502467888 08/22/2013

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Randy J. Kesten	04/26/2013
Howard Levine	05/06/2013
John W. White	04/22/2013
Thomas R. Jenkins	04/22/2013
Jessica M. Liberatore	04/18/2013
Jayakar V. Nayak	05/31/2013

RECEIVING PARTY DATA

Name:	Acclarent, Inc.
Street Address:	1525-B O'Brien Drive
City:	Menlo Park
State/Country:	CALIFORNIA
Postal Code:	94025

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13837104

CORRESPONDENCE DATA

Fax Number: 5136516981

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 513-651-6800

Email: dattix@fbtlaw.com

Correspondent Name: Andrew B. Ulmer

Address Line 1: 3300 Great American Tower
Address Line 2: 301 East Fourth Street
Address Line 4: Cincinnati, OHIO 45202

ATTORNEY DOCKET NUMBER: ACC5060USNP.0603576

PATENT

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REEL: 031062 FRAME: 0386

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NAME OF SUBMITTER:	Andrew B. Ulmer	
Signature:	/Andrew B. Ulmer/	
Date:	08/22/2013	
Total Attachments: 13		
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PATENT REEL: 031062 FRAME: 0387

ASSIGNMENT

Serial No. 13/837,104 Filed: March 15, 2013

WHEREAS, Randy J. Kesten, a citizen of the United States of America, residing at 181 Ada Avenue, #41, Mountain View, CA 94043; Howard Levine, a citizen of the United States of America, residing at 3445 Winding Oaks Drive, Longboat Key, FL 34228; John W. White, a citizen of the United States of America, residing at 830 Cambridge Avenue, Menlo Park, CA 94025; Thomas R. Jenkins, a citizen of the United States of America, residing at 1000 Grand Street, Alameda, CA 94501; Jessica M. Liberatore, a citizen of the United States of America, residing at 3709 Edison Street, San Mateo, CA 94403; and Jayakar V. Nayak, a citizen of the United States of America, residing at 801 Welch Road, MC 5739, Stanford, CA 94305 (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to:

APPARATUS AND METHOD FOR TREATMENT OF ETHMOID SINUSITIS

for which they have this day executed an application for Letters Patent of the United States; and

WHEREAS, Acclarent, Inc., located at 1525-B O'Brien Drive, Menlo Park, CA 94025, a corporation formed under the laws of the State of Delaware, (hereinafter called "Assignee"), is desirous of acquiring Assignors' entire right, title, and interest therein:

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

ACC5060USNP Page 1 of 7

PATENT REEL: 031062 FRAME: 0388 And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TĘSTIMO	NY WHEREOF, As	ssignor has her	reunto set his hand	and seal this	26+4
	, 20 <u>13</u> .	1			
(L.S.)		Ra	ndy J. Kesten		,
STATE OF)) ss.				
COUNTY OF	,				
BE IT REME	MBERED, That on t	this d	lay of	, 20, l	before me,
a Notary Public, perso and who executed the	onally appeared Ran c foregoing instrume	ndy J. Kesten, nt in my presen	who I am satisfied nce, and I having f	d is the person first made kno	named in wn to him
the contents thereof, voluntary act and deed		_		livered the sa	me as his
SE	ce attac	hed	Notary Public		

ACC5060USNP Page 2 of 7

State of California)
County of San Matco	}
On 4.26.13 before me, 5	Staur Hames
Date	Here Insert Name and Title of the Officer
personally appeared	Name(s) of Signer(s)
STACY LYNN HAMES Commission # 1949486 Notary Public - California Santa Clara County My Comm Expires Aug 25, 2015	who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
Place Notary Seal Above	WITNESS my hand and official seal. Signature: Signature of Notary Public
Though the information below is not required be and could prevent fraudulent remove Description of Attached Document	PTIONAL by law, it may prove valuable to persons relying on the document all and reattachment of this form to another document.
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	Number of Pages:
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Signer's Name:	Signer's Name:
☐ Corporate Officer — Title(s):	☐ Corporate Officer — Title(s):
☐ Individual RIGHTTHUM	BPRINT Individual RIGHT THUMBPRINT
☐ Partner — ☐ Limited ☐ General Top of thum	b here ☐ Partner — ☐ Limited ☐ General Top of thumb here
☐ Attorney in Fact	☐ Attorney in Fact
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Trustee	☐ Guardian or Conservator
☐ Guardian or Conservator	· · · · · · · · · · · · · · · · · · ·
Capacity(ies) Claimed by Signer(s) Signer's Name: Corporate Officer — Title(s): Individual Partner — Limited General Attorney in Fact Trustee Guardian or Conservator Other: Signer Is Representing: National Notary Association • NationalNotary.org • 1-800-US NOTAR	☐ Other:

PATENT REEL: 031062 FRAME: 0390

IN TESTIMO	NY WHEREOF, AS	ssignor has he	reunto set	his hand and	d seal this	_6
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(L.S.)			nu	The	منہ	
		He	oward Le	vine		
STATE OF)					
COUNTY OF) ss.)					
BE IT REMEI	MBERED, That on	this	day of			before me,
a Notary Public, perso						
and who executed the	foregoing instrume	nt in my prese	ence, and I	I having first	made kno	wn to him
the contents thereof,	he did acknowledg	e that he sign	ned, sealed	d, and delive	ered the sa	me as his
voluntary act and deed	I for the uses and pu	rposes therein	expressed	L.		
			Notary	Public		
see	, attaune	1				

