"/> Charge the \$40 fee to Deposit Account 50-4050.</p>
<p>DO NOT USE THIS SPACE</p>	
<p>8. Statement and signature.</p> <p><i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p>	
<p>Richard D. Shoop, Reg. No. 45,763</p> <p>Name of Person Signing</p>	<p align="center"></p> <p align="center">Signature</p>
<p>Total number of pages including cover sheet, attachments, and documents: 8</p>	

August 20, 2013

Date

ASSIGNMENT OF PATENT APPLICATION**Docket Number: 10376-706.502**

This Assignment of Patent Application is between: **Gregory P. Schmitz** of Los Gatos, CA; **Michael P. Wallace** of Pleasanton, CA; **Winnie Chung** of San Jose, CA; **Amie R. Borgstrom** of San Francisco, CA; **Jeffery L. Bleich** of Palo Alto, CA; **Gregory B. Arcenio** of Redwood City, CA; **Ronald Leguidleguid** of Union City, CA; **Roy Leguidleguid** of Union City, CA; and **Jefferey Bleam** of Boulder Creek, CA (hereinafter referred to as "Inventor") and **Baxano, Inc.**, a corporation of the State of Delaware, having a place of business at 655 River Oaks Parkway, San Jose CA, 95134, (hereinafter termed "Assignee").

WHEREAS Inventor has invented certain new and useful improvements in:

"ACCESS AND TISSUE MODIFICATION SYSTEMS AND METHODS"

for which an application for the United States Patent was filed on **August 17, 2012**, as Application No. **13/588,969**.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.

4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

ASSIGNMENT OF PATENT APPLICATION**Docket Number: 10376-706.502**

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date

9/24/2012Gregory F. Schmitz
Gregory F. Schmitz

Date

Michael P. Wallace
Michael P. Wallace

Date

Winnie Chung
Winnie Chung

Date

Amie R. Borgstrom
Amie R. Borgstrom

Date

Jeffery L. Bleich
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Date

Gregory B. Arcenio
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Date

Date

Gregory P. Schmitz

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Michael P. Wallace

WChung

Winnie Chung

A Borgstrom

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