ACC5060USNP Page 3 of 7

State of California)
County of San Marteo	}
- 1 12 C	Stamplance
On 5.6.13 before me,	Here insert Name and Title of the Officer
personally appeared Howard	x Levine
pordonally appeared	Name(s) of Signer(s)
STACY LYNN HAMES Commission # 1949486 Notary Public - California Santa Clara County My Comm. Expires Aug 25, 2015	who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the
	laws of the State of California that the foregoing paragraph is true and correct. WITNESS IN hand and official seal. Signature:
Piace Notary Seal Above	paragraph is true and correct. WITNESS inv hand and official seal.
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day of April	NY WHEREOF, Assi _, , 20 <u>13</u> .	ignor has hereunto set his hand and seal this
(L.S.)		John W. White
STATE OF) ·) ss.	
COUNTY OF)	
a Notary Public, perso and who executed the	nally appeared John foregoing instrument ne did acknowledge t	s, 20, before me W. White, who I am satisfied is the person named in my presence, and I having first made known to him that he signed, sealed, and delivered the same as his oses therein expressed.
/ seci	ettached	Notary Public

ACC5060USNP Page 4 of 7

ounty of <u>San</u> Matco ounty of <u>San</u> before me, <u>St</u> orsonally appeared <u>John Wh</u>	Here Insert Name and Title of the Officer Name(s) of Signer(s)
n <u>4.22.13</u> before me, <u>St</u>	Here Insert Name and Tille of the Officer
Date	Here Insert Name and Tille of the Officer
Date	Here Insert Name and Tille of the Officer
ersonally appeared <u>JONVI W</u>	Name(s) of Signer(s)
STACY LYNN HAMES Commission # 1949486 Notary Public - California Santa Clara County My Comm. Expires Aug 25, 2015 Place Notary Seal Above	who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct. WITNESS (Try hand and official seal.
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and could prevent fraudulent removal escription of Attached Document	l and reattachment of this form to another document.
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Partner — Limited General Top of thumb	
Attorney in Fact	☐ Attorney in Fact
Trustee	☐ Trustee
Guardian or Conservator	☐ Guardian or Conservator
Other:	☐ Other:

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	HEREOF, Assignor ha	as hereunto set his hand an	nd seal this A day of
, ,		Thomas R. Jenki	ns
STATE OF)		
) ss.		
COUNTY OF)	,	
DE IT DEME	MDEDED That on thi	a day of	, 20, before me,
	MBERED, That on thi		
			atisfied is the person named
		/	having first made known to
		-	nd delivered the same as his
voluntary act and deed	l for the uses and purp	oses therein expressed.	
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		Notary Public	
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ACC5060USNP Page 5 of 7

State of California	1
	}
County of 500 Marko	J
On $\frac{4.22.13}{}$ before me, $\frac{5}{}$	Here Insert Name and Title of the Officer
personally appeared	5 Jen Kins
	Name(s) of Signer(s)
Though the information below is not required by	who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct. WITNESS my hand and official seal. Signature: Signature of Notice Public Signature of Notice Publi
	Number of Pages:
Signer(s) Other Than Named Above:	`
Capacity(ies) Claimed by Signer(s)	
Signer's Name:	Signer's Name:
☐ Corporate Officer — Title(s):	□ Corporate Officer — Title(s):
☐ Individual ☐ Partner — ☐ Limited ☐ General ☐ Top of thumb	
☐ Partner — ☐ Limited ☐ General Top of thumb ☐ Attorney in Fact	Dere ☐ Partner — ☐ Limited ☐ General Top of thumb here ☐ Attorney in Fact
☐ Trustee	☐ Trustee
☐ Guardian or Conservator	☐ Guardian or Conservator
□ Other:	□ Other:
Signerus Representing:	Signer Is Representing:

IN TESTIMONY April	WHEREOF, Assignor has _, 20 <u>13</u> .	hereunto set his hand	and seal this <u>18 th</u> day of
(L.S.)		Jessica M. Libe	eratore
STATE OF)		
COUNTY OF) ss.)		
a Notary Public, I named in and who known to him the	personally appeared Jessic o executed the foregoing i	a M. Liberatore, who instrument in my prese cknowledge that he sign	, 20, before me, I am satisfied is the person ence, and I having first made ned, sealed, and delivered the xpressed.
	see attache	Notary Publ	ic

ACC5060USNP Page 6 of 7

State of California	1
country of San Mato	}
On 4.18.13 before me,	to Albanac
On 4.18.15 before me,	>1au Tanks
personally appeared	Here Insert Name and Title of the Officer
personally appeared	Name(s) of Signer(s)
STACY LYNN HAMES Commission # 1949486 Notary Public - California Santa Clara County My Comm. Expires Aug 25, 2015	who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
	paragraph is true and correct.
	WITNESS my hand and official seal.
Place Notary Seaf Above	Signature: Signature of Notary Public
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IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 31st day of may, 2013.	эf
(L.S.) Gelief Carele Jayakar V. Nayak	
STATE OF Calefornia)	
STATE OF Calefornia) ss. COUNTY OF Santa Clary	
BE IT REMEMBERED, That on this	in m
LINDA L. MCGAGIN Commission # 1872870 Notary Public - California Santa Clara County My Comm. Expires Jan 5, 2014	

2731744

ACC5060USNP Page 7 of 7 State of California

County of Santa Clara

Subscribed and sworn to (or affirmed) before me on this 31st day of May, 2013,

by Jayakar V. Nayak proved to me on the basis of satisfactory evidence to be

the person(s) who appeared before me.

(Seal) Signature

Assignment, Docket # ACC5060USNP

Apparatus and method for treatment of ethmoid sinusitis

PATENT REEL: 031062 FRAME: 0400

LINDA L. MCGAGIN Commission # 1872870 Notary Public - California Santa Clara County My Comm. Expires Jan 5, 2014

RECORDED: 08/22/2